

# SPACES OF LOCALLY CONVEX CURVES IN $\mathbb{S}^n$ AND COMBINATORICS OF THE GROUP $B_{n+1}^+$

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*Dedicated to the memory of the one and only Vladimir Igorevich Arnold*

ABSTRACT. In the 1920's Marston Morse developed what is now known as Morse theory trying to study the topology of the space of closed curves on  $\mathbb{S}^2$  ([7], [5]). We propose to attack a very similar problem, which 80 years later remains open, about the topology of the space of closed curves on  $\mathbb{S}^2$  which are locally convex (i.e., without inflection points). One of the main difficulties is the absence of the covering homotopy principle for the map sending a non-closed locally convex curve to the Frenet frame at its endpoint.

In the present paper we study the spaces of locally convex curves in  $\mathbb{S}^n$  with a given initial and final Frenet frames. Using combinatorics of  $B_{n+1}^+ = B_{n+1} \cap SO_{n+1}$ , where  $B_{n+1} \subset O_{n+1}$  is the usual Coxeter-Weyl group, we show that for any  $n \geq 2$  these spaces fall in at most  $\lceil \frac{n}{2} \rceil + 1$  equivalence classes up to homeomorphism. We also study this classification in the double cover  $\text{Spin}(n+1)$ . For  $n = 2$  our results complete the classification of the corresponding spaces into two topologically distinct classes, or three classes in the spin case.

## 1. INTRODUCTION AND MAIN RESULTS

In what follows we will study different spaces of curves  $\gamma : [0, 1] \rightarrow \mathbb{S}^n$  (or to  $\mathbb{R}^{n+1}$ ); we start with some basic definitions. A smooth curve  $\gamma : [0, 1] \rightarrow \mathbb{R}^{n+1}$  is called *locally convex* if its Wronskian  $W_\gamma(t) = \det(\gamma(t), \gamma'(t), \gamma''(t), \dots, \gamma^{(n)}(t))$  is non-vanishing for all  $t \in [0, 1]$  (see [1], [11], [12], [13]). A smooth curve  $\gamma$  is called (*globally*) *convex* if for any linear hyperplane  $H \subset \mathbb{R}^{n+1}$  the intersection  $H \cap \gamma$  consists of at most  $n$  points counting multiplicities; it is an easy exercise to check that global convexity implies local. Observe that if  $\gamma : [0, 1] \rightarrow \mathbb{R}^{n+1}$  is locally convex then so is its spherical projection  $\gamma/|\gamma| : [0, 1] \rightarrow \mathbb{S}^n \subset \mathbb{R}^{n+1}$ . Notice that for  $n = 2$ , a curve  $\gamma : [0, 1] \rightarrow \mathbb{S}^2$  is locally convex if its geodesic curvature is never zero (and therefore has constant sign) and a closed curve  $\gamma : [0, 1] \rightarrow \mathbb{S}^2$  is globally convex if it is the boundary of the intersection of the sphere with a convex cone.

For various technical reasons, the space of smooth curves is too small and not the most adequate. The definition of local convexity makes sense for other spaces, such as the Banach spaces  $C^r$ ,  $r \geq n$  and the Sobolev spaces  $H^r$ ,  $r > n$ . In Section 2 below we shall introduce an “official” topology for the spaces of locally convex curves: this turns out to be a Hilbert space containing all the above spaces. As with other questions concerning infinite dimensional topology, the choice of space actually has little consequence.

Locally convex curves in  $\mathbb{R}^{n+1}$  are closely related to fundamental solutions of linear ordinary homogeneous differential equations of order  $n+1$  on  $[0, 1]$  with real-valued coefficients. Namely, if  $y_0, y_1, \dots, y_n$  are linearly independent solutions of

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an equation

$$y^{(n+1)} + a_n(t)y^{(n)} + \cdots + a_0(t)y = 0$$

with  $a_i(t) \in C[0, 1]$ ,  $i = 0, \dots, n$ , then  $\gamma = (y_0, y_1, \dots, y_n)$  is locally convex. A locally convex  $\gamma$  is called *positive* if  $W_\gamma(t) > 0$  and *negative* otherwise. From now on we mostly consider positive curves.

Given a smooth positive locally convex  $\gamma : [0, 1] \rightarrow \mathbb{R}^{n+1}$ , define its Frenet frame  $\mathfrak{F}_\gamma : [0, 1] \rightarrow SO_{n+1}$  as the result of the Gram-Schmidt orthogonalization of its Wronski curve  $(\gamma(t), \gamma'(t), \gamma''(t), \dots, \gamma^{(n)}(t))$ . In other words,  $\mathfrak{F}_\gamma$  satisfies the relation  $(\gamma(t), \gamma'(t), \gamma''(t), \dots, \gamma^{(n)}(t)) = \mathfrak{F}_\gamma(t)R(t)$  where  $R(t)$  is an upper triangular matrix with positive diagonal. Let  $\mathcal{LS}^n$  be the space of all positive locally convex curves  $\gamma : [0, 1] \rightarrow \mathbb{S}^n$  (in the appropriate space, to be defined in Section 2) with the standard initial frame  $\mathfrak{F}_\gamma(0) = I$ , where  $I \in SO_{n+1}$  is the identity matrix of size  $(n+1) \times (n+1)$ . As we shall see (Lemma 2.3), the space  $\mathcal{LS}^n$  is a contractible Hilbert manifold and therefore diffeomorphic to Hilbert space.

Given  $Q \in SO_{n+1}$ , let  $\mathcal{LS}^n(Q) \subset \mathcal{LS}^n$  be the set of positive locally convex curves on  $\mathbb{S}^n$  with the standard initial and the prescribed final frame  $\mathfrak{F}_\gamma(1) = Q$ ; one of the main difficulties is that the map  $\mathcal{LS}^n \rightarrow SO_{n+1}$  taking  $\gamma$  to  $\mathfrak{F}_\gamma(1)$  is not a fibre bundle. Let  $\Pi : \text{Spin}_{n+1} \rightarrow SO_{n+1}$  ( $n \geq 2$ ) be the universal cover (recall that this cover is a double cover). Denote by  $\mathbf{1} \in \text{Spin}_{n+1}$  the identity element and by  $-\mathbf{1} \in \text{Spin}_{n+1}$  the unique nontrivial element with  $\Pi(-\mathbf{1}) = I$ . For  $\gamma \in \mathcal{LS}^n$ , the map  $\mathfrak{F}_\gamma : [0, 1] \rightarrow SO_{n+1}$  can be uniquely lifted to  $\tilde{\mathfrak{F}}_\gamma : [0, 1] \rightarrow \text{Spin}_{n+1}$ ,  $\tilde{\mathfrak{F}}_\gamma = \Pi \circ \tilde{\mathfrak{F}}_\gamma$ ,  $\tilde{\mathfrak{F}}_\gamma(0) = \mathbf{1}$ . Given  $z \in \text{Spin}_{n+1}$ , let  $\mathcal{LS}^n(z) \subset \mathcal{LS}^n(\Pi(z))$  be the set of positive locally convex curves  $\gamma \in \mathcal{LS}^n(\Pi(z))$  with  $\tilde{\mathfrak{F}}_\gamma(1) = z$ . One can immediately observe that  $\mathcal{LS}^n(\Pi(z)) = \mathcal{LS}^n(z) \sqcup \mathcal{LS}^n(-z)$ . The Hilbert manifolds  $\mathcal{LS}^n(Q)$  and  $\mathcal{LS}^n(z)$  for various  $Q \in SO_{n+1}$  and  $z \in \text{Spin}_{n+1}$  are the main objects of study in this paper.

Some information about the topology of  $\mathcal{LS}^n(Q)$ , mostly in the case  $Q = I$  or in the case  $n = 2$ , was earlier obtained in [1], [6], [8], [9], [11], [12] and [13]. In particular, it was shown that the number of connected components of  $\mathcal{LS}^n(I)$  equals 3 for even  $n$  and 2 for odd  $n > 1$ , which is related to the existence of closed globally convex curves on all even-dimensional spheres. It was also shown in [1] that for  $n$  even the space of closed globally convex curves with a fixed initial frame is contractible. The first nontrivial information about the higher homology and homotopy groups of these components can be found in [8] and [9].

In this paper we leave aside the fascinating and widely open question about the topology of the spaces  $\mathcal{LS}^n(Q)$  and concentrate on the following.

**Problem 1.** *How many different (i.e., non-homeomorphic) spaces are there among  $\mathcal{LS}^n(Q)$ ,  $Q \in SO_{n+1}$ ,  $n \geq 2$ ? Analogously, how many different spaces are there among  $\mathcal{LS}^n(z)$ ,  $z \in \text{Spin}_{n+1}$ ?*

To formulate our partial answer to the latter question we need to introduce the following set of matrices. For a positive integer  $m$  let

$$M_s^m = \text{diag}(-1, \dots, -1, 1, \dots, 1), \quad s \in \mathbb{Z}, \quad |s| \leq m, \quad s \equiv m \pmod{2},$$

be the diagonal  $m \times m$  matrix whose first  $(m-s)/2$  entries equal to  $-1$  and the remaining  $(m+s)/2$  entries equal to 1. Notice that  $s$  equals both the trace and the signature of  $M_s^m$  and that  $M_s^m \in SO_m$  if and only if  $s \equiv m \pmod{4}$ . In the latter case, let  $\pm w_s^m \in \text{Spin}_m$  be the two preimages of  $M_s^m \in SO_m$ .

Our first result is as follows.

**Theorem 1.** *For  $n \geq 2$ , any  $Q \in SO_{n+1}$ , and any  $z \in \text{Spin}_{n+1}$  one has:*

- (1) Each space  $\mathcal{LS}^n(Q)$  is homeomorphic to one of the subspaces  $\mathcal{LS}^n(M_s^{n+1})$ , where  $|s| \leq n+1$ ,  $s \equiv n+1 \pmod{4}$  (there are  $\lceil \frac{n}{2} \rceil + 1$  such subspaces).
- (2) For  $n$  even, each space  $\mathcal{LS}^n(z)$ ,  $z \in \text{Spin}_{n+1}$ , is homeomorphic to one of the subspaces  $\mathcal{LS}^n(\mathbf{1})$ ,  $\mathcal{LS}^n(-\mathbf{1})$ ,  $\mathcal{LS}^n(w_{n-3}^{n+1})$ ,  $\mathcal{LS}^n(w_{n-7}^{n+1})$ ,  $\dots$ ,  $\mathcal{LS}^n(w_{-n+5}^{n+1})$ ,  $\mathcal{LS}^n(w_{-n+1}^{n+1})$ .
- (3) For  $n$  odd, each space  $\mathcal{LS}^n(z)$ ,  $z \in \text{Spin}_{n+1}$ , is homeomorphic to one of the subspaces  $\mathcal{LS}^n(\mathbf{1})$ ,  $\mathcal{LS}^n(-\mathbf{1})$ ,  $\mathcal{LS}^n(w_{n-3}^{n+1})$ ,  $\mathcal{LS}^n(w_{n-7}^{n+1})$ ,  $\dots$ ,  $\mathcal{LS}^n(w_{-n+3}^{n+1})$ ,  $\mathcal{LS}^n(w_{-n-1}^{n+1})$ ,  $\mathcal{LS}^n(-w_{-n-1}^{n+1})$ .

Using Theorem 2 below and results proved elsewhere ([8], [9]) we check that for  $n = 2$  the above spaces are pairwise non-homeomorphic. It is natural to ask whether they are likewise non-homeomorphic for  $n \geq 3$ ; see discussions in the first subsection of the conclusion.

We might want to describe the topology of these spaces; the next result gives some partial answers. Let  $\Omega SO_{n+1}(Q)$  (resp.  $\Omega \text{Spin}_{n+1}(z)$ ) be the space of all continuous curves  $\alpha : [0, 1] \rightarrow SO_{n+1}$  (resp.  $\alpha : [0, 1] \rightarrow \text{Spin}_{n+1}$ ) with  $\alpha(0) = I$  and  $\alpha(1) = Q$  (resp.  $\alpha(0) = \mathbf{1}$  and  $\alpha(1) = z$ ). Using the Frenet frame we define *Frenet frame injections*:

$$\begin{array}{ccc} \mathfrak{F}_{[Q]} : \mathcal{LS}^n(Q) & \rightarrow & \Omega SO_{n+1}(Q), & \tilde{\mathfrak{F}}_{[z]} : \mathcal{LS}^n(z) & \rightarrow & \Omega \text{Spin}_{n+1}(z). \\ \gamma & \mapsto & \mathfrak{F}_\gamma & \gamma & \mapsto & \tilde{\mathfrak{F}}_\gamma \end{array}$$

It is a classical fact that the value of  $Q$  (resp.  $z$ ) does not change the space  $\Omega SO_{n+1}(Q)$  (resp.  $\Omega \text{Spin}_{n+1}(z)$ ) up to homeomorphism. Therefore, we usually omit  $Q$  (resp.  $z$ ) and write  $\Omega SO_{n+1}$  (resp.  $\Omega \text{Spin}_{n+1}$ ) instead.

