

Zeros of Multiple Orthogonal Polynomials

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Joint work with Marcus Vaktnäs

- ① Zeros of Orthogonal Polynomials on the real line
- ② Zeros of Multiple Orthogonal Polynomials on the real line
- ③ Zeros of Orthogonal Polynomials on the unit circle
- ④ Zeros of Multiple Orthogonal Polynomials on the unit circle

Hankel Determinants and Asymptotics

- Let μ be a measure on $\mathbb{R}$ with moments $\mu_k = \int_{\mathbb{R}} x^k d\mu(x)$
- Consider the **Hankel matrix**

$$H_n(\mu) = \begin{pmatrix} \mu_0 & \mu_1 & \cdots & \mu_{n-1} \\ \mu_1 & \mu_2 & \cdots & \mu_n \\ \vdots & \vdots & \ddots & \vdots \\ \mu_{n-1} & \mu_n & \cdots & \mu_{2n-2} \end{pmatrix}$$

- Asymptotics of $\det H_n(\mu)$ as $n \rightarrow \infty$ are governed by **orthogonal polynomials on the real line** (OPRL)
- Modern asymptotic analysis relies on the **Riemann–Hilbert Problem** [*Fokas–Its–Kitaev* '92, *Deift–Zhou* '93]

Orthogonal Polynomials on the Real Line (OPRL)

- **Orthogonal polynomial** $P_n = x^n + \kappa_{n-1}x^{n-1} + \cdots + \kappa_0$ with

$$\int_{\mathbb{R}} P_n(x)x^j d\mu(x) = 0, \quad j = 0, \dots, n-1$$

- This yields a linear system for κ_j with coefficient matrix H_n
- P_n exists uniquely $\iff \det H_n(\mu) \neq 0$ (“ n is **normal** for μ ”)
- If μ is a positive measure: each n is normal since

$$v^* H_n v = \int_{\mathbb{R}} \left(\sum_{j=0}^{n-1} v_j x^j \right)^2 d\mu(x) > 0$$

- First order Christoffel transform of μ :

$$d\tilde{\mu}(x) = (x - z_0)d\mu(x)$$

- The new MOPRL $\tilde{P}_n(x)$ is given by the Christoffel formula:

$$(x - z_0)\tilde{P}_n(x) = \frac{1}{P_n(z_0)} \det \begin{pmatrix} P_{n+1}(x) & P_n(x) \\ P_{n+1}(z_0) & P_n(z_0) \end{pmatrix}$$

- Existence condition:

$$P_n(z_0) \neq 0 \iff \tilde{P}_n(x) \text{ exists uniquely} \iff \det H_n(\tilde{\mu}) \neq 0$$

$$P_n(z_0) = 0 \iff \det H_n(\tilde{\mu}) = 0$$

- **Corollary:** if μ is positive, then zeros of P_n belong to $\text{conv}(\text{supp } \mu)$.

Proof: Let $z_0 \notin \text{conv}(\text{supp } \mu)$. Then

$$v^* H_n(\tilde{\mu}) v = \int_{\mathbb{R}} \left(\sum_{j=0}^{n-1} v_j x^j \right)^2 (x - z_0) d\mu(x) \neq 0$$

Since $\det H_n(\tilde{\mu}) \neq 0$, we have $P_n(z_0) \neq 0$.

Second order Christoffel Transform

- Second order Christoffel transform of μ :

$$d\tilde{\mu}(x) = (x - z_0)^2 d\mu(x)$$

- Define the **Wronskian** determinant:

$$W_n(z) = \det \begin{pmatrix} P_{n+1}(z) & P_n(z) \\ P'_{n+1}(z) & P'_n(z) \end{pmatrix}$$

- The new OPRL $\tilde{P}_n(x)$ is given by the **Christoffel formula**:

$$(x - z_0)^2 \tilde{P}_n(x) = \frac{1}{W_n(z_0)} \det \begin{pmatrix} P_{n+2}(x) & P_{n+1}(x) & P_n(x) \\ P_{n+2}(z_0) & P_{n+1}(z_0) & P_n(z_0) \\ P'_{n+2}(z_0) & P'_{n+1}(z_0) & P'_n(z_0) \end{pmatrix}$$

- Existence condition:

$$\det H_n(\tilde{\mu}) \neq 0 \iff \tilde{P}_n(x) \text{ exists uniquely} \iff W_n(z_0) \neq 0$$

