

## LEFSCHETZ PROPERTIES OF GRADED ALGEBRAS

The background for the name is the hard Lefschetz theorem: Let  $X$  be an  $n$ -dimensional non-singular complex projective variety in  $P^N$ . Then in the cohomology ring  $H^*(X)$  of  $X$ , the  $k$ -fold product  $[L]^k$  with the cohomology class  $[L]$  (which has degree 2), of a hyperplane gives an isomorphism between  $H^{n-k}$  and  $H^{n+k}$ .

### 1. DEFINITIONS

In the sequel  $S = \mathbb{C}[x_1, \dots, x_n]$ ,  $I$  a homogeneous ideal, and  $A = S/I$ . Let  $f$  be homogeneous of degree  $d$ . The multiplication  $A \xrightarrow{f} A$  maps  $A_k$  to  $A_{k+d}$ . Suppose this is injective for all  $k$ . Then

$$0 \longrightarrow A \xrightarrow{f} A \longrightarrow A/f \longrightarrow 0$$

is exact. Thus  $\dim A_k - \dim A_{k+d} + \dim(A/f)_{d+k} = 0$ . Multiply with  $t^{d+k}$  and sum for all  $k \geq -d$ . This gives  $(A/f)(t) = (1 - t^d)A(t)$  (where  $R(t)$  is the Hilbert series of  $R$ ). That the multiplication is injective means that  $f$  is a NZD. If  $f_1, \dots, f_s$  is an  $S = \mathbb{C}[x_1, \dots, x_n]$ -sequence with  $\deg(f_i) = d_i$ , then the complete intersection  $A = S/(f_1, \dots, f_s)$  has  $A(t) = \prod_{i=1}^s (1 - t^{d_i}) / (1 - t)^n$ . If  $A$  is Artinian, there are no NZD's. The best one can hope for is that the multiplication is either injective or surjective (has maximal rank) for all  $k$ . In the sequel  $A$  is Artinian.

**Definitions**  $A$  has the weak Lefschetz property (WLP) if the multiplication with a generic linear form is injective or surjective.  $A$  has the strong Lefschetz property (SLP) if the multiplication with the  $k$ 'th power of a generic linear form is injective or surjective for all  $k$ .  $A$  has the general maximal rank property (MRP) if the multiplication with a generic form of degree  $k$  is injective or surjective for all  $k$ .  $A$  has the semistrong Lefschetz property (SSLP) if the multiplication with a product with  $k$  generic linear form is injective or surjective for all  $k$ .

**Remark** The last is not standard, it is my suggestion. We have  $\text{SLP} \implies \text{SSLP} \implies \text{GLP} \implies \text{WLP}$ .

### 2. RESULTS

First some helpful results.

**Definitions**  $A$  is level if the socle lies in only one degree. A sequence  $(h_i)_{i=1}^N$  is unimodal if  $h_0 \leq h_1 \leq \dots \leq h_k \geq h_{k+1} \geq \dots \geq h_N$  for some  $k$ .

**Proposition** Let  $A$  be an artinian standard graded algebra, and let  $l$  be a general linear form. Consider the homomorphisms  $\phi_d: A_d \rightarrow A_{d+1}$  defined by multiplication by  $l$ , for  $d \geq 0$ .

(a) If  $\phi_{d_0}$  is surjective for some  $d_0$ , then  $\phi_d$  is surjective for all  $d \geq d_0$ .

- (b) If  $A$  is level and  $\phi_{d_0}$  is injective for some  $d_0 \geq 0$ , then  $\phi_d$  is injective for all  $d \leq d_0$ .  
(c) In particular, if  $A$  is level and  $\dim A_{d_0} = \dim A_{d_0+1}$  for some  $d_0$  then  $A$  has the WLP if and only if  $\phi_{d_0}$  is injective (and hence is an isomorphism).  
(d) If  $A$  has the WLP property, then the Hilbert function is unimodal.

**Proof** For (b) consider the canonical module.

**Proposition** Every Artinian ideal in  $\mathbb{C}[x, y]$  has the Strong Lefschetz property.

**Proof** This uses gin.

**Theorem** Every monomial complete intersection satisfies SLP.

Every complete intersection in 3 variables satisfies WLP.

**Example** An example of an ideal with the Weak Lefschetz property but not the Strong Lefschetz property:

Let  $I$  be the lex-segment ideal with generators  $x_1^2, x_1x_2, x_1x_3^2, x_2^3, x_2^2x_3^2, x_2x_3^3, x_3^5$ . This has Hilbert function  $(1,3,4,3,1)$ , and one can check that for multiplication by a general linear form  $L$  we have maximal rank between consecutive components, while  $L^2$  has the element  $x_1$  in the kernel of the multiplication from degree 1 to degree 3.