**Theorem 2.** *For  $n \geq 2$ , consider the Frenet frame injections as above.*

- (1) For all  $Q \in SO_{n+1}$  and for all  $z \in \text{Spin}_{n+1}$  the maps  $\mathfrak{F}_{[Q]}$  and  $\tilde{\mathfrak{F}}_{[z]}$  are weakly homotopically surjective.
- (2) If  $|s| \leq 1$  then the Frenet frame injections  $\mathfrak{F}_{[M_s^{n+1}]}$  and  $\tilde{\mathfrak{F}}_{[w_s^{n+1}]}$  are weak homotopy equivalences. In this case there exist homeomorphisms

$$\mathcal{LS}^n(M_s^{n+1}) \approx \Omega SO_{n+1}, \quad \mathcal{LS}^n(w_s^{n+1}) \approx \Omega \text{Spin}_{n+1}.$$

Recall that a map  $X \rightarrow Y$  is weakly homotopically surjective if the induced maps  $\pi_k(X) \rightarrow \pi_k(Y)$  are surjective; also, a map  $X \rightarrow Y$  is a weak homotopy equivalence if the induced maps  $\pi_k(X) \rightarrow \pi_k(Y)$  are isomorphisms.

Notice that, in general, for arbitrary  $Q$  or  $z$  it is by no means true that the Frenet frame injection induces a homotopy equivalence: even the number of connected components can be different.

Versions of Theorems 1 and 2 also hold for the spaces  $C^k \cap \mathcal{LS}^n(Q)$  and  $C^k \cap \mathcal{LS}^n(z)$ . These facts follow from our results together with Theorem 2 in [4]; alternatively, our proofs can be adapted (with some extra rather routine work).

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## 2. FRENET FRAMES AND JACOBIAN CURVES

We collect in this section a few basic notions and facts. The logarithmic derivative of a curve  $\Gamma : [0, 1] \rightarrow SO_{n+1}$  is defined as  $\Lambda(t) = (\Gamma(t))^{-1}\Gamma'(t)$ . Notice that  $\Lambda(t)$  belongs to the Lie algebra and is therefore automatically skew symmetric. Let  $\mathfrak{T} \subset so_{n+1}$  be the set of tridiagonal skew symmetric matrices with positive subdiagonal entries, or skew Jacobi matrices, i.e., of matrices of the form

$$\begin{pmatrix} & -c_1 & & & & \\ c_1 & & -c_2 & & & \\ & c_2 & & \ddots & & \\ & & & \ddots & & -c_n \\ & & & & c_n & \end{pmatrix}, \quad c_i > 0.$$

A curve  $\Gamma : I \rightarrow SO_{n+1}$  is called *Jacobian* if its logarithmic derivative  $\Lambda$  satisfies  $\Lambda(t) \in \mathfrak{T}$  for all  $t \in I$  (where  $I \subset \mathbb{R}$  is an interval).

**Lemma 2.1.** *Let  $\Gamma : [0, 1] \rightarrow SO_{n+1}$  be a smooth curve with  $\Gamma(0) = I$ . The curve  $\Gamma$  is Jacobian if and only if there exists  $\gamma \in \mathcal{LS}^n$  with  $\mathfrak{F}_\gamma = \Gamma$ .*

Recall that a smooth curve  $\gamma : [0, 1] \rightarrow \mathbb{S}^n$  belongs to  $\mathcal{LS}^n$  if and only if  $\mathfrak{F}_\gamma(0) = I$  and  $\gamma$  is (positive) locally convex:

$$\det \left( \gamma(t), \gamma'(t), \gamma''(t), \dots, \gamma^{(n)}(t) \right) > 0.$$

*Proof.* Consider  $\gamma \in \mathcal{LS}^n$  and its Wronski curve

$$G(t) = \begin{pmatrix} \gamma(t) & \gamma'(t) & \dots & \gamma^{(n)}(t) \end{pmatrix} = \mathfrak{F}_\gamma(t)R(t).$$

We have

$$G'(t) = \begin{pmatrix} \gamma'(t) & \gamma''(t) & \dots & \gamma^{(n+1)}(t) \end{pmatrix} = G(t)H(t)$$

for  $H(t)$  an upper Hessenberg matrix whose subdiagonal entries equal to 1:

$$H(t) = \begin{pmatrix} 0 & 0 & 0 & \dots & 0 & * \\ 1 & 0 & 0 & \dots & 0 & * \\ 0 & 1 & 0 & \dots & 0 & * \\ & \vdots & & \ddots & & \\ 0 & 0 & 0 & \dots & 1 & * \end{pmatrix}.$$

Recall that  $H$  is upper Hessenberg if  $(H)_{ij} = 0$  whenever  $i > j + 1$ . Write  $\Gamma = \mathfrak{F}_\gamma$  and substitute  $\Gamma(t)R(t)$  for  $G(t)$  in the equations above to obtain

$$\Gamma'(t)R(t) + \Gamma(t)R'(t) = \Gamma(t)R(t)H(t)$$

and therefore

$$\Lambda(t) = (\Gamma(t))^{-1}\Gamma'(t) = -R'(t)(R(t))^{-1} + R(t)H(t)(R(t))^{-1}$$

which is upper Hessenberg with positive subdiagonal entries (the first product is upper triangular, the second one is upper Hessenberg). Since we know that  $\Lambda(t) \in so_{n+1}$ , we have  $\Lambda(t) \in \mathfrak{T}$ , proving one implication.

For the other implication, consider  $\Gamma : [0, 1] \rightarrow SO_{n+1}$  such that  $\Gamma(0) = I$ ,  $\Gamma'(t) = \Gamma(t)\Lambda(t)$  and  $\Lambda(t) \in \mathfrak{T}$  for all  $t \in [0, 1]$ . Set  $\gamma(t) = \Gamma(t)e_1$ . We have  $\gamma'(t) = \Gamma'(t)e_1 = \Gamma(t)\Lambda(t)e_1 = \Gamma(t)(\Lambda(t))_{21}e_2 = p_1(t)\Gamma(t)e_2$ ,  $p_1(t) > 0$ . Similarly,  $\gamma''(t) = (p_1)'(t)\Gamma(t)e_2 + p_1(t)\Gamma(t)\Lambda(t)e_2 = p_2(t)\Gamma(t)e_3 + r_{22}(t)\Gamma(t)e_2 + r_{21}(t)\Gamma(t)e_1$ ,

where  $p_2(t) > 0$  and the values of  $r_{ij}(t)$  are not important. In general

$$\gamma^{(j)}(t) = p_j(t)\Gamma(t)e_{j+1} + \sum_{i \leq j} r_{ji}(t)\Gamma(t)e_i, \quad p_j(t) > 0.$$

Thus applying Gram-Schmidt to the Wronski curve

$$\begin{pmatrix} \gamma(t) & \gamma'(t) & \cdots & \gamma^{(n)}(t) \end{pmatrix}$$

yields  $\mathfrak{F}_\gamma(t) = \Gamma(t)$ , completing the proof.  $\blacksquare$

A smooth Jacobian curve  $\Gamma : I \rightarrow SO_{n+1}$  is called *globally Jacobian* if  $\gamma : I \rightarrow \mathbb{S}^n$ ,  $\gamma(t) = \Gamma(t)e_1$ , is globally convex.

Notice that given a smooth function  $\Lambda : [0, 1] \rightarrow \mathfrak{X} \subset so_{n+1}$  the initial value problem

$$\Gamma'(t) = \Gamma(t)\Lambda(t), \quad \Gamma(0) = I \quad (*)$$

yields  $\Gamma$  as in the lemma and therefore a smooth curve  $\gamma \in \mathcal{LS}^n$ . This establishes a homeomorphism between the space of smooth curves  $\gamma \in \mathcal{LS}^n$  and the convex set of smooth functions  $\Lambda : [0, 1] \rightarrow \mathfrak{X}$ . We will denote this correspondence by

$$\Lambda_\gamma(t) = (\mathfrak{F}_\gamma(t))^{-1}(\mathfrak{F}_\gamma)'(t).$$

It will be convenient to have examples of locally convex curves and corresponding Jacobian curves.

**Lemma 2.2.** *For  $n + 1 = 2k$  let  $c_i$  and  $a_i$  ( $i = 1, \dots, k$ ) be positive parameters with  $a_i$  mutually distinct and  $c_1^2 + \cdots + c_k^2 = 1$ . Set*

$$\xi(t) = (c_1 \cos(a_1 t), c_1 \sin(a_1 t), \dots, c_k \cos(a_k t), c_k \sin(a_k t)).$$

*For  $n + 1 = 2k + 1$  let  $c_0, c_i$  and  $a_i$  ( $i = 1, \dots, k$ ) be positive parameters with  $a_i$  mutually distinct and  $c_0^2 + c_1^2 + \cdots + c_k^2 = 1$ . Set*

$$\xi(t) = (c_0, c_1 \cos(a_1 t), c_1 \sin(a_1 t), \dots, c_k \cos(a_k t), c_k \sin(a_k t)).$$

*In both cases the curve  $\xi : [0, 1] \rightarrow \mathbb{S}^n$  is locally convex with constant  $\Lambda_\xi$ . Conversely, if  $\tilde{\xi} : [0, 1] \rightarrow \mathbb{S}^n$  is locally convex with  $\Lambda_{\tilde{\xi}}$  constant then  $\tilde{\xi} = Q\xi$  for some  $Q \in SO_{n+1}$  and  $\xi$  as above (for appropriate  $c_i$  and  $a_i$ ). Furthermore, assume  $a_i/(4\pi) \in \mathbb{Z}$  and set  $Q = (\mathfrak{F}_\xi(0))^{-1}$  and  $\xi_1(t) = Q\xi(t)$ : we have  $\xi_1 \in \mathcal{LS}^n(\mathbf{1})$ .*

For  $n = 2$ ,  $\xi$  is a circle; for  $n = 3$ ,  $\xi$  turns around in one plane while it turns around at a faster rate in another plane: for suitable values of  $a_i$  and  $c_i$ ,  $\xi$  looks like a phone wire (see Figure 1).

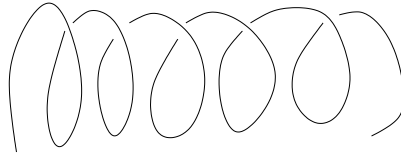


FIGURE 1. A phone wire is locally convex in  $\mathbb{S}^3$

*Proof.* A straight-forward calculation gives, for  $n + 1 = 2k$ ,

$$\det(\xi(t), \xi'(t), \dots, \xi^{(n)}(t)) = \left( \prod_i (c_i^2 a_i) \right) \left( \prod_{i < j} ((a_i - a_j)^2 (a_i + a_j)^2) \right) > 0$$

and for  $n + 1 = 2k + 1$ ,

$$\det(\xi(t), \xi'(t), \dots, \xi^{(n)}(t)) = c_0 \left( \prod_i (c_i^2 a_i^3) \right) \left( \prod_{i < j} ((a_i - a_j)^2 (a_i + a_j)^2) \right) > 0.$$

Alternatively, we can compute  $\Xi = \mathfrak{F}_\xi : [0, 1] \rightarrow SO_{n+1}$  and its logarithmic derivative  $\Lambda : [0, 1] \rightarrow so_{n+1}$ : it turns out to be a constant element of  $\mathfrak{T} \subset so_{n+1}$ . In general, if  $Q \in SO_{n+1}$  and  $\gamma$  is locally convex then so is  $Q\gamma$ : thus,  $\xi_1$  is locally convex.

Conversely, assume  $\tilde{\xi} \in \mathcal{L}\mathbb{S}^n$  and that  $\Lambda_{\tilde{\xi}}$  is constant equal to  $B \in \mathfrak{T}$ ; we then have  $\tilde{\Xi}(t) = \mathfrak{F}_{\tilde{\xi}}(t) = \exp(tB)$ . The eigenvalues of  $B$  are all on the imaginary axis and therefore of the form  $\pm a_j i$ ,  $a_j > 0$ , plus a 0 in case  $n + 1$  is odd. Thus there exists  $Q \in SO_{n+1}$  such that

$$B = \begin{cases} Q \operatorname{diag} \left( \begin{pmatrix} 0 & -a_1 \\ a_1 & 0 \end{pmatrix}, \begin{pmatrix} 0 & -a_2 \\ a_2 & 0 \end{pmatrix}, \dots, \begin{pmatrix} 0 & -a_k \\ a_k & 0 \end{pmatrix} \right) Q^T, & n = 2k - 1, \\ Q \operatorname{diag} \left( 0, \begin{pmatrix} 0 & -a_1 \\ a_1 & 0 \end{pmatrix}, \begin{pmatrix} 0 & -a_2 \\ a_2 & 0 \end{pmatrix}, \dots, \begin{pmatrix} 0 & -a_k \\ a_k & 0 \end{pmatrix} \right) Q^T, & n = 2k. \end{cases}$$

Write

$$X(s) = \begin{pmatrix} \cos(s) & -\sin(s) \\ \sin(s) & \cos(s) \end{pmatrix} = \exp \left( s \begin{pmatrix} 0 & -1 \\ 1 & 0 \end{pmatrix} \right).$$

Thus, according to parity we have:

$$\tilde{\Xi}(t) = \begin{cases} Q \operatorname{diag} (X(a_1 t), X(a_2 t), \dots, X(a_k t)) Q^T, \\ Q \operatorname{diag} (0, X(a_1 t), X(a_2 t), \dots, X(a_k t)) Q^T, \end{cases}$$

and therefore

$$\tilde{\xi}(t) = Q \operatorname{diag} ([0, ]X(a_1 t), X(a_2 t), \dots, X(a_k t)) v_0, \quad v_0 = Q^T e_1.$$

Up to multiplication by a matrix of the form  $\operatorname{diag} ([1, ]X(\theta_1), \dots, X(\theta_k))$ ,  $v_0$  can be assumed to be of the form  $v_0 = ([c_0, ]c_1, 0, \dots, c_k, 0)$  for  $c_i \geq 0$  (with a corresponding change of  $Q$ ). The formulas in the previous paragraph of this proof indicate that the parameters  $a_i$  and  $c_i$  must be positive and that the  $a_i$ 's must be pairwise distinct for  $\tilde{\xi}$  to be locally convex, as desired. The other claims are easy.  $\blacksquare$

The space of smooth curves is not the most convenient, however; we use the above correspondence to define our favorite space of curves: if we consider  $\Lambda \in L^2([0, 1], \mathfrak{T}) \subset L^2([0, 1], so_{n+1})$  we can solve the initial value problem (\*) and determine  $\Gamma : [0, 1] \rightarrow SO_{n+1}$  and  $\gamma(t) = \Gamma(t)e_1$ . Notice that the curve  $\gamma$  constructed in this way from  $\Lambda \in L^2$  belongs to  $H^1([0, 1], \mathbb{R}^{n+1})$  but the concept of local convexity does not make sense for all curves  $\gamma : [0, 1] \rightarrow \mathbb{S}^n$  with  $\gamma \in H^1([0, 1], \mathbb{R}^{n+1})$ . A minor inconvenience is that  $L^2([0, 1], \mathfrak{T})$  is not a Hilbert manifold; we resolve this problem by defining a diffeomorphism  $\phi : \mathfrak{T} \rightarrow \mathbb{R}^n$  with  $j$ -th coordinate  $\phi_j(T) = g(T_{j+1, j})$ ,  $g(x) = x - 1/x$ . Given  $\alpha \in L^2([0, 1], \mathbb{R}^n)$  we set  $\Lambda = \phi^{-1} \circ \alpha$  and  $\Gamma$  as above, thus defining a space  $\hat{\mathcal{L}}\mathbb{S}^n$  of Jacobian curves and an explicit diffeomorphism  $L^2([0, 1], \mathbb{R}^n) \equiv \hat{\mathcal{L}}\mathbb{S}^n$ . There are sometimes advantages in working with  $\hat{\mathcal{L}}\mathbb{S}^n(z)$  rather than  $\mathcal{L}\mathbb{S}^n(z)$ : for instance, multiplication (in  $\operatorname{Spin}_{n+1}$ ) allows for a sort of superposition. This will be useful later in the paper.