- Existence condition:

$$\det H_n(\tilde{\mu}) \neq 0 \iff \tilde{P}_n(x) \text{ exists uniquely} \iff W_n(z_0) \neq 0$$

- Corollary:** If μ is positive then zeros of P_n and P_{n+1} interlace on $\mathbb{R}$.
- Proof:* $d\tilde{\mu} = (x - z_0)^2 d\mu$ is positive $\Rightarrow \det H_n(\tilde{\mu}) \neq 0 \Rightarrow W_n(z_0) \neq 0$ for all $z_0 \in \mathbb{R} \Rightarrow P_n$ and P_{n+1} interlace on $\mathbb{R}$.

- Consider the **Cauchy transform** of μ :

$$m(z) = \int_{\mathbb{R}} \frac{d\mu(x)}{z - x} = \sum_{k=0}^{\infty} \frac{\mu_k}{z^{k+1}}, \quad z \rightarrow \infty$$

- Padé approximation problem at ∞** : find polynomials P_n of degree n and Q_n , so that

$$P_n(z)m(z) - Q_n(z) = \mathcal{O}(z^{-n-1}), \quad z \rightarrow \infty$$

- Claim**: this is equivalent to

$$\int_{\mathbb{R}} P_n(x) x^j d\mu(x) = 0, \quad j = 0, \dots, n-1$$

- Normality of n is equivalent to existence and uniqueness of Padé approximant

Hermite-Padé Approximation

- Let $\mu_1, \dots, \mu_r$ be r distinct measures on $\mathbb{R}$
- Consider their respective Cauchy transforms:

$$m_j(z) = \int_{\mathbb{R}} \frac{d\mu_j(x)}{z - x}, \quad j = 1, \dots, r$$

- Let $\mathbf{n} = (n_1, \dots, n_r) \in \mathbb{N}^r$ be a multi-index with length $|\mathbf{n}| = n_1 + \dots + n_r$
- **Type II Hermite-Padé problem:** find a monic polynomial $P_{\mathbf{n}}$ of degree $|\mathbf{n}|$, and polynomials $Q_{\mathbf{n},i}$, such that

$$P_{\mathbf{n}}(z)m_j(z) - Q_{\mathbf{n},j}(z) = \mathcal{O}(z^{-n_j-1}), \quad z \rightarrow \infty$$

for each $j = 1, \dots, r$.

Multiple Orthogonality Conditions

- **Claim:** the Hermite–Padé problem is equivalent to a system of simultaneous orthogonality conditions
- $P_{\mathbf{n}}$ is a **Multiple Orthogonal Polynomial** (Type II) satisfying:

$$\int_{\mathbb{R}} P_{\mathbf{n}}(x) x^k d\mu_j(x) = 0, \quad k = 0, \dots, n_j - 1$$

for each $j = 1, \dots, r$.

- We say that index $\mathbf{n} \in \mathbb{N}^r$ is **normal** if such $P_{\mathbf{n}}(x)$ exists and is unique.

Block Hankel Matrices and Normality

- The orthogonality relations form a linear system for the coefficients of $P_{\mathbf{n}}(x) = x^{|\mathbf{n}|} + \kappa_{|\mathbf{n}|-1}x^{|\mathbf{n}|-1} + \dots + \kappa_1x + \kappa_0$
- The coefficient matrix is a **stacked Hankel matrix**:

$$H_{\mathbf{n}}(\boldsymbol{\mu}) = \begin{pmatrix} \mu_0^{(1)} & \mu_1^{(1)} & \cdots & \mu_{|\mathbf{n}|-1}^{(1)} \\ \mu_1^{(1)} & \mu_2^{(1)} & \cdots & \mu_{|\mathbf{n}|}^{(1)} \\ \vdots & \vdots & \ddots & \vdots \\ \mu_{n_1-1}^{(1)} & \mu_{n_1}^{(1)} & \cdots & \mu_{|\mathbf{n}|+n_1-2}^{(1)} \\ \hline & & \vdots & \\ \mu_0^{(r)} & \mu_1^{(r)} & \cdots & \mu_{|\mathbf{n}|-1}^{(r)} \\ \mu_1^{(r)} & \mu_2^{(r)} & \cdots & \mu_{|\mathbf{n}|}^{(r)} \\ \vdots & \vdots & \ddots & \vdots \\ \mu_{n_r-1}^{(r)} & \mu_{n_r}^{(r)} & \cdots & \mu_{|\mathbf{n}|+n_r-2}^{(r)} \end{pmatrix}$$