**Question** Do all artinian complete intersections have the WLP or the SLP?

A Gorenstein ideals with the Weak Lefschetz property but not the Strong Lefschetz property. One uses the theory of inverse systems.

**Example** Let  $A$  be the ring  $\mathbb{C}[u, v, x, y, z]$  and let  $f = u(xu^2 + yuv + zv^2)$ . The dual of  $f$  gives a Gorenstein algebra with Hilbert function  $(1,5,6,5,1)$  (this can be checked, for instance, with the computer program Macaulay2 using the script `<l.from_dual`). This algebra has the Weak Lefschetz property but not the Strong Lefschetz property.

**Conjecture** Any artinian Gorenstein algebra presented by quadrics, has the WLP.

**Definition** An O-sequence is a sequence of positive integers that occurs as the Hilbert function of some graded algebra.

**Proposition** Let  $h = (1, h_1, h_2, \dots, h_s)$  be a finite sequence of positive integers. Then  $h$  is the Hilbert function of a graded artinian algebra with the WLP if and only if the positive part of the first difference is an O-sequence and after that the first difference is non-positive until  $h$  reaches 0. Furthermore, this is also a necessary and sufficient condition for  $h$  to be the Hilbert function of a graded artinian algebra with the SLP.

**Definition 2** Let  $n$  and  $d$  be positive integers. The  $d$ -binomial expansion of  $n$  is  $n = n_{(d)} = \binom{n_d}{d} + \binom{n_{d-1}}{d-1} + \dots + \binom{n_j}{j}$ , where  $n_d > n_{d-1} > \dots > n_j \geq j \geq 1$ . This is unique. Also, define, for  $a$  and  $b$  integers,  $n = (n_{(d)})_b^a = \binom{n_d+a}{d+b} + \binom{n_{d-1}+a}{d-1+b} + \dots + \binom{n_j+a}{j+b}$ .

**Theorem** Let  $H = 1, h_1 = r, h_2, \dots, h_e, h_{e+1} = 0$  be an O-sequence, and let  $t$  be the smallest integer such that  $h_t \leq t$ . Then all the artinian algebras having Hilbert function  $H$  enjoy SLP, MRP, WLP if and only if:

- i)  $r = 2$ ; or

ii)  $r > 2$ ,  $h_t \leq 2$ , and, for all indices  $i = 1, 2, \dots, t-1$ ,  $h_{i-1} = ((h_i)_i)^{-1}$ .

**Question** Does a general artinian reduction of a reduced, arithmetically Gorenstein set of points in  $P_n$  have the WLP?

**Theorem** Let  $A = k[x, y, z]/I$ ,  $I = (L_1^{a_1}, \dots, L_m^{a_m})$  be any ideal generated by powers of linear forms. Then  $A$  has the WLP.

**Example** If  $I = (L_1^3, L_2^3, L_3^3, L_4^3)$  (where  $L_i$  is general in  $\mathbb{C}[x, y, z]$ ), then  $(\cdot)^3$  fails to have maximal rank.

**Example** Consider the ideal  $I = (x_1^N, x_2^N, x_3^N, x_4^N, L^N)$  for a general linear form  $L$  in  $\mathbb{C}[x_1, x_2, x_3, x_4]$ . By computation using CoCoA,  $S/I$  fails the WLP, for  $N = 3, \dots, 12$ .

- Problems**
1. Prove the failure of the WLP in the Example for all  $N \geq 3$ .
  2. What happens for mixed powers?
  3. What happens for almost complete intersections, that is, for  $r+1$  powers of general linear forms in  $r$  variables when  $r > 4$ ?
  4. What about more than  $r+1$  powers of general linear forms?

**Theorem** Let  $I = (L_1^t, \dots, L_n^t)$  in  $\mathbb{C}[x_1, x_2, x_3, x_4]$ , with  $L_i$  linear generic. If  $n \in \{5, 6, 7, 8\}$ , then the WLP fails, respectively, for  $t \geq \{3, 27, 140, 704\}$ .

**Theorem** Let  $I = (L_1^t, \dots, L_{2k+1}^t)$  in  $S = \mathbb{C}[x_1, \dots, x_{2k}]$  with  $L_i$  generic linear forms and  $k \geq 2$ . Then  $S/I$  fails the WLP if and only if  $t > 1$ .

**Theorem** Let  $I = (L_1^t, \dots, L_8^t)$  in  $\mathbb{C}[x_1, \dots, x_7]$ , where  $L_1, \dots, L_8$  are general linear forms.

If  $t = 2$ , then  $S/I$  has the WLP.

If  $t \geq 4$ , then  $S/I$  fails the WLP.