Given  $Q \in SO_{n+1}$  let  $\hat{\mathcal{L}}\mathbb{S}^n(z) \subset \hat{\mathcal{L}}\mathbb{S}^n$  be the space of Jacobian curves  $\Gamma : [0, 1] \rightarrow SO_{n+1}$  with  $\Gamma(0) = I$ ,  $\Gamma(1) = Q$ . Finally, we use the map  $\tilde{\mathfrak{F}}$  to define the spaces  $\mathcal{L}\mathbb{S}^n$  and  $\mathcal{L}\mathbb{S}^n(Q)$ , which are now Hilbert manifolds.

Recall also that two Hilbert manifolds are diffeomorphic if and only if they are homeomorphic which, in its turn, holds if and only if they are weakly homotopically equivalent ([3]). Besides the Hilbert manifold structure, the above definition of the spaces  $\mathcal{LS}^n(Q)$  (and other spaces) has the advantage of allowing discontinuities in  $\Lambda_\gamma$  thus bypassing the need for a roundabout smoothening process. The following result is now trivial.

**Lemma 2.3.** *The space  $\mathcal{LS}^n$  is contractible.*

### 3. BRUHAT CELLS AND THE COXETER-WEYL GROUP $B_{n+1}$

As a first step, for any fixed dimension  $n$  we reduce Problem 1 to consideration of only finitely many different values of  $Q$  and  $z$  using well-known group actions. The key observation here is that if  $\gamma_1 : [0, 1] \rightarrow \mathbb{S}^n$  is positive locally convex and  $A \in \mathbb{R}^{n \times n}$  has positive determinant then both  $A\gamma_1 : [0, 1] \rightarrow \mathbb{R}^{n+1}$  and  $\gamma_2 : [0, 1] \rightarrow \mathbb{S}^n$  with  $\gamma_2(t) = \widehat{A\gamma_1(t)} = A\gamma_1(t)/|A\gamma_1(t)|$  are positive locally convex.

Let  $\mathcal{U}_{n+1}^+$  be the group of real upper-triangular matrices with positive diagonal and  $\mathcal{U}_{n+1}^1 \subset \mathcal{U}_{n+1}^+$  be the subgroup of matrices with diagonal entries equal to one. Consider the action of  $\mathcal{U}_{n+1}^1$  on  $GL_{n+1}(\mathbb{R})$  by conjugation: in what follows we will refer to the action of  $\mathcal{U}_{n+1}^1$  on different spaces as the *Bruhat action*. This action induces the action of  $\mathcal{U}_{n+1}^1$  on  $SO_{n+1}$  as the postcomposition of the conjugation with the orthogonalization. In other words,  $B(U, Q) = UQU'$  where  $U'$  is the only matrix in  $\mathcal{U}_{n+1}^+$  such that  $UQU' \in SO_{n+1}$ ; thus,  $B(U, Q)$  is obtained from  $UQ$  by Gram-Schmidt. It is well-known that the Bruhat action on  $SO_{n+1}$  has finitely many orbits. These orbits are referred to as the *Bruhat cells* of  $SO_{n+1}$ : two orthogonal matrices  $Q_1, Q_2 \in SO_{n+1}$  belong to the same Bruhat cell if and only if there exist upper triangular matrices  $U_1, U_2$  with positive diagonal satisfying  $U_1Q_1 = Q_2U_2$ . We denote the Bruhat cell of  $Q \in SO_{n+1}$  by  $\text{Bru}(Q) \subset SO_{n+1}$ .

Let  $B_{n+1} \subset O_{n+1}$  be the Coxeter-Weyl group of signed permutation matrices and let  $B_{n+1}^+ = B_{n+1} \cap SO_{n+1}$ . Let  $\text{Diag}_{n+1}^+ \subset B_{n+1}^+$  be the subgroup of diagonal matrices with entries  $\pm 1$  and determinant 1; thus  $\text{Diag}_{n+1}^+$  is isomorphic to  $(\mathbb{Z}/2\mathbb{Z})^n$ . Each Bruhat cell contains exactly one element  $Q_0 \in B_{n+1}^+$  and is diffeomorphic to a cell whose dimension equals the number of inversions of  $Q_0$ . In other words, for each  $Q \in SO_{n+1}$  there is a unique  $Q_0 \in B_{n+1}^+$  such that there exist  $U_1, U_2 \in \mathcal{U}_{n+1}^+$  satisfying  $Q = U_1Q_0U_2$ .

We recall an algorithm producing  $Q_0$  from a given  $Q$ ; this algorithm will be used later, particularly in the study of chopping. Consider the first column of  $Q$  and look for the lowest non-zero entry, say  $Q_{i1}$ . We first multiply  $Q$  by a diagonal matrix  $D \in \mathcal{U}_{n+1}^+$  to obtain a new matrix  $\tilde{Q} = DQ$  for which  $(\tilde{Q})_{i1} = \pm 1$ ; for simplicity, we may thus assume  $Q_{i1} = \pm 1$ . We next perform row operations on  $Q$  to clean the first column above row  $i$ : in other words, we obtain  $U_1 \in \mathcal{U}_{n+1}^+$  such that  $\tilde{Q} = U_1Q$  satisfies  $\tilde{Q}e_1 = \pm e_i$ ; again assume from now on that  $Qe_1 = \pm e_i$ . Now perform column operations on  $\tilde{Q}$  to clean row  $i$  to the right of the first column, i.e., obtain  $U_2 \in \mathcal{U}_{n+1}^+$  such that  $\tilde{Q} = QU_2$  satisfies  $e_i^T \tilde{Q} = \pm e_i^T$ . Repeat the process for each column: at the end of the process we obtain  $Q_0 = U_1QU_2$  ( $U_1, U_2 \in \mathcal{U}_{n+1}^+$ ) for which there exists a permutation  $\pi$  such that  $Q_0e_i = \pm e_{\pi(i)}$ . In other words,  $Q_0 \in B_{n+1}$ ; since  $\det Q_0 = \det U_1 \det Q \det U_2 > 0$  we have  $\det Q_0 = 1$  and  $Q_0 \in B_{n+1}^+$ .

Recall that  $\Pi : \text{Spin}_{n+1} \rightarrow \text{SO}_{n+1}$  is a group homomorphism and the double cover of  $\text{SO}_{n+1}$  (for  $n > 1$ , this is the universal cover). Let

$$\tilde{B}_{n+1}^+ = \Pi^{-1}(B_{n+1}^+) \subset \text{Spin}_{n+1}, \quad \widetilde{\text{Diag}}_{n+1}^+ = \Pi^{-1}(\text{Diag}_{n+1}^+) \subset B_{n+1}^+;$$

the groups  $\tilde{B}_{n+1}^+$  and  $\widetilde{\text{Diag}}_{n+1}^+$  are  $\mathbb{Z}/2\mathbb{Z}$ -central extensions of  $B_{n+1}^+$  and  $\text{Diag}_{n+1}^+$ , respectively. Notice that

$$|B_{n+1}^+| = 2^n(n+1)!, \quad |\tilde{B}_{n+1}^+| = 2^{n+1}(n+1)!, \quad |\widetilde{\text{Diag}}_{n+1}^+| = 2^{n+1};$$

for instance,  $\widetilde{\text{Diag}}_3^+$  is isomorphic to the quaternion group  $Q_8 = \{\pm 1, \pm i, \pm j, \pm k\}$ .

The Bruhat cell decomposition can be lifted to  $\text{Spin}_{n+1}$  where each cell contains a unique element of  $\tilde{B}_{n+1}^+$ . Two elements of  $\text{SO}_{n+1}$  or  $\text{Spin}_{n+1}$  will be called *Bruhat equivalent* if they belong to the same cell in the corresponding Bruhat decomposition. We will also write  $\text{Bru}(z) \subset \text{Spin}_{n+1}$  for the Bruhat cell of  $z \in \text{Spin}_{n+1}$ .

The Bruhat action of  $\mathcal{U}_{n+1}^1$  on  $\text{SO}_{n+1}$  induces the Bruhat action of  $\mathcal{U}_{n+1}^1$  on the space  $\mathcal{LS}^n$  as follows: given  $\gamma \in \mathcal{LS}^n$  and  $U \in \mathcal{U}_{n+1}^1$ , set  $(B(U, \gamma))(t) = (B(U, \mathfrak{F}_\gamma(t)))e_1$  (where  $e_1 = (1, 0, 0, \dots, 0) \in \mathbb{R}^{n+1}$ ). Clearly, if  $\gamma \in \mathcal{LS}^n(z)$  then  $B(U, \gamma) \in \mathcal{LS}^n(B(U, z))$ . The following lemma is now easy.

**Lemma 3.1.** *If  $Q_1, Q_2 \in \text{SO}_{n+1}$  (resp.  $z_1, z_2 \in \text{Spin}_{n+1}$ ) are Bruhat equivalent then  $\mathcal{LS}^n(Q_1)$  and  $\mathcal{LS}^n(Q_2)$  (resp.  $\mathcal{LS}^n(z_1)$  and  $\mathcal{LS}^n(z_2)$ ) are homeomorphic.*

This explicit homeomorphism will be used again and we therefore introduce some notation. Let  $Q_1$  and  $Q_2$  be as in the lemma: there exists a matrix  $U \in \mathcal{U}_{n+1}^1$  with  $B(U, Q_1) = Q_2$  and therefore  $B(U^{-1}, Q_2) = Q_1$ . Define  $\mathbf{B}_{Q_1, U, Q_2} : \mathcal{LS}^n(Q_1) \rightarrow \mathcal{LS}^n(Q_2)$  by  $\mathbf{B}_{Q_1, U, Q_2}(\gamma) = B(U, \gamma)$  (for  $\gamma \in \mathcal{LS}^n(Q_1)$ ). Similarly define  $\mathbf{B}_{z_1, U, z_2} : \mathcal{LS}^n(z_1) \rightarrow \mathcal{LS}^n(z_2)$ .

*Proof.* The map  $\mathbf{B}_{Q_1, U, Q_2}$  is a homeomorphism with inverse  $\mathbf{B}_{Q_2, U^{-1}, Q_1}$ ; the spin case is similar.  $\blacksquare$

#### 4. TIME REVERSAL

In this and the two following sections we introduce three natural operations acting on  $B_{n+1}^+$  and on the spaces of curves under consideration.

The naive idea here would be to consider the curve  $t \mapsto \gamma(1-t)$ ; this curve however may be negative locally convex and has the wrong endpoints: we show how to fix these minor problems.

Let  $J_+ = \text{diag}(1, -1, 1, -1, \dots) \in O_{n+1}$ ; notice that  $\det J_+ = (-1)^{n(n+1)/2}$ . For  $Q \in \text{SO}_{n+1}$ , define  $\mathbf{TR}(Q) = J_+ Q^T J_+$ . The map  $\mathbf{TR} : \text{SO}_{n+1} \rightarrow \text{SO}_{n+1}$  is an anti-automorphism which lifts to an anti-automorphism  $\mathbf{TR} : \text{Spin}_{n+1} \rightarrow \text{Spin}_{n+1}$ . Indeed, given  $z \in \text{Spin}_{n+1}$  consider a path  $\tilde{\alpha} : [0, 1] \rightarrow \text{Spin}_{n+1}$  with  $\tilde{\alpha}(0) = 1$ ,  $\tilde{\alpha}(1) = z$ ; let  $\alpha = \Pi \circ \tilde{\alpha}$  and  $\beta : [0, 1] \rightarrow \text{SO}_{n+1}$  with  $\beta(t) = \mathbf{TR}(\alpha(t))$ ; lift  $\beta$  to define  $\tilde{\beta} : [0, 1] \rightarrow \text{Spin}_{n+1}$  with  $\tilde{\beta}(0) = 1$ ; define  $\mathbf{TR}(z) = \tilde{\beta}(1)$ . The map is well defined: two homotopic paths  $\tilde{\alpha}_0$  and  $\tilde{\alpha}_1$  yield homotopic paths  $\alpha_0$  and  $\alpha_1$ ; the paths  $\beta_0$  and  $\beta_1$  are also homotopic (apply  $\mathbf{TR}$  to the homotopy) and therefore  $\tilde{\beta}_0(1) = \tilde{\beta}_1(1)$ .