- $P_{\mathbf{n}}$ exists uniquely $\iff \det H_{\mathbf{n}} \neq 0$

- **Angelosco system:** The measures $\mu_1, \dots, \mu_r$ have pairwise disjoint convex hulls:

$$\text{conv}(\text{supp}\mu_i) \cap \text{conv}(\text{supp}\mu_j) = \emptyset, \quad i \neq j$$

- **Nikishin system** ($r = 2$): The measures are linked via the Cauchy transform of a third measure σ :

$$d\mu_2(x) = m_\sigma(x)d\mu_1(x)$$

where $\text{supp}\sigma \cap \text{supp}\mu_1 = \emptyset$.

- **Classical Result:** For Angelesco and Nikishin systems, **every index n is normal**.

- Given $\mu = (\mu_1, \dots, \mu_r)$, let $\tilde{\mu}$ be

$$((x - z_0)d\mu_1, \dots, (x - z_0)d\mu_r)$$

- The new OPRL $\tilde{P}_n(x)$ is given by

$$(x - z_0)\tilde{P}_n(x) = \frac{1}{P_n(z_0)} \det \begin{pmatrix} P_{n+e_j}(x) & P_n(x) \\ P_{n+e_j}(z_0) & P_n(z_0) \end{pmatrix}$$

- Proposition:** Assume n is normal for μ . Then

$$P_n(z_0) = 0 \iff n \text{ is not normal for } \tilde{\mu}$$

- $P_n(z_0) = 0 \iff n$ is **not normal** for $\tilde{\mu}$:

$$((x - z_0)d\mu_1, \dots, (x - z_0)d\mu_r)$$

- **Corollary (Angelesco):** Zeros of P_n belong to $\bigcup_{j=1}^r \text{conv}(\text{supp}\mu_j)$.
- **Corollary (Nikishin):** Zeros of P_n belong to $\text{conv}(\text{supp}\mu_1)$.
- *Proof:* If $z_0 \notin \text{conv}(\text{supp}\mu_j)$ for any j , then the system $\tilde{\mu}$ remains an Angelesco (or Nikishin) system.
- Since these systems are perfect, n is normal for $\tilde{\mu}$, implying $P_n(z_0) \neq 0$.

- **Type I Multiple Orthogonal Polynomials:** a vector of polynomials $(A_{\mathbf{n}}^{(1)}, \dots, A_{\mathbf{n}}^{(r)})$ with $\deg A_{\mathbf{n}}^{(j)} \leq n_j - 1$
- Summed orthogonality condition:

$$\sum_{j=1}^r \int_{\mathbb{R}} A_{\mathbf{n}}^{(j)}(x) x^k d\mu_j(x) = \begin{cases} 0, & k \leq |\mathbf{n}| - 2, \\ 1, & k = |\mathbf{n}| - 1. \end{cases}$$

- The vector $\mathbf{A}_{\mathbf{n}} = (A_{\mathbf{n}}^{(1)}, \dots, A_{\mathbf{n}}^{(r)})$ exists uniquely $\iff \det H_{\mathbf{n}}(\boldsymbol{\mu}) \neq 0 \iff P_{\mathbf{n}}$ exists uniquely.

- *[Van Assche, '11]*: Type II polynomials $P_{\mathbf{n}}$ satisfy **nearest-neighbor recurrence relations** (NNRR):

$$xP_{\mathbf{n}}(x) = P_{\mathbf{n}+e_k}(x) + b_{\mathbf{n},k}P_{\mathbf{n}}(x) + \sum_{j=1}^r a_{\mathbf{n},j}P_{\mathbf{n}-e_j}(x)$$

- Type I polynomial vectors $\mathbf{A}_{\mathbf{n}}(x)$ satisfy the dual recurrence:

$$x\mathbf{A}_{\mathbf{n}}(x) = \mathbf{A}_{\mathbf{n}-e_k}(x) + b_{\mathbf{n}-e_k,k}\mathbf{A}_{\mathbf{n}}(x) + \sum_{j=1}^r a_{\mathbf{n},j}\mathbf{A}_{\mathbf{n}+e_j}(x)$$

- **The Operator Connection:** The NNRR relations define a Jacobi operator on an **infinite $(r+1)$ -homogeneous rooted tree**, *[Aptekarev–Denisov–Yattselev, '20, '22]*.