**Theorem** Let  $L$  be a general linear form and let  $I = \mathbb{C}[x_1, x_2, x_3, x_4, x_5]/(x_1^d, x_2^d, x_3^d, x_4^d, x_5^d, L^d)$ . Then the ring  $S/I$  fails the WLP if and only if  $d > 3$ .

**Conjecture** For  $I = (L_1^t, \dots, L_n^t)$  in  $\mathbb{C}[x_1, \dots, x_r]$  with  $L_i$  linear generic and  $n \geq r+1 \geq 5$ , the WLP fails for all  $t \gg 0$ .

**Conjecture** Let  $S = k[x_1, \dots, x_{2n+1}]$ . Let  $L_1, \dots, L_{2n+2}$  be general linear forms and  $I = (L_1^d, \dots, L_{2n+1}^d, L_{2n+2}^d)$ .

If  $n = 3$  and  $d = 3$ , then  $S/I$  fails the WLP.

If  $n \geq 4$ , then  $S/I$  fails the WLP if and only if  $d > 1$ .

**Conjecture G** Let  $g_1, \dots, g_s$  be generic forms of degrees  $d_1, \dots, d_s$  in  $S = \mathbb{C}[x_1, \dots, x_n]$ . Then the Hilbert series of  $S/(g_1, \dots, g_s)$  is  $\lceil \prod_{i=1}^s (1-t^{d_i}) / (1-t)^n \rceil$ , where  $\lceil \cdot \rceil$  means that one truncates the series as soon as a coefficient is  $\leq 0$ . (This is equivalent to the fact that all  $S/(g_1, \dots, g_s)$  satisfies MRP.)

**Proposition** (a) If Conjecture G is true for all ideals generated by general forms in  $r$  variables, then all ideals generated by general forms in  $r+1$  variables have the WLP.

(b) Let  $S = \mathbb{C}[x_1, \dots, x_{r+1}]$ , let  $l$  be a general linear form and let  $A = S/(l) \cong \mathbb{C}[x_1, \dots, x_r]$ . Fix positive integers  $s, d_1, \dots, d_s, d_{s+1}$ . Let  $L_1, L_2, \dots, L_s, L_{s+1}$  be linear forms.

(i) The ideal  $I = (L_1^{d_1}, \dots, L_s^{d_s})$  has the WLP.

ii) The multiplication  $\cdot L^{d_{s+1}}$  has maximal rank

Then  $S/(L^{d_1}, \dots, L^{d_{s+1}})$  has the WLP.

**Corollary** Let  $S = \mathbb{C}[x_1, \dots, x_{r+1}]$ , let  $l$  be a general linear form and let  $A = S/(l) \cong \mathbb{C}[x_1, \dots, x_r]$ . For integers  $d_1, \dots, d_{r+2}$ , if an ideal of the form  $(L_1^{d_1}, \dots, L_{r+2}^{d_{r+2}})$ ,  $L_i$  general linear, fails to have WRP, then the ideal  $(\bar{L}_1^{d_1}, \dots, \bar{L}_{r+2}^{d_{r+2}})$  fails to satisfy Conjecture G.

Every generic complete intersection satisfies SLP. (This follows from the monomial case.)

**Theorem** Every ideal generated by generic forms in 4 variables satisfies WLP.

**Example**  $\mathbb{C}[x_1, x_2, x_3]/(x_1^3, x_2^3, x_3^3, (x_1x_2 + x_3)^3)$  satisfies MRP but not SLP.

**Proposition** Let  $F_1, \dots, F_r$  be general forms of degree  $d$  in  $S = \mathbb{C}[x_1, \dots, x_n]$ . Set  $A = S/(F_1, \dots, F_r)$ . Assume  $\binom{d+t_0+2}{d+t_0} - r \binom{t_0+2}{t_0} \geq 0$ . Then,  $\dim_{\mathbb{C}}\{RF_j\}_{j=1, \dots, r}$  spans a vector space of maximum dimension for all  $t \leq t_0$ .

**Questions** Is SSLP equivalent to SLP (very unlikely)? Is SSLP equivalent to MRP (less unlikely)?

Something about Betti numbers.

Two interesting articles (more geometric) are:

Ordinary Curves, Webs and the Ubiquity of the Weak Lefschetz Property by Rosa M. Miro -Roig

Laplace Equations and the Weak Lefschetz Property by Emilia Mezzetti, Rosa M. Miro -Roig, and Giorgio Ottaviani

I have mainly used: JOURNAL OF COMMUTATIVE ALGEBRA Volume 5, Number 3, Fall 2013 SURVEY ARTICLE: A TOUR OF THE WEAK AND STRONG LEFSCHETZ PROPERTIES BY JUAN MIGLIORE AND UWE NAGEL and the references in there.