These two anti-automorphisms preserve the subgroups  $\text{Diag}_{n+1}^+ \subset B_{n+1}^+ \subset \text{SO}_{n+1}$  and  $\widetilde{\text{Diag}}_{n+1}^+ \subset \tilde{B}_{n+1}^+ \subset \text{Spin}_{n+1}$ . In fact, the map  $\mathbf{TR} : B_{n+1}^+ \rightarrow B_{n+1}^+$  admits a simple combinatorial description: the matrix  $\mathbf{TR}(Q)$  is obtained from

$Q$  by transposition and the change of sign of all entries with  $i + j$  odd. We do not present a detailed combinatorial description of  $\mathbf{TR}$  in  $\tilde{B}_{n+1}^+$  but we record an observation for later use.

**Lemma 4.1.** *Let  $s \in \mathbb{Z}$ ,  $s \equiv n + 1 \pmod{4}$ ,  $|s| < n + 1$ . Then there exists  $z \in \widehat{\text{Diag}}_{n+1}^+$  with  $\text{trace}(z) = s$  and  $\mathbf{TR}(z) = -z$ .*

*Proof.* Let

$$Q = \text{diag}(-1, -1, \dots, -1, -1, -1, 1, -1, 1, 1, \dots, 1, 1)$$

and  $z$  with  $\Pi(z) = Q$ . We claim that  $z$  satisfies the claim; in order to perform this computation we construct paths in  $SO_{n+1}$  and lift them to  $\text{Spin}_{n+1}$ . Write

$$X(t) = \begin{pmatrix} \cos(\pi t) & -\sin(\pi t) \\ \sin(\pi t) & \cos(\pi t) \end{pmatrix}, \quad Y(t) = \begin{pmatrix} \cos(\pi t) & 0 & -\sin(\pi t) \\ 0 & 1 & 0 \\ \sin(\pi t) & 0 & \cos(\pi t) \end{pmatrix}.$$

Take

$$\alpha(t) = \text{diag}(X(t), \dots, X(t), Y(t), 1, \dots, 1),$$

with  $((n + 1 - s)/4) - 1$  small  $X(t)$  blocks, one large  $Y(t)$  block followed by  $((n + 1 + s)/2)$  ones. Now lift the path  $\alpha$  to  $\tilde{\alpha} : [0, 1] \rightarrow \text{Spin}(n + 1)$  with  $\tilde{\alpha}(0) = \mathbf{1}$ : without loss of generality,  $z = \tilde{\alpha}(1)$ . Clearly  $\text{trace}(z) = s$  and

$$\mathbf{TR}\alpha(t) = \text{diag}(X(t), \dots, X(t), Y(-t), 1, \dots, 1).$$

Thus  $\alpha$  and  $\mathbf{TR}\alpha$  are only different in two of the coordinates of the  $Y$  block, where one makes a half-turn one way and the other makes a half-turn the other way. Thus  $\mathbf{TR}(z) = -z$ , as required.  $\blacksquare$

Notice that the map  $\mathbf{TR} : so_{n+1} \rightarrow so_{n+1}$  given by  $\mathbf{TR}(X) = J_+ X^T J_+$  satisfies  $\mathbf{TR}(X) = X$  for  $X \in \mathfrak{I}$ . For  $\gamma \in \mathcal{LS}^n(Q)$ , define its *time reversal* by

$$\gamma^{\mathbf{TR}}(t) = J_+ Q^T \gamma(1 - t)$$

where  $Q^T$  is the transpose of  $Q$ .

**Lemma 4.2.** *For any  $\gamma \in \mathcal{LS}^n(Q)$  we have  $\gamma^{\mathbf{TR}} \in \mathcal{LS}^n(\mathbf{TR}(Q))$ . Furthermore,*

$$\mathfrak{F}_{\gamma^{\mathbf{TR}}}(t) = J_+ Q^T \mathfrak{F}_{\gamma}(1 - t) J_+; \quad \Lambda_{\gamma^{\mathbf{TR}}}(t) = \Lambda_{\gamma}(1 - t).$$

*In particular, if  $\xi \in \mathcal{LS}^n(I)$  is a locally convex curve for which  $\Lambda_{\xi}$  is constant then  $\xi_1^{\mathbf{TR}} = \xi_1$ .*

*Time reversal yields explicit homeomorphisms*

$$\mathcal{LS}^n(Q) \approx \mathcal{LS}^n(\mathbf{TR}(Q)), \quad \mathcal{LS}^n(z) \approx \mathcal{LS}^n(\mathbf{TR}(z)).$$

*Proof.* Consider a smooth curve  $\gamma \in \mathcal{LS}^n(Q)$ ; we must check that  $\gamma^{\mathbf{TR}}$  is positive locally convex: we have

$$(\gamma^{\mathbf{TR}})^{(j)}(t) = (-1)^j J_+ Q^T \gamma^{(j)}(1 - t)$$

and therefore

$$\begin{aligned} & \det \left( (\gamma^{\mathbf{TR}})(t), (\gamma^{\mathbf{TR}})'(t), \dots, (\gamma^{\mathbf{TR}})^{(n)}(t) \right) = \\ & = (-1)^{n(n+1)/2} \det J_+ \det Q^T \det \left( \gamma(1 - t), \gamma'(1 - t), \dots, \gamma^{(n)}(1 - t) \right) = \\ & = \det \left( \gamma(1 - t), \gamma'(1 - t), \dots, \gamma^{(n)}(1 - t) \right) > 0. \end{aligned}$$

We must now check that  $\mathfrak{F}_{\gamma, \mathbf{TR}}(0) = I$  and  $\mathfrak{F}_{\gamma, \mathbf{TR}}(1) = \mathbf{TR}(Q)$ . Recall that

$$\begin{aligned} (\gamma(t), \gamma'(t), \dots, \gamma^{(n)}(t)) &= \mathfrak{F}_{\gamma}(t)R_0(t), \\ ((\gamma^{\mathbf{TR}})(t), (\gamma^{\mathbf{TR}})'(t), \dots, (\gamma^{\mathbf{TR}})^{(n)}(t)) &= \mathfrak{F}_{\gamma, \mathbf{TR}}(t)R_1(t) \end{aligned}$$

where  $R_0$  and  $R_1$  are upper triangular matrices with positive diagonal. We have

$$\begin{aligned} &((\gamma^{\mathbf{TR}})(t), (\gamma^{\mathbf{TR}})'(t), \dots, (\gamma^{\mathbf{TR}})^{(n)}(t)) = \\ &= J_+ Q^T (\gamma(1-t), \gamma'(1-t), \dots, \gamma^{(n)}(1-t)) J_+ \end{aligned}$$

and therefore

$$\begin{aligned} \mathfrak{F}_{\gamma, \mathbf{TR}}(t) &= J_+ Q^T \mathfrak{F}_{\gamma}(1-t) R_0(1-t) J_+ R_1^{-1}(t) = \\ &= (J_+ Q^T \mathfrak{F}_{\gamma}(1-t) J_+) (J_+ R_0(1-t) J_+ R_1^{-1}(t)). \end{aligned}$$

Since  $(J_+ Q^T \mathfrak{F}_{\gamma}(1-t) J_+) \in SO_{n+1}$  and  $(J_+ R_0(1-t) J_+ R_1^{-1}(t))$  is upper triangular with positive diagonal we have

$$\mathfrak{F}_{\gamma, \mathbf{TR}}(t) = J_+ Q^T \mathfrak{F}_{\gamma}(1-t) J_+;$$

in particular,

$$\begin{aligned} \mathfrak{F}_{\gamma, \mathbf{TR}}(0) &= J_+ Q^T \mathfrak{F}_{\gamma}(1) J_+ = I, \\ \mathfrak{F}_{\gamma, \mathbf{TR}}(1) &= J_+ Q^T \mathfrak{F}_{\gamma}(0) J_+ = \mathbf{TR}(Q). \end{aligned}$$

This completes the proof of the first claim and of the first identity for smooth  $\gamma$ ; the second identity follows by taking derivatives and the final claim is now easy. The identities are extended to the general case (i.e.,  $\gamma$  not necessarily smooth) by continuity, thus completing the proof.  $\blacksquare$

## 5. ARNOLD DUALITY

Let  $A \in B_{n+1}^+ \subset SO_{n+1}$  be the anti-diagonal matrix with entries  $(A)_{i, n+2-i} = (-1)^{(i+1)}$ ; for instance, for  $n = 2$  and  $n = 3$  we have, respectively,

$$A = \begin{pmatrix} 0 & 0 & 1 \\ 0 & -1 & 0 \\ 1 & 0 & 0 \end{pmatrix}, \quad A = \begin{pmatrix} 0 & 0 & 0 & 1 \\ 0 & 0 & -1 & 0 \\ 0 & 1 & 0 & 0 \\ -1 & 0 & 0 & 0 \end{pmatrix};$$

this matrix will appear in several places below. Define an automorphism  $\mathbf{AD}$  of  $SO_{n+1}$  by  $\mathbf{AD}(Q) = A^T Q A$ ; notice that the subgroup  $B_{n+1}^+ \subset SO_{n+1}$  is invariant under this automorphism. As before, lift this automorphism to define an automorphism (also called  $\mathbf{AD}$ ) of  $\text{Spin}_{n+1}$  and  $\tilde{B}_{n+1}^+$ . The combinatorial description of  $\mathbf{AD}$  on  $B_{n+1}^+$  is the following: rotate  $Q$  by a half-turn (meaning that the  $(i, j)$ -th entry of  $Q$  becomes the  $(n-i+2, n-j+2)$ -th entry of the new matrix) and change signs of all entries with  $i+j$  odd. Notice that the map  $\mathbf{AD} : so_{n+1} \rightarrow so_{n+1}$  given by  $\mathbf{AD}(X) = A^T X A$  takes  $\mathfrak{T}$  to itself (as a set), but reverts the order of the subdiagonal entries.

For  $\gamma \in \mathcal{LS}^n(Q)$ , define its *Arnold dual* as

$$\gamma^{\mathbf{AD}}(t) = \mathbf{AD}(\mathfrak{F}_{\gamma}(t))e_1.$$

It turns out that this operation is just the usual projective duality between oriented hyperplanes and unit vectors in disguise (comp [2]).

**Lemma 5.1.** *For any  $\gamma \in \mathcal{LS}^n(Q)$  one has that  $\gamma^{\mathbf{AD}} \in \mathcal{LS}^n(\mathbf{AD}(Q))$ . Furthermore,*

$$\mathfrak{F}_{\gamma^{\mathbf{AD}}}(t) = \mathbf{AD}(\mathfrak{F}_\gamma(t)), \quad \Lambda_{\gamma^{\mathbf{AD}}}(t) = \mathbf{AD}(\Lambda_\gamma(t)).$$

*Arnold duality gives explicit homeomorphisms*

$$\mathcal{LS}^n(Q) \approx \mathcal{LS}^n(\mathbf{AD}(Q)), \quad \mathcal{LS}^n(z) \approx \mathcal{LS}^n(\mathbf{AD}(z)).$$

*Proof.* We must first check that if  $\gamma \in \mathcal{LS}^n$  is smooth then  $\gamma^{\mathbf{AD}}$  as defined above also belongs to  $\mathcal{LS}^n$ . Consider  $\tilde{\Gamma} : [0, 1] \rightarrow SO_{n+1}$  given by

$$\tilde{\Gamma}(t) = \mathbf{AD}(\mathfrak{F}_\gamma(t)) = A^T \mathfrak{F}_\gamma(t) A.$$

We have

$$(\tilde{\Gamma}(t))^{-1} \tilde{\Gamma}'(t) = A^T (\mathfrak{F}_\gamma(t))^{-1} A A^T \mathfrak{F}'_\gamma(t) A = A^T \Lambda_\gamma(t) A = \mathbf{AD}(\Lambda_\gamma(t)) \in \mathfrak{T};$$

by Lemma 2.1,  $\tilde{\Gamma} = \mathfrak{F}_{\tilde{\gamma}}$  for  $\tilde{\gamma} \in \mathcal{LS}^n$ : thus  $\gamma^{\mathbf{AD}} = \tilde{\gamma} \in \mathcal{LS}^n$ , completing our first check. The formulas for  $\mathfrak{F}_{\gamma^{\mathbf{AD}}}$  and  $\Lambda_{\gamma^{\mathbf{AD}}}$  have also been proved for smooth  $\gamma$  and therefore, by continuity, for all  $\gamma \in \mathcal{LS}^n$ . The formulas imply that if  $\gamma \in \mathcal{LS}^n(Q)$  then  $\gamma^{\mathbf{AD}} \in \mathcal{LS}^n(\mathbf{AD}(Q))$ . The final claim is now easy.  $\blacksquare$

## 6. CHOPPING OPERATION

The first two operations corresponded to  $\mathbb{Z}/2\mathbb{Z}$ -symmetries in  $\mathcal{LS}^{n+1}$ ; our third operation is quite different, loosely corresponding to taking  $\gamma \in \mathcal{LS}^{n+1}$  and chopping off a small tip at the end. We again start with algebra and combinatorics.