- Given $\mu = (\mu_1, \dots, \mu_r)$, let $\tilde{\mu}$ be

$$((x - z_0)d\mu_1, d\mu_2, \dots, d\mu_r)$$

- The new type I MOPRL $\tilde{A}_{\mathbf{n}}^{(1)}(x)$ is given by

$$(x - z_0)\tilde{A}_{\mathbf{n}}^{(1)}(x) = \frac{1}{A_{\mathbf{n}}^{(1)}(z_0)} \det \begin{pmatrix} A_{\mathbf{n}+\mathbf{e}_j}^{(1)}(x) & A_{\mathbf{n}}^{(1)}(x) \\ A_{\mathbf{n}+\mathbf{e}_j}^{(1)}(z_0) & A_{\mathbf{n}}^{(1)}(z_0) \end{pmatrix}$$

- Proposition:** Assume $\mathbf{n}$ is normal for μ . Then

$$A_{\mathbf{n}}^{(1)}(z_0) = 0 \iff \mathbf{n} \text{ is not normal for } \tilde{\mu}$$

- **Proposition:** $A_n^{(1)}(z_0) = 0 \iff n$ is **not normal** for $\tilde{\mu}$:

$$((x - z_0)d\mu_1, d\mu_2, \dots, d\mu_r)$$

- **Angelesco systems:** If $z_0 \notin \text{conv}(\text{supp}\mu_1)$, then $\tilde{\mu}$ is also Angelesco.
- Thus, the zeros of $A_n^{(1)}$ belong to $\text{conv}(\text{supp}\mu_1)$.
- **Nikishin systems:** The system $((x - z_0)d\mu_1, d\mu_2)$ is “almost” Nikishin with σ modified to $(x - z_0)d\sigma$.
- If $n_1 \leq n_2 - 1$, then the zeros of $A_n^{(1)}$ belong to $\text{conv}(\text{supp}\sigma)$.
[K.-Vaktnäs '26]

- **Type II Interlacing** (P_n and P_{n+e_k}):

- **Transform:** Christoffel transform

$$((x - z_0)^2 d\mu_1, (x - z_0)^2 d\mu_2, \dots, (x - z_0)^2 d\mu_r)$$

- Normality of $\tilde{\mu}$ implies interlacing for Angelesco and Nikishin
[Haneczok–Van Assche, '12]

- **Type I Interlacing** ($A_n^{(1)}$ and $A_{n+e_k}^{(1)}$):

- **Transform:** partial Christoffel transform

$$((x - z_0)^2 d\mu_1, d\mu_2, \dots, d\mu_r)$$

- Normality of $\tilde{\mu}$ implies interlacing for **Angelesco**:
[Aptekarev–Denisov–Yattselev, '20]
- for **Nikishin**: [Kozhan–Vaktnäs, '26]

- Let μ be a measure on $\partial\mathbb{D}$ with moments $\mu_k = \int_{\partial\mathbb{D}} z^{-k} d\mu(z)$
- Consider the **Toeplitz matrix**

$$T_n(\mu) = \begin{pmatrix} \mu_0 & \mu_{-1} & \cdots & \mu_{-(n-1)} \\ \mu_1 & \mu_0 & \cdots & \mu_{-(n-2)} \\ \vdots & \vdots & \ddots & \vdots \\ \mu_{n-1} & \mu_{n-2} & \cdots & \mu_0 \end{pmatrix}$$

- Asymptotics of $\det T_n(\mu)$ as $n \rightarrow \infty$ are governed by **orthogonal polynomials on the unit circle** (OPUC)
- Modern asymptotic analysis relies on the **Riemann–Hilbert Problem** [*Fokas–Its–Kitaev* '92, *Baik–Deift–Johansson* '99]

Orthogonal Polynomials on the Unit Circle (OPUC)

- **Orthogonal polynomial** $\Phi_n(z) = z^n + \kappa_{n-1}z^{n-1} + \cdots + \kappa_0$ with

$$\int_{\partial\mathbb{D}} \Phi_n(z) z^{-j} d\mu(z) = 0, \quad j = 0, \dots, n-1$$