For a signed permutation  $Q \in B_{n+1}^+$  and a pair of indices  $(i, j)$  with  $(Q)_{(i,j)} \neq 0$  define  $\mathbf{NE}(Q, i, j)$  to be the number of pairs  $(i', j')$  with  $i' < i$ ,  $j' > j$  and  $(Q)_{(i',j')} \neq 0$ . In other words,  $\mathbf{NE}(Q, i, j)$  is the number of nonzero entries of  $Q$  in the northeast quadrant. Also set

$$\mathbf{SW}(Q, i, j) = \mathbf{NE}(Q^T, j, i).$$

It is easy to check that for all  $Q$  one has  $\mathbf{NE}(Q, i, j) - \mathbf{SW}(Q, i, j) = i - j$ .

Using the above notation define

$$\delta_i(Q) = (Q)_{(i,j)} (-1)^{\mathbf{NE}(Q, i, j)}$$

where  $j$  is the only index for which  $(Q)_{(i,j)} \neq 0$ . Additionally, define

$$\Delta(Q) = \text{diag}(\delta_1(Q), \delta_2(Q), \dots, \delta_{n+1}(Q)), \quad \text{and} \quad \text{trd}(Q) = \text{trace}(\Delta(Q)).$$

**Lemma 6.1.**  $\det Q = \det(\Delta(Q))$ .

*Proof.* Indeed, let  $\pi$  be the permutation such that  $\pi(j) = i$  if  $j$  is the only index for which  $(Q)_{(i,j)} \neq 0$ . Then

$$\begin{aligned} \det(\Delta(Q)) &= \prod_i \delta_i(Q) = \left( \prod_i (Q)_{(i,j)} \right) (-1)^{\sum_i \mathbf{NE}(Q, i, j)} \\ &= \left( \prod_i (Q)_{(i,j)} \right) (-1)^{|\{(i, i') : i' < i, \pi^{-1}(i') > \pi^{-1}(i)\}|} = \det Q. \end{aligned}$$

$\blacksquare$

Thus  $\Delta$  is a function from  $B_{n+1}^+$  to  $\text{Diag}_{n+1}^+ \subset B_{n+1}^+$ . Notice that  $\Delta(Q) = Q$  for any  $Q \in \text{Diag}_{n+1}^+$ . We extend  $\Delta$  to a function from  $SO_{n+1}$  to  $\text{Diag}_{n+1}^+$  by declaring that if  $Q$  and  $Q'$  are Bruhat equivalent then  $\Delta(Q) = \Delta(Q')$ ; we similarly extend the function  $\text{trd}(Q)$  to  $SO_{n+1}$ . The map  $\Delta : SO_{n+1} \rightarrow \text{Diag}_{n+1}^+$  is a projection (in the sense that  $\Delta(\Delta(Q)) = \Delta(Q)$ ) and therefore defines a partition of  $SO_{n+1}$  into  $2^n$  classes of the form  $\Delta^{-1}(Q)$ ,  $Q \in \text{Diag}_{n+1}^+$ . Furthermore, if  $Q \in \text{Diag}_{n+1}^+$ , we have  $\Delta(QQ') = Q\Delta(Q')$  so that a class  $\Delta^{-1}(Q)$  is a fundamental domain for the action of  $\text{Diag}_{n+1}^+$  on  $SO_{n+1}$  by multiplication.

Let  $A$  be the matrix used in the definition of Arnold duality. Notice that  $\Delta(A) = I$  and therefore  $\Delta(QA) = Q$  for all  $Q \in \text{Diag}_{n+1}^+$ . For  $Q \in SO_{n+1}$ , its *chopping* is defined by  $\mathbf{chop}(Q) = \Delta(Q)A$ . Thus the Bruhat equivalence class of  $\mathbf{chop}(Q)$  is an open set, dense in  $\Delta^{-1}(\Delta(Q)) = \mathbf{chop}^{-1}(\mathbf{chop}(Q))$ . The maps  $\Delta$  and  $\mathbf{chop}$  as well as the function  $\text{trd} : B_{n+1}^+ \rightarrow \mathbb{Z}$  will play a crucial role in our argument. (Notice that  $\Delta$  is **not** a group homomorphism).

Let us present a geometric interpretation for  $\Delta$  and  $\mathbf{chop}$ . For  $\gamma \in \mathcal{LS}^n(Q)$  and  $\epsilon > 0$ , we define the *naive chop* of  $\gamma$  by  $\epsilon$  as

$$\mathbf{chop}_\epsilon(\gamma)(t) = \gamma((1 - \epsilon)t).$$

A straightforward computation gives

$$\mathfrak{F}_{\mathbf{chop}_\epsilon(\gamma)}(t) = \mathfrak{F}_\gamma((1 - \epsilon)t), \quad \Lambda_{\mathbf{chop}_\epsilon(\gamma)}(t) = (1 - \epsilon)\Lambda_\gamma((1 - \epsilon)t);$$

in particular,

$$\mathfrak{F}_{\mathbf{chop}_\epsilon(\gamma)}(1) = \mathfrak{F}_\gamma(1 - \epsilon).$$

The inconvenience here is that if  $\epsilon > 0$  is fixed and  $\gamma$  varies over the whole  $\mathcal{LS}^n(Q)$  we have no control of  $\mathfrak{F}_{\mathbf{chop}_\epsilon(\gamma)}(1)$ , the final frame of  $\mathbf{chop}_\epsilon(\gamma)$ . The situation improves if we adapt the choice of  $\epsilon$  depending on  $\gamma$  and focus on Bruhat cells instead of individual final frames.

**Lemma 6.2.** *For any  $Q \in SO(n + 1)$  and for any  $\gamma \in \mathcal{LS}^n(Q)$  there exists  $\epsilon > 0$  such that for all  $t \in (1 - \epsilon, 1)$  we have that  $\mathfrak{F}_\gamma(t) \in \text{Bru}(\mathbf{chop}(Q))$ .*

In other words, given  $\gamma \in \mathcal{LS}^n$  there exists  $\tilde{\epsilon} > 0$  such that, for all  $\epsilon \in (0, \tilde{\epsilon})$ ,  $\mathfrak{F}_{\mathbf{chop}_\epsilon(\gamma)}(1)$  is Bruhat equivalent to  $\mathbf{chop}(\mathfrak{F}_\gamma(1))$ .

Before proving Lemma 6.2 we present an illustrative example for  $n = 2$ . Take

$$Q = \begin{pmatrix} 0 & 1 & 0 \\ -1 & 0 & 0 \\ 0 & 0 & 1 \end{pmatrix}.$$

and expand an arbitrary smooth curve  $\gamma \in \mathcal{LS}^2(Q)$  in a Taylor series near  $t = 1$ . Using  $x = t - 1$  we get  $\gamma(x) \approx (x, -1, x^2/2)$  (up to higher order terms) so that, for  $x \approx 0$ ,

$$\mathfrak{F}_\gamma(x) \approx \begin{pmatrix} x & 1 & 0 \\ -1 & 0 & 0 \\ x^2/2 & x & 1 \end{pmatrix}.$$

We now apply the above algorithm to find  $Q_0 \in B_{n+1}^+$  which is Bruhat equivalent to  $\mathfrak{F}_\gamma(x)$  when  $x$  is a negative number with a small absolute value (i.e.,  $Q_0 = U_1 \mathfrak{F}_\gamma(x) U_2$ ). We start at the (3, 1)-th entry  $x^2/2$ , which is positive. Thus,  $(Q_0)_{3,1} = +1$ . We now concentrate on the **SW** (i.e., bottom left)  $(2 \times 2)$ -blocks of  $\mathfrak{F}_\gamma(x)$  and  $Q_0$ : since  $Q_0 = U_1 \mathfrak{F}_\gamma(x) U_2$ , the signs of the determinants of these two blocks should be equal; since its original value equals  $-x > 0$ , the (2, 2)-th entry of  $Q_0$  equals  $-1$ . Finally, the (1, 3)-th entry must be set to 1 for the whole determinant to be

positive. Summing up, if  $\gamma \in \mathcal{LS}^2(Q)$  then there exists  $\epsilon > 0$  such that for any  $t \in (1 - \epsilon, 1)$  one has that  $\mathfrak{F}_\gamma(t)$  is Bruhat equivalent to

$$\mathbf{chop}(Q) = \begin{pmatrix} 0 & 0 & 1 \\ 0 & -1 & 0 \\ 1 & 0 & 0 \end{pmatrix}.$$

The general proof below follows the same idea.

*Proof.* As above, consider  $Q \in B_{n+1}^+$  with associated permutation  $\pi$ , so that  $Q_{i,j} \neq 0$  if and only if  $\pi(j) = i$ . Write a Taylor approximation  $\mathfrak{F}_\gamma(x) \approx M(x)$  where

$$(M(x))_{i,j} = s_i g(\ell) x^\ell, \quad \pi^{-1}(i) = j + \ell, \quad (Q)_{i,j+\ell} = s_i,$$

where

$$g(\ell) = \begin{cases} 1/\ell!, & \ell \geq 0, \\ 0, & \ell < 0. \end{cases}$$

Let  $M_k(x)$  be the **SW** ( $k \times k$ )-block of  $M(x)$ : from the algorithm, we must show that, for small negative  $x$ , the matrix  $M_k(x)$  is invertible and compute the sign of its determinant.

Write  $M(x) = EG^\pi X^\pi(x) \tilde{M} \tilde{X}(x)$  for

$$E = \text{diag}(s_i), \quad G^\pi = \text{diag}(g(\pi^{-1}(i) - 1)), \quad X^\pi(x) = \text{diag}(x^{\pi^{-1}(i)-1}), \\ (\tilde{M})_{i,j} = (\pi^{-1}(i) - 1)^{j-1}, \quad \tilde{X}(x) = \text{diag}(x^{1-i}).$$

Here we use the notation  $a^b = a(a-1) \cdots (a-b+1)$ . Let  $E_k, G_k^\pi, X_k^\pi(x)$  be the **SE**  $k \times k$ -blocks of  $E, G^\pi, X^\pi(x)$ , respectively. Similarly, let  $\tilde{M}_k$  and  $\tilde{X}_k(x)$  be the **SW** and **NW**  $k \times k$ -blocks of  $\tilde{M}$  and  $\tilde{X}(x)$ , respectively. We have  $M_k(x) = E_k G_k^\pi X_k^\pi(x) \tilde{M}_k \tilde{X}_k(x)$  and therefore  $\det M_k(x)$  is the product of the determinants of these blocks. We must therefore determine the sign of the determinant of each block.

For real numbers  $a$  and  $b$ , we write  $a \sim b$  if  $a$  and  $b$  have the same sign. We have  $\det E_k = \prod_{j \geq n-k+2} s_i$ ,  $\det G_k^\pi \sim 1$ ,

$$\det X_k^\pi(x) = \prod_{j \geq n-k+2} (x^{\pi^{-1}(i)-1}) = x^{(\sum_{j \geq n-k+2} (\pi^{-1}(i)-1))} \\ \sim (-1)^{(k + \sum_{j \geq n-k+2} \pi^{-1}(i))}$$

and  $\det \tilde{X}_k(x) = x^{-k(k-1)/2} \sim (-1)^{k(k-1)/2}$ . In order to compute  $\det \tilde{M}_k$ , consider the Vandermonde matrix  $V^\pi$  with  $(V^\pi)_{i,j} = (\pi^{-1}(i) - 1)^{j-1}$ ; notice that there exists  $U \in \mathcal{U}_{n+1}^1$  with  $V^\pi = \tilde{M}U$ . Let  $V_k^\pi$  be the **SW**  $k \times k$ -block of  $V^\pi$ , also a Vandermonde matrix. We have

$$\det \tilde{M}_k = \det V_k^\pi = \prod_{n-k+2 \leq j < j' \leq n+1} (\pi^{-1}(j') - \pi^{-1}(j)).$$

At this point we know that  $\det M_k \neq 0$  (with the same sign for all small negative  $x$ ) and therefore there exists a diagonal matrix  $\hat{\Delta}(Q) \in B_{n+1}^+$  such that  $M(x)$  and  $\hat{M} = \hat{\Delta}(Q)A$  are Bruhat equivalent. Write  $\hat{\Delta}(Q) = \text{diag}(\hat{\delta}_i(Q))$ ; we must compute  $\hat{\delta}_i(Q)$ . Let  $\hat{M}_k$  be the **SW**  $k \times k$ -block of  $\hat{M}_k$ : by Bruhat equivalence we have  $\det \hat{M}_k \sim \det M_k(x)$ ; by construction we have  $\det \hat{M}_k = (-1)^{kn} \prod_{j \geq n-k+2} \hat{\delta}_j(Q)$ . Thus  $\hat{\delta}_{n-k+2}(Q) \sim (-1)^n \det M_k(x) \det M_{k-1}(x)$ .

We have

$$\begin{aligned}
\det E_k \det E_{k-1} &= s_{n-k+2} = Q_{n-k+2, \pi^{-1}(n-k+2)}, \\
\det X_k^\pi(x) \det X_{k-1}^\pi(x) &\sim (-1)^{\pi^{-1}(n-k+2)-1}, \\
\det \tilde{X}_k \det \tilde{X}_{k-1} &\sim (-1)^{k-1}, \\
\det \tilde{M}_k \det \tilde{M}_{k-1} &\sim \prod_{n-k+2 < j' \leq n+1} (\pi^{-1}(j') - \pi^{-1}(n-k+2)) \\
&\sim (-1)^{\mathbf{SW}(Q, n-k+2, \pi^{-1}(n-k+2))}
\end{aligned}$$

and therefore

$$\hat{\delta}_{n-k+2}(Q) \sim (-1)^n Q_{n-k+2, \pi^{-1}(n-k+2)} (-1)^{\mathbf{SW}(Q, n-k+2, \pi^{-1}(n-k+2)) + k + \pi^{-1}(n-k+2)}.$$

Since both sides have absolute value 1 the latter relation is actually an equality; for  $i = n - k + 2$  and  $j = \pi^{-1}(n - k + 2)$  we then have

$$\hat{\delta}_i(Q) = Q_{i,j}(-1)^{\mathbf{SW}(Q, i, j) + i + j} = Q_{i,j}(-1)^{\mathbf{NE}(Q, i, j)} = \delta_i(Q).$$

Thus  $\hat{\Delta}(Q) = \Delta(Q)$  and we are done.  $\blacksquare$

A geometric description of the situation is now more clear. The Bruhat cells of the form  $\text{Bru}(DA)$ ,  $D \in \text{Diag}_{n+1}^+$ , are disjoint open sets and their union is dense in  $SO_{n+1}$ . The complement of this union is the disjoint union of Bruhat cells of lower dimension. Let  $\Gamma : (-\epsilon, \epsilon) \rightarrow SO_{n+1}$  be a smooth Jacobian curve (i.e., with  $\Lambda(t) = (\Gamma(t))^{-1}\Gamma'(t) \in \mathfrak{I}$  for all  $t \in (-\epsilon, \epsilon)$ ): if  $\Gamma(0)$  does not belong to a top-dimensional Bruhat cell then the function **chop** and Lemma 6.2 tell us in which cell  $\Gamma(t)$  falls for  $t < 0$ ,  $|t|$  small. In other words, provided you follow a Jacobian curve you can only arrive at a given low-dimensional Bruhat cell from one of the adjacent top-dimensional cells.

As discussed above, the decomposition into Bruhat cells lifts of  $\text{Spin}_{n+1}$ . The above geometric characterization of **chop** thus also lifts to a map  $\mathbf{chop} : \text{Spin}_{n+1} \rightarrow \tilde{B}_{n+1}^+$ . Let  $\mathbf{a} = \mathbf{chop}(\mathbf{1})$  (so that  $\Pi(\mathbf{a}) = A$ ) and define  $\Delta : \text{Spin}(n+1) \rightarrow \widetilde{\text{Diag}}_{n+1}^+$  by  $\mathbf{chop}(z) = \Delta(z)\mathbf{a}$ . We shall not attempt to give a combinatorial description of  $\Delta$  or **chop** in the spin groups.

We present yet another interpretation of the chopping operation. Let  $\Gamma : (t_0 - c, t_0 + c) \rightarrow \text{Spin}_{n+1}$  be a Jacobian curve. Notice that if  $\Gamma$  is Jacobian and  $z \in \text{Spin}_{n+1}$  then so is  $z\Gamma$  (their logarithmic derivatives are equal). Thus, Lemma 6.2 can be extended to show that for all  $z \in \text{Spin}_{n+1}$  one has that  $z\Gamma(t_0 - \epsilon) \in \text{Bru}(\mathbf{chop}(z\Gamma(t_0)))$  or  $\Gamma(t_0 - \epsilon) \in z^{-1}\text{Bru}(\mathbf{chop}(z\Gamma(t_0)))$ . In particular, taking  $z = (\Gamma(t_0))^{-1}$ , we have  $\Gamma(t_0 - \epsilon) \in \Gamma(t_0)\text{Bru}(\mathbf{a})$ . Conversely, given  $Q_1 \in SO_{n+1}$  and  $Q_0 \in Q_1\text{Bru}(A)$  there exists a globally Jacobian curve  $\Gamma : [0, 1] \rightarrow SO_{n+1}$  with  $\Gamma(0) = Q_0$ ,  $\Gamma(1) = Q_1$  (so that  $\gamma : [0, 1] \rightarrow \mathbb{S}^n$ ,  $\gamma(t) = \Gamma(t)e_1$ , is globally convex). The following statement thus follows from Lemma 6.2.

**Corollary 6.3.** *Given  $Q \in SO_{n+1}$  there exists an open set  $U \subset SO_{n+1}$  with  $Q \in U$  and  $U \cap (Q\text{Bru}(A)) \subseteq \text{Bru}(\mathbf{chop}(Q))$ . Similarly, given  $z \in \text{Spin}_{n+1}$  there exists an open set  $U \subset \text{Spin}_{n+1}$  with  $z \in U$  and  $U \cap (z\text{Bru}(\mathbf{a})) \subseteq \text{Bru}(\mathbf{chop}(z))$ .*

*Proof.* The  $SO_{n+1}$  case follows from the remarks above together with Lemma 6.2; the  $\text{Spin}_{n+1}$  case is similar.  $\blacksquare$

The next statement is crucial in our consideration.

**Proposition 6.4.** *For any  $z \in \tilde{B}_{n+1}^+$  there are homeomorphisms*

$$\mathcal{L}\mathcal{S}^{n+1}(z) \approx \mathcal{L}\mathcal{S}^{n+1}(\mathbf{chop}(z)) \approx \mathcal{L}\mathcal{S}^{n+1}(\Delta(z)).$$

We need a few preliminary constructions and results. Consider a Jacobian curve  $\Gamma_0 : [0, 1] \rightarrow SO_{n+1}$  with  $\Gamma_0(0) = Q_0$  and  $\Gamma_0(1) = Q_1$ . Define  $\mathbf{C}_{Q_0, \Gamma_0, Q_1} : \mathcal{L}\mathcal{S}^{n+1}(Q_0) \rightarrow \mathcal{L}\mathcal{S}^{n+1}(Q_1)$  by

$$(\mathbf{C}_{Q_0, \Gamma_0, Q_1}(\gamma))(t) = \begin{cases} \gamma(2t), & t \leq 1/2, \\ \Gamma_0(2t-1)e_1, & t \geq 1/2. \end{cases}$$

**Lemma 6.5.** *Consider a globally Jacobian curve  $\Gamma_0 : [0, 1] \rightarrow SO_{n+1}$  whose image is contained in a Bruhat cell. Let  $Q_0 = \Gamma_0(0)$ ,  $Q_1 = \Gamma_0(1)$  and  $U \in \mathcal{U}_{n+1}^1$  with  $B(U, Q_0) = Q_1$ . Then the maps  $\mathbf{B}_{Q_0, U, Q_1}$  and  $\mathbf{C}_{Q_0, \Gamma_0, Q_1}$  are homotopic. In particular,  $\mathbf{C}_{Q_0, \Gamma_0, Q_1}$  is a homotopy equivalence.*

*Proof.* Since  $Q_0$  and  $\Gamma(s)$  are in the same Bruhat cell for all  $s \in [0, 1]$  we can define a continuous function  $\mathbf{U} : [0, 1] \rightarrow \mathcal{U}_{n+1}^1$  with  $B(\mathbf{U}(s), Q_0) = \Gamma(s)$ ,  $\mathbf{U}(0) = I$ ,  $\mathbf{U}(1) = U$ . Define  $H : \mathcal{L}\mathcal{S}^n(Q_0) \times [0, 1] \rightarrow \mathcal{L}\mathcal{S}^n(Q_1)$  by

$$(H(\gamma, s))(t) = \begin{cases} \mathbf{B}_{Q_0, \mathbf{U}(s), \Gamma(s)}(2t/(1+s)), & t \leq (1+s)/2, \\ \Gamma_0(2t-1)e_1, & t \geq (1+s)/2. \end{cases}$$

The map  $H$  produces the desired homotopy from  $\mathbf{C}_{Q_0, \Gamma_0, Q_1}$  to  $\mathbf{B}_{Q_0, U, Q_1}$ .  $\blacksquare$

To prove Proposition 6.4 we will also use the following previously known facts.

**Fact 1** (comp. Lemma 5 in [13]). *For any  $z \in \text{Spin}_{n+1}$  the space  $\mathcal{L}\mathcal{S}^n(z)$  has two connected components if and only if there exists a globally convex curve in  $\mathcal{L}\mathcal{S}^n(z)$ . One of these connected components is the set of all globally convex curves in  $\mathcal{L}\mathcal{S}^n(z)$  and this connected component is contractible. If  $\mathcal{L}\mathcal{S}^n(z)$  contains no globally convex curves then it is connected.*

**Fact 2** (comp. Theorem 0.1 in [3]). *Let  $M$  and  $N$  be two topological Hilbert manifolds. Then any weak homotopy equivalence  $f_0 : N \rightarrow M$  is homotopic to a homeomorphism  $f_1 : N \rightarrow M$ .*

Let  $z_1 \in \tilde{B}_{n+1}^+$  and consider a smooth Jacobian curve  $\Gamma_{\mathbf{aux}} : [-\epsilon, \epsilon] \rightarrow \text{Spin}_{n+1}$  with  $\Gamma_{\mathbf{aux}}(0) = z_1$ . Choose  $\epsilon$  sufficiently small so that the image of  $\Gamma_{\mathbf{aux}}([-\epsilon, 0]) \subset \text{Bru}(\mathbf{chop}(z_1)) \cap (z_1 \text{Bru}(\mathbf{a}))$ . Let  $z_0 = \Gamma_{\mathbf{aux}}(-\epsilon)$ ,  $\Gamma_0(t) = \Gamma_{\mathbf{aux}}(\epsilon(t-1))$ . Proposition 6.4 now follows directly from the next lemma.

**Lemma 6.6.** *The map  $\mathbf{C}_{z_0, \Gamma_0, z_1} : \mathcal{L}\mathcal{S}^n(z_0) \rightarrow \mathcal{L}\mathcal{S}^n(z_1)$  is a weak homotopy equivalence.*

*Proof.* For  $k$  a non-negative integer, let  $\alpha : \mathbb{S}^k \rightarrow \mathcal{L}\mathcal{S}^{n+1}(z_1)$ : we construct  $\tilde{\alpha} : \mathbb{S}^k \rightarrow \mathcal{L}\mathcal{S}^{n+1}(z_0)$  and a homotopy  $H : \mathbb{S}^k \times [0, 1] \rightarrow \mathcal{L}\mathcal{S}^{n+1}(z_1)$  with  $H(s, 0) = \alpha(s)$ ,  $H(s, 1) = \mathbf{C}_{z_0, \Gamma_0, z_1}(\tilde{\alpha}(s))$ . By compactness and continuity, there exists  $\epsilon_1 > 0$  such that for all  $s \in \mathbb{S}^k$  and for all  $t \in [1 - \epsilon_1, 1)$  we have  $\mathfrak{F}_{\alpha(s)}(t) \in \text{Bru}(\mathbf{chop}(z_1)) \cap (z_1 \text{Bru}(\mathbf{a}))$ . Again by compactness and continuity, there exists  $\epsilon_2 > 0$ ,  $\epsilon_2 < \epsilon_1/2$ , such that for all  $s \in \mathbb{S}^k$  we have  $\mathfrak{F}_{\alpha(s)}(1 - \epsilon_1) \in \text{Bru}(\mathbf{chop}(z_1)) \cap (\Gamma_0(1 - \epsilon_2) \text{Bru}(\mathbf{a}))$ . Thus, for each  $s \in \mathbb{S}^k$ , the space  $X_s$  of globally Jacobian curves  $\Gamma_s : [1 - \epsilon_1, 1]$  for which  $\Gamma_s(1 - \epsilon) = \mathfrak{F}_{\alpha(s)}(1 - \epsilon)$  and  $\Gamma_s(1) = z_1$  is non-empty (since  $\alpha(s)|_{[1 - \epsilon_1, 1]} \in X_s$ ) and therefore, by Fact 1, a contractible space. Consider the subspace  $Y_s \subset X_s$  of curves for which  $\Gamma_s(t) = \Gamma_0(t)$  for  $t \geq 1 - \epsilon_2$ ; the condition  $\mathfrak{F}_{\alpha(s)}(1 - \epsilon_1) \in$

$(\Gamma_0(1 - \epsilon_2)\text{Bru}(\mathbf{a}))$  implies that  $Y_s$  is non-empty and Fact 1 implies that  $Y_s$  is also contractible. We may therefore construct a homotopy  $H_1 : \mathbb{S}^k \times [0, 1] \rightarrow \mathcal{LS}^{n+1}(z_1)$  with  $H(s, 0) = \alpha(s)$ ,  $H(s, \tilde{s}) \in X_s$  and  $H(s, 1) \in Y_s$ . In other words, we may assume without loss of generality that there exists  $\epsilon_2 > 0$  such that  $\alpha(s)(t) = \gamma_0(t)$  for all  $s \in \mathbb{S}^k$  and  $t > 1 - \epsilon_2$ .

Set  $z_2 = \Gamma_0(1 - \epsilon_2)$  and  $\Gamma_2 : [0, 1] \rightarrow \text{Spin}_{n+1}$  with  $\Gamma_2(t) = \Gamma_0((1 - \epsilon_2) + \epsilon_2 t)$ . We may reparameterize the curves so that, for all  $s$ ,  $\alpha(s)(1/2) = z_2$  and  $\alpha(s)(t) = \Gamma_2(2t - 1)$  for  $t \geq 1/2$ . In other words, we may assume that  $\alpha(s) = \mathbf{C}_{z_2, \Gamma_2, z_1} \hat{\alpha}(s)$ . Set  $\Gamma_3(t) = \Gamma_0(t/(1 - \epsilon_2))$ ; Lemma 6.5 tells us that  $\mathbf{C}_{z_0, \Gamma_3, z_2} : \mathcal{LS}^n(z_0) \rightarrow \mathcal{LS}^n(z_2)$  is a homotopy equivalence:  $\hat{\alpha}$  is therefore homotopic to  $\mathbf{C}_{z_0, \Gamma_3, z_2} \circ \tilde{\alpha}$  for some  $\tilde{\alpha} : \mathbb{S}^k \rightarrow \mathcal{LS}^n(z_0)$ , implying that  $\alpha$  is homotopic to  $\mathbf{C}_{z_0, \Gamma_0, z_1} \circ \tilde{\alpha}$ , as desired. This completes the proof that  $\pi_k(\mathbf{C}_{z_0, \Gamma_0, z_1}) : \pi_k(\mathcal{LS}^n(z_0)) \rightarrow \pi_k(\mathcal{LS}^n(z_1))$  is surjective.