- This yields a linear system for κ_j with coefficient matrix T_n
- Φ_n exists uniquely iff $\det T_n(\mu) \neq 0$ (“ n is **normal** for μ ”)
- If μ is a positive measure: each n is normal since

$$v^* T_n v = \int_{\partial\mathbb{D}} \left| \sum_{j=0}^{n-1} v_j z^j \right|^2 d\mu(z) > 0$$

- First order Christoffel transform of μ :

$$d\tilde{\mu}(z) = (z - z_0)d\mu(z)$$

- The new OPUC $\tilde{\Phi}_n(z)$ is given by the Christoffel formula:

$$(z - z_0)\tilde{\Phi}_n(z) = \frac{1}{\Phi_n(z_0)} \det \begin{pmatrix} \Phi_{n+1}(z) & \Phi_n(z) \\ \Phi_{n+1}(z_0) & \Phi_n(z_0) \end{pmatrix}$$

- Existence condition:

$$\det T_n(\tilde{\mu}) \neq 0 \iff \tilde{\Phi}_n(z) \text{ exists uniquely} \iff \Phi_n(z_0) \neq 0$$

$$\det T_n(\tilde{\mu}) \neq 0 \iff \tilde{\Phi}_n(z) \text{ exists uniquely} \iff \Phi_n(z_0) \neq 0$$

- **Corollary 1:** if μ is positive, then zeros of Φ_n belong to the open unit disk $\mathbb{D}$.

Proof: Let $|z_0| \geq 1$. Then $(z - z_0)$ belongs to a half-plane for all $z \in \partial\mathbb{D}$. Thus:

$$v^* T_n(\tilde{\mu}) v = \int_{\partial\mathbb{D}} \left| \sum_{j=0}^{n-1} v_j z^j \right|^2 (z - z_0) d\mu(z) \neq 0$$

Since $\det T_n(\tilde{\mu}) \neq 0$, we have $\Phi_n(z_0) \neq 0$.

- **Corollary 2:** For any $\tau \in \mathbb{C}$ with $|\tau| = 1$, the **para-orthogonal polynomials** (POPUC)

$$\Phi_n(z, \tau) = \Phi_n(z) + \tau \Phi_n^*(z)$$

have all their zeros on $\partial\mathbb{D}$.

Two-Point Padé Approximation

- In OPRL, P_n arises from Padé approximation of the Cauchy transform at ∞ .
- For OPUC, consider the **Carathéodory function**:

$$F(z) = \int_{\partial\mathbb{D}} \frac{w+z}{w-z} d\mu(w)$$

- **Two-point Padé approximation problem**: given Carathéodory $F(z)$, find polynomials Φ_n and Ψ_n of degree n , so that

$$\Phi_n(z)F(z) + \Psi_n(z) = \mathcal{O}(z^n), \quad z \rightarrow 0,$$

$$\Phi_n(z)F(z) + \Psi_n(z) = \mathcal{O}(z^{-1}), \quad z \rightarrow \infty$$

- Φ_n solves the problem $\iff \Phi_n$ is orthogonal polynomial for μ

- The generalized two-point Hermite–Padé problem: Let $\mathbf{n}, \mathbf{m} \in \mathbb{N}^r$. Find Laurent polynomials

$$\Phi_{\mathbf{n};\mathbf{m}}, \Psi_{\mathbf{n};\mathbf{m},1}, \dots, \Psi_{\mathbf{n};\mathbf{m},r} \in \text{span} \left\{ z^k \right\}_{k=-|\mathbf{m}|}^{|\mathbf{n}|}$$

that simultaneously satisfy for each $j = 1, \dots, r$:

$$\Phi_{\mathbf{n};\mathbf{m}}(z)F_j(z) + \Psi_{\mathbf{n};\mathbf{m},j}(z) = \mathcal{O}(z^{n_j}), \quad z \rightarrow 0$$

$$\Phi_{\mathbf{n};\mathbf{m}}(z)F_j(z) + \Psi_{\mathbf{n};\mathbf{m},j}(z) = \mathcal{O}(z^{-m_j-1}), \quad z \rightarrow \infty$$

- **Theorem** [*Kozhan–Vaktnäs, '26*]: $\Phi_{\mathbf{n};\mathbf{m}}$ solves the Hermite–Padé problem $\iff$ it satisfies

$$\int_{\partial\mathbb{D}} \Phi_{\mathbf{n};\mathbf{m}}(z) z^{-k} d\mu_j(z) = 0, \quad k = -m_j, \dots, n_j - 1$$