The proof that this map is injective is similar. Let  $\tilde{\alpha} : \mathbb{S}^k \rightarrow \mathcal{LS}^n(z_0)$  and  $\alpha = \mathbf{C}_{z_0, \Gamma_0, z_1} \circ \tilde{\alpha}$ ; assume that  $\tilde{\alpha}$  is homotopically trivial, i.e., that there exists  $H : \mathbb{B}^{k+1} \rightarrow \mathcal{LS}^n(z_1)$  with  $H|_{\mathbb{S}^k} = \alpha$ : we need to prove that  $\alpha$  is homotopically trivial. As above, change  $H$  so that  $H(s)$  agrees with  $\Gamma_0$  near 1, i.e., we may assume  $H$  to be of the form  $H = \mathbf{C}_{z_2, \Gamma_2, z_1} \circ \hat{H}$ . We therefore have that  $\mathbf{C}_{z_0, \Gamma_3, z_2} \circ \tilde{\alpha}$  is homotopically trivial. Since  $\mathbf{C}_{z_0, \Gamma_3, z_2} : \mathcal{LS}^n(z_0) \rightarrow \mathcal{LS}^n(z_2)$  is a homotopy equivalence we are done.  $\blacksquare$

## 7. PROOF OF THEOREM 1

First we reformulate Theorem 1 using the language of the previous sections.

**Theorem 3.** *Let  $Q_0, Q_1 \in SO_{n+1}$ : if  $\text{trd}(Q_0) = \text{trd}(Q_1)$  then  $\mathcal{LS}^n(Q_0)$  and  $\mathcal{LS}^n(Q_1)$  are homeomorphic.*

*Let  $z_0, z_1 \in \text{Spin}_{n+1}$ : if  $\text{trd}(z_0) = \text{trd}(z_1)$  and  $|\text{trd}(z_0)| \neq n + 1$  then  $\mathcal{LS}^n(z_0)$  and  $\mathcal{LS}^n(z_1)$  are homeomorphic.*

Theorem 1 follows directly from Theorem 3. The condition  $|\text{trd}(z_0)| \neq n + 1$  in the spin part is necessary: for  $n = 2$  and  $\mathbf{1}, -\mathbf{1} \in \text{Spin}_3$  the two central elements the spaces  $\mathcal{LS}^2(\mathbf{1})$  and  $\mathcal{LS}^2(-\mathbf{1})$  are not homeomorphic since they have different numbers of connected components.

Recall that from Lemmas 3.1 and 6.6 and Proposition 6.4 we already know that if  $\Delta(Q_0) = \Delta(Q_1)$  then  $\mathcal{LS}^n(Q_0)$  and  $\mathcal{LS}^n(Q_1)$  (as well as  $\mathcal{LS}^n(\Delta(Q_0))$ ) are homeomorphic; we have a similar result for the spin group. We are therefore left to consider the spaces  $\mathcal{LS}^n(Q)$ ,  $Q \in \text{Diag}_{n+1}^+$ , and their spin counterparts. A number of additional statements are required for the proof of Theorem 3.

**Lemma 7.1.** *Let  $D_0, D_1 \in \text{Diag}_{n+1}^+$  with  $\text{trd}(D_0) = \text{trd}(D_1)$ . Then there exists  $Q \in B_{n+1}^+$  with  $\Delta(Q) = D_0$ ,  $\Delta(\mathbf{TR}(Q)) = D_1$ . Thus  $\mathcal{LS}^n(D_0)$  and  $\mathcal{LS}^n(D_1)$  are homeomorphic.*

**Proof:** Let  $\pi$  be a permutation of  $\{1, 2, \dots, n + 1\}$  with  $(D_1)_{\pi(i), \pi(i)} = (D_0)_{i, i}$  for all  $i$ . Let  $P$  be a permutation matrix with  $(P)_{(i, j)} = 1$  if and only if  $j = \pi(i)$ . Set  $Q = D_0 \Delta(P) P$ : we have  $\Delta(Q) = D_0 \Delta(P) \Delta(P) = D_0$ . On the other hand, if  $\pi(i) = j$ , we have  $\delta_j(\mathbf{TR}(Q)) = \delta_i(Q)$  (from the proof of Lemma 7.3) and therefore  $\delta_j(\mathbf{TR}(Q)) = (D_0)_{(i, i)} (\Delta(P))_{(i, i)} \delta_i(P) = (D_0)_{(i, i)} = (D_1)_{j, j}$  and  $\Delta(\mathbf{TR}(Q)) = D_1$ . The last claim follows from Proposition 6.4.  $\blacksquare$

This completes the proof of Theorem 3 for the  $SO_{n+1}$  case: one judicious use of the equivalences proved in the previous section is enough. The spin case is slightly subtler: it turns out that a single instance of the equivalences is not enough, which can be readily checked by an exhaustive search in the case  $n = 2$ . A small chain of consecutive instances of the equivalences are therefore used.

**Lemma 7.2.** *Let  $z_0, z_1 \in \widetilde{\text{Diag}}_{n+1}^+$  with  $\text{trd}(z_0) = \text{trd}(z_1) \neq \pm(n+1)$ . Then there exist  $w_0, w_1 \in \tilde{B}_{n+1}^+$  with  $\Delta(w_0) = z_0$ ,  $\Delta(\mathbf{TR}(w_1)) = z_1$  and either  $\Delta(\mathbf{TR}(w_0)) = \Delta(w_1)$  or  $\Delta(\mathbf{TR}(w_0)) = \mathbf{TR}(\Delta(w_1))$ . Thus  $\mathcal{LS}^n(z_0)$  and  $\mathcal{LS}^n(z_1)$  are homeomorphic.*

*Proof.* Take  $s = \text{trd}(z_0)$  and apply Lemma 4.1 to obtain  $z \in \widetilde{\text{Diag}}_{n+1}^+$  with  $\mathbf{TR}(z) = -z$ . Let  $Q_0 = \Pi(z_0)$ ,  $Q_1 = \Pi(z_1)$ ,  $Q = \Pi(z)$ . By Lemma 7.1 there exist  $P_0, P_1 \in B_{n+1}^+$  with  $\Delta(P_0) = Q_0$ ,  $\Delta(\mathbf{TR}(P_0)) = Q$ ,  $\Delta(P_1) = Q$ ,  $\Delta(\mathbf{TR}(P_1)) = Q_1$ . Take  $w_0, w_1 \in \tilde{B}_{n+1}^+$  with  $\Pi(w_0) = P_0$ ,  $\Pi(w_1) = P_1$ ,  $\Delta(w_0) = z_0$ ,  $\Delta(\mathbf{TR}(w_1)) = z_1$ . We have  $\Delta(\mathbf{TR}(w_0)) = \pm z$  and  $\Delta(w_1) = \pm z$  and we are done. ■

Theorem 3 follows directly from Lemmas 7.1 and 7.2.

It is natural to ask whether Theorem 3 is the strongest possible such statement, i.e., if spaces which it does not declare homeomorphic are actually not homeomorphic. We do not know the answer to this question (see Problem 2 below) but the following proposition shows that it is the strongest result which follows (or follows directly) from the remarks of the previous sections.

**Proposition 7.3.** *For all  $Q \in B_{n+1}^+$  we have  $\text{trd}(\mathbf{AD}(Q)) = \text{trd}(\mathbf{TR}(Q)) = \text{trd}(Q)$ .*

**Proof:** Assume  $(Q)_{(i,j)} \neq 0$ . We have

$$\begin{aligned} \delta_{n+2-i}(\mathbf{AD}(Q)) &= (\mathbf{AD}(Q))_{(n+2-i, n+2-j)} (-1)^{\mathbf{NE}(\mathbf{AD}(Q), n+2-i, n+2-j)} \\ &= (-1)^{(i+j)} Q_{(i,j)} (-1)^{\mathbf{SW}(Q, i, j)} = Q_{(i,j)} (-1)^{\mathbf{NE}(Q, i, j)} = \delta_i(Q) \end{aligned}$$

and

$$\begin{aligned} \delta_j(\mathbf{TR}(Q)) &= (\mathbf{TR}(Q))_{(j,i)} (-1)^{\mathbf{NE}(\mathbf{TR}(Q), j, i)} \\ &= (-1)^{(i+j)} (Q)_{(i,j)} (-1)^{\mathbf{SW}(Q, i, j)} = Q_{(i,j)} (-1)^{\mathbf{NE}(Q, i, j)} = \delta_i(Q). \end{aligned}$$

The proposition now follows. ■

## 8. PROOF OF THEOREM 2

Our nearest goal is to prove Theorem 2(i), i.e. the fact that the inclusion  $\hat{\mathcal{L}}S^n(z) \subset \Omega \text{Spin}_{n+1}(z)$  is homotopically surjective for all  $z$  and then to settle Theorem 2(ii), i.e. that this inclusion is a homotopy equivalence if  $\Pi(z) = \pm J_+$ .

Recall that the group  $SO_{n+1} \subset \mathbb{R}^{(n+1) \times (n+1)}$  has a natural Riemann metric and  $\text{Spin}_{n+1}$  inherits it via  $\Pi$ . With this metric, let  $r_{n+1} > 0$  be the injectivity radius of the exponential map, i.e.,  $r_{n+1}$  is such that if  $z_0, z_1 \in \text{Spin}_{n+1}$ ,  $d(z_0, z_1) < r_{n+1}$ , then there exists a unique shortest geodesic  $g_{z_0, z_1} : [0, 1] \rightarrow \text{Spin}_{n+1}$  (parametrized by a constant multiple of arc length) joining  $z_0$  and  $z_1$  so that  $g_{z_0, z_1}(i) = z_i$ ,  $i = 0, 1$ .

We will need another technical lemma.

**Lemma 8.1.** *Let  $K$  be a smooth compact manifold and  $\alpha : K \times [0, 1] \rightarrow \text{Spin}_{n+1}$  be a smooth function and write  $\alpha_s(t) = \alpha(s, t)$ . Then there exists  $\xi_\star \in \mathcal{LS}^n(\mathbf{1})$  and corresponding  $\Xi_\star \in \hat{\mathcal{L}}\mathbb{S}^n$  such that  $\xi_\star^{\text{TR}} = \xi_\star$  and the curves  $\gamma_s(t) = \alpha_s(t)\xi_\star(t)$  are positive locally convex for all  $s \in K$ . Furthermore, given  $\epsilon > 0$ ,  $\epsilon < r_{n+1}$ , we may assume that*

$$d(\tilde{\mathfrak{F}}_{\gamma_s}(t), \alpha_s(t)\Xi_\star(t)) < \epsilon$$

for all  $s \in K$ ,  $t \in [0, 1]$ .

The intuitive picture here, at least for  $n = 2$ , is that an arbitrary curve  $\gamma : \mathbb{S}^1 \rightarrow \mathbb{S}^n$  can be replaced by a phone wire, a locally convex curve which in some sense follows  $\gamma$  while quickly rotating in a transversal direction to guarantee local convexity (see Figure 2).