Stacked Toeplitz Matrices and Normality

- We say that the index pair (n, m) is **normal** if the normalized polynomial $\Phi_{n;m}(z)$ exists and is unique.
- This is equivalent to $\det T_{n;m}(\mu) \neq 0$, where $T_{n;m}$ is the **stacked Toeplitz matrix**:

$$T_{n;m}(\mu) = \begin{pmatrix} \mu_{|m|-m_1}^{(1)} & \mu_{|m|-m_1-1}^{(1)} & \cdots & \mu_{1-m_1-|n|}^{(1)} \\ \mu_{|m|-m_1+1}^{(1)} & \mu_{|m|-m_1}^{(1)} & \cdots & \mu_{2-m_1-|n|}^{(1)} \\ \vdots & \vdots & \ddots & \vdots \\ \mu_{|m|+n_1-1}^{(1)} & \mu_{|m|+n_1-2}^{(1)} & \cdots & \mu_{n_1-|n|}^{(1)} \\ \hline \vdots & \vdots & \vdots & \vdots \\ \hline \mu_{|m|-m_r}^{(r)} & \mu_{|m|-m_r-1}^{(r)} & \cdots & \mu_{1-m_r-|n|}^{(r)} \\ \mu_{|m|-m_r+1}^{(r)} & \mu_{|m|-m_r}^{(r)} & \cdots & \mu_{2-m_r-|n|}^{(r)} \\ \vdots & \vdots & \ddots & \vdots \\ \mu_{|m|+n_r-1}^{(r)} & \mu_{|m|+n_r-2}^{(r)} & \cdots & \mu_{n_r-|n|}^{(r)} \end{pmatrix}$$

- **Angelesco system** [Kozhan–Vaktnäs, '26]: The measures $\mu_1, \dots, \mu_r$ on $\partial\mathbb{D}$ have pairwise disjoint convex hulls:

$$\text{conv}(\text{supp } \mu_i) \cap \text{conv}(\text{supp } \mu_j) = \emptyset, \quad i \neq j$$

- **Nikishin system** [Kozhan, '26] ($r = 2$): The measures are linked via the Carathéodory function of a third measure σ on $\partial\mathbb{D}$:

$$d\mu_2(z) = F_\sigma(z) d\mu_1(z)$$

where $\text{supp } \sigma \cap \text{supp } \mu_1 = \emptyset$.

- **Theorem** [Kozhan–Vaktnäs, '26]: For Angelesco systems, every index $(\mathbf{n}; \mathbf{n})$ and $(\mathbf{n}; \mathbf{n} \pm \mathbf{e}_j)$ is **normal**.

- **Theorem (Angelesco)** [*Kozhan–Vaktnäs, '26*]: Zeros of $\Phi_{\mathbf{n};\mathbf{m}}$ belong to $\bigcup_{j=1}^r \text{conv}(\text{supp } \mu_j)$ for every index $(\mathbf{n}; \mathbf{n})$ and $(\mathbf{n}; \mathbf{n} \pm \mathbf{e}_j)$.
- **Theorem (Angelesco)** [*Kozhan–Vaktnäs, '26*]: For any $\tau \in \mathbb{C}$ with $|\tau| = 1$, the **para-orthogonal polynomials** (POPUC)

$$\Phi_{\mathbf{n};\mathbf{m}}(z, \tau) = \Phi_{\mathbf{n};\mathbf{m}}(z) + \tau \Phi_{\mathbf{n};\mathbf{m}}^*(z)$$

have all their zeros on $\partial\mathbb{D}$.

- **Theorem** [Kozhan–Vaktnäs, '24]: Type II polynomials satisfy Szegő's recurrences:

$$\Phi_{\mathbf{n};\mathbf{m}}(z) = \alpha_{\mathbf{n};\mathbf{m}} \Phi_{\mathbf{n};\mathbf{m}}^*(z) + \sum_{j=1}^r \rho_{\mathbf{n};\mathbf{m},j} z \Phi_{\mathbf{n}-\mathbf{e}_j;\mathbf{m}}(z)$$

$$\Phi_{\mathbf{n};\mathbf{m}}^*(z) = \Phi_{\mathbf{n}-\mathbf