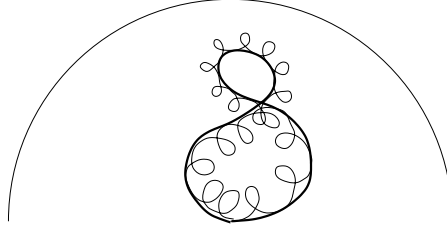


FIGURE 2. Approximating a curve by a locally convex curve

**Proof:** Take  $\xi_1 \in \mathcal{LS}^n(\mathbf{1})$  as in Lemma 2.2. We claim that  $\xi_\star(t) = \xi_1(Nt)$  satisfies the lemma for a sufficiently large integer  $N$ . Notice that  $\xi_\star^{(k)}(t) = N^k \xi_1^{(k)}(Nt)$  and

$$\begin{aligned} \gamma_s^{(k)}(t) &= N^k \left( \alpha_s(t) \xi_1^{(k)}(Nt) + \dots + \frac{1}{N^j} \binom{k}{j} \alpha_s^{(j)}(t) \xi_1^{(k-j)}(Nt) + \dots \right) \\ &= N^k (\alpha_s(t) \xi_1^{(k)}(Nt) + E_k(N, s, t)) \end{aligned}$$

where  $E_k(N, s, t)$  tends to 0 when  $N \rightarrow \infty$ . Since

$$\det(\alpha_s(t) \xi_1(Nt), \dots, \alpha_s(t) \xi_1^{(n)}(Nt)) = \det(\xi_1(Nt), \dots, \xi_1^{(n)}(Nt))$$

is positive and bounded away from 0 it follows that  $\gamma_s$  is indeed locally convex for sufficiently large  $N$ . Furthermore, the identities

$$\begin{aligned} \begin{pmatrix} \alpha_s(t) \xi_1(Nt) + E_0(N, s, t) & & \\ & \dots & \\ & & \alpha_s(t) \xi_1^{(n)}(Nt) + E_n(N, s, t) \end{pmatrix} &= \tilde{\mathfrak{F}}_{\gamma_s}(t) R_{\gamma_s}(t) \\ \begin{pmatrix} \alpha_s(t) \xi_1(Nt) & & \\ & \dots & \\ & & \alpha_s(t) \xi_1^{(n)}(Nt) \end{pmatrix} &= \alpha_s(t) \Xi_\star(t) R_{\xi_\star}(t), \end{aligned}$$

where  $R_{\gamma_s}(t)$  and  $R_{\xi_\star}(t)$  are upper triangular matrices with positive diagonals, show that  $d(\tilde{\mathfrak{F}}_{\gamma_s}(t), \alpha_s(t)\Xi_\star(t))$  can be made arbitrarily small by choosing large  $N$ . ■

**Proposition 8.2.** *For any  $z \in \text{Spin}_{n+1}$  the inclusion  $\hat{\mathcal{L}}\mathbb{S}^n(z) \subset \Omega \text{Spin}_{n+1}(z)$  is homotopically surjective. In other words, given  $\alpha_0 : \mathbb{S}^k \rightarrow \Omega \text{Spin}_{n+1}(z)$  there exists a homotopy in  $\Omega \text{Spin}_{n+1}(z)$  from  $\alpha_0$  to  $\alpha_1 : \mathbb{S}^k \rightarrow \hat{\mathcal{L}}\mathbb{S}^n(z) \subset \Omega \text{Spin}_{n+1}(z)$ .*

*Proof.* Write  $\alpha_0(s; t) = \alpha_0(s)(t)$ . Assume without loss of generality that  $\alpha_0$  is smooth if interpreted as  $\alpha_0 : \mathbb{S}^k \times [0, 1] \rightarrow \text{Spin}_{n+1}$ . We may also assume that  $\alpha_0$  is flat at both  $t = 0$  and  $t = 1$ , i.e., that  $(\alpha_0(s))^{(m)}(t) = 0$  for  $t \in \{0, 1\}$ , for all  $s \in \mathbb{S}^k$  and all  $m > 0$ . By Lemma 8.1, there exist  $\xi_\star \in \mathcal{LS}^n(\mathbf{1})$  and corresponding  $\Xi_\star \in \hat{\mathcal{L}}\mathbb{S}^n(\mathbf{1})$  such that

$$d(\tilde{\mathfrak{F}}_{\gamma_s}(t), \alpha_0(s; t)\Xi_\star(t)) < \frac{r_{n+1}}{2}$$

for all  $s \in \mathbb{S}^k$ ,  $t \in [0, 1]$ ; here, as in Lemma 8.1,  $\gamma_s(t) = \alpha(s; t)\xi_\star(t)$ . The flatness condition guarantees that

$$\tilde{\mathfrak{F}}_{\gamma_s}(0) = \alpha_0(s; 0)\Xi_\star(0) = \mathbf{1}, \quad \tilde{\mathfrak{F}}_{\gamma_s}(1) = \alpha_0(s; 1)\Xi_\star(1) = z.$$

Recall that  $\Xi_\star \in \Omega \text{Spin}_{n+1}(\mathbf{1})$ : let  $H : [0, 1] \rightarrow \Omega \text{Spin}_{n+1}(\mathbf{1})$  be a homotopy between the constant path  $H(0)(t) = \mathbf{1}$  and  $H(1) = \Xi_\star$ .

Take  $\alpha_1(s; t) = \tilde{\mathfrak{F}}_{\gamma_s}(t)$  and  $\alpha_{1/2}(s; t) = \alpha_0(s; t)\Xi_\star(t)$ . Clearly,  $\alpha_1 : \mathbb{S}^k \rightarrow \hat{\mathcal{L}}\mathbb{S}^n(z) \subset \Omega \text{Spin}_{n+1}(z)$ , as required. It suffices to construct homotopies between  $\alpha_0$  and  $\alpha_{1/2}$  and between  $\alpha_{1/2}$  and  $\alpha_1$ . The homotopy between  $\alpha_0$  and  $\alpha_{1/2}$  is given by

$$\alpha_\sigma(s; t) = \alpha_0(s; t)H(2\sigma)(t), \quad \sigma \in [0, 1/2].$$

Recall that  $d(\alpha_{1/2}(s; t), \alpha_1(s; t)) < r_{n+1}/2$ : the homotopy between  $\alpha_{1/2}$  and  $\alpha_1$  is defined by joining these two points of  $\text{Spin}_{n+1}$  by the uniquely defined shortest geodesic (parametrized by a constant multiple of arc length):

$$\alpha_\sigma(s; t) = g_{\alpha_{1/2}(s; t), \alpha_1(s; t)}(2\sigma - 1), \quad \sigma \in [1/2, 1].$$

■

**Proposition 8.3.** *Assume  $\Pi(z) = \pm J_+$ : then the inclusion  $\hat{\mathcal{L}}\mathbb{S}^n(z) \subset \Omega \text{Spin}_{n+1}(z)$  is a weak homotopy equivalence. In other words (given Proposition 8.2), if  $\hat{H}_0 : \mathbb{B}^{k+1} \rightarrow \Omega \text{Spin}_{n+1}(z)$  takes  $\mathbb{S}^k \subset \mathbb{B}^{k+1}$  to  $\hat{\mathcal{L}}\mathbb{S}^n(z) \subset \Omega \text{Spin}_{n+1}(z)$  then there exist  $H_1 : \mathbb{B}^{k+1} \rightarrow \mathcal{L}\mathbb{S}^n(z)$  and corresponding  $\hat{H}_1 : \mathbb{B}^{k+1} \rightarrow \hat{\mathcal{L}}\mathbb{S}^n(z)$  with  $\hat{H}_0|_{\mathbb{S}^k} = \hat{H}_1|_{\mathbb{S}^k}$ .*

Clearly if  $\Pi(z) = \pm J_+$  then  $s(z)$  must be 0, 1 or  $-1$ . Theorem 2 therefore follows from Proposition 8.3 and Fact 2.

*Proof.* Assume without loss of generality that  $H_0$  is smooth. Take  $\xi_\star \in \mathcal{L}\mathbb{S}^n(\mathbf{1})$  as in Lemma 8.1 so that, for any  $s \in \mathbb{B}^{k+1}$ ,  $\gamma(s) = \hat{H}_0(s)\xi_\star \in \mathcal{L}\mathbb{S}^n(z)$ . We may furthermore assume that the curves  $\hat{H}_0(s)(C_1 t)\xi_\star(C_2 t + C_3)$  are locally convex for any  $s \in \mathbb{B}^{k+1}$ , for any  $C_1, C_2 \in [1/10, 10]$  and for any  $C_3 \in \mathbb{R}$ . Recall that  $\xi_\star(t) = \xi_1(Nt)$  for some large  $N$ : take  $N$  to be a multiple of 4 so that  $\Xi_\star(1/4) = \Xi_\star(1/2) = \Xi_\star(3/4) = \mathbf{1}$ ,  $\Xi_\star(t) = \mathbf{TR}(\Xi_\star(t)) = J_+(\Xi_\star(t))^{-1}J_+$  and  $\Xi_\star(1-t) = (\Xi_\star(t))^{-1}$ . Recall that  $\Lambda_{\xi_\star}$  is constant: let  $B = \Lambda_{\xi_\star}(t)$ . Set

$$H_1(s)(t) = \gamma(2s)(t) = \hat{H}_0(s)(t)\xi_\star(t), \quad |s| \leq 1/2.$$

We now define  $H_1$  in the two regions  $|s| \in [1/2, 3/4]$  and  $|s| \in [3/4, 1]$ .

For  $s \in [3/4, 1]$  we squeeze the function  $\hat{H}_0(s/|s|)$  to a central interval  $[1-|s|, |s|]$  and attach chunks of  $\xi_\star(2t)$  outside the central interval. For

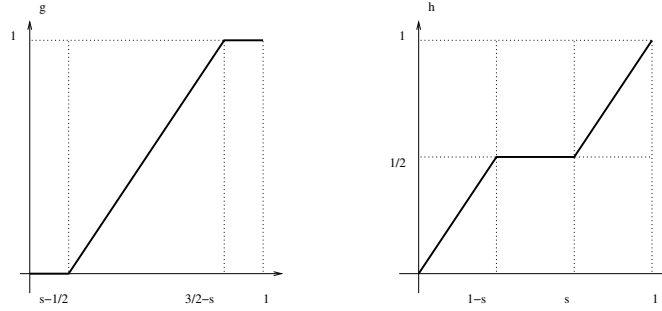
$$n = 2, \quad Q = \begin{pmatrix} 0 & 0 & -1 \\ 0 & -1 & 0 \\ -1 & 0 & 0 \end{pmatrix} = \mathbf{chop}(-J_+),$$

the construction is illustrated in Figure 3: we can add chunks of a locally convex curve at the endpoints and translate in the sphere (i.e., rotate in  $\mathbb{R}^3$ ) the central portion of the curve. Continue the process to add several closed circles at both endpoints.

The general construction is perhaps best stated in terms of  $\Lambda$ : for  $|s| \in [3/4, 1]$

$$\Lambda_{H_1(s)}(t) = \begin{cases} 2B, & 0 \leq t < 1 - |s|, \\ \frac{1}{2|s|-1} \Lambda_{\hat{H}_0(s/|s|)} \left( \frac{t-1+|s|}{2|s|-1} \right), & 1 - |s| \leq t \leq |s|, \\ 2B, & |s| < t \leq 1. \end{cases}$$




 FIGURE 4. The functions  $g_\sigma$  and  $h_\sigma$ 

$t \in [|s| - \frac{1}{2}, 1 - |s|] \cup [|s|, \frac{3}{2} - |s|]$ , locally convexity follows from Lemma 8.1 or, perhaps more precisely, from the choice of  $\xi_*$  as described at the beginning of the proof. Finally, for  $t \in [1 - |s|, |s|]$ ,  $H_1(s)$  is a reparametrization of  $H_0(s/|s|)$  and therefore again locally convex. This completes the construction of  $H_1$  and the proof.  $\blacksquare$

## 9. FINAL REMARKS AND OPEN PROBLEMS

**9.1. Is Theorem 1 strong?** For  $n = 2$ , Theorems 1 and 2 imply that any space  $\mathcal{LS}^2(z)$  is homeomorphic to one of three spaces  $\mathcal{LS}^2(\mathbf{1})$ ,  $\mathcal{LS}^2(-\mathbf{1})$  or  $\Omega \text{Spin}(3) = \Omega \mathbb{S}^3$ . From [6], we know that  $\mathcal{LS}^2(\mathbf{1})$  and  $\mathcal{LS}^2(-\mathbf{1})$  have 1 and 2 connected components, respectively, and  $\Omega \mathbb{S}^3$  is clearly connected. From [8] and [9], we know that  $\dim H^2(\mathcal{LS}^2(\mathbf{1}); \mathbb{R}) = 2$ ,  $\dim H^2(\mathcal{LS}^2(-\mathbf{1}); \mathbb{R}) = 1$  and  $\dim H^4(\mathcal{LS}^2(-\mathbf{1}); \mathbb{R}) \geq 2$ . Thus, these three spaces are not pairwise homeomorphic; also, the non-contractible connected component of  $\mathcal{LS}^2(-\mathbf{1})$  is not homeomorphic to either  $\Omega \mathbb{S}^3$  or  $\mathcal{LS}^2(-\mathbf{1})$ .

Unfortunately, similar information is unavailable for  $n > 2$ . We formulate the following question.

**Problem 2.** *Are the  $\lfloor \frac{n}{2} \rfloor + 1$  subspaces  $\mathcal{LS}^n(M_s^{n+1})$  (and similar space of curves in  $\text{Spin}_{n+1}$ ) appearing in Theorem 1 pairwise non-homeomorphic for  $n > 2$ ?*

Our best guess is that the answer is positive.

**9.2. Bounded curvature.** A first natural generalization of the space of locally convex curves on  $\mathbb{S}^2$  is the space of curves whose curvature  $\kappa$  at each point is bounded by two constants  $m < \kappa < M$ .

**Problem 3.** *Is it true that there are only finitely many topologically distinct spaces of curves whose curvature is bounded as above among the spaces of such curves with the fixed initial and variable finite frames?*

**9.3. Other Lie groups.** The space  $\hat{\mathcal{L}}S^n$  is a special instance of a more general construction on an arbitrary compact Lie group. Given a compact Lie group  $G$ , consider a non-holonomic subspace of its Lie algebra (i.e., this subspace generates the whole algebra). Consider some polytopal convex cone in this subspace. Take the left-invariant distribution of cones on  $G$  obtained by its left translation in the algebra. Finally, consider spaces of curves on  $G$  tangent to the obtained cone distribution which start at the unit element and end at some fixed point of  $G$ .

This generalization includes the scenario described in the previous subsection as a special case ( $G$  is  $SO_3$  and the subspace consists of skew tridiagonal matrices, just as for our problem; the only difference is the cone).

**Problem 4.** *Is it true that there are only finitely many topologically distinct spaces of such curves with the fixed initial and variable finite point?*

This is likely to be too optimistic an attempt of generalization, but perhaps the finiteness condition holds true with some interesting additional hypothesis. For instance, our cone is the interior of the convex hull of a small set of rather special vectors: maybe some such condition is needed.

**9.4. The homotopy type of spaces of closed locally convex curves.** Finally, the most interesting problem in this context is to describe the homotopy type of the space of closed locally convex curves. The aim of [10] is to address this problem for  $n = 2$ ; see partial results in [8], [9].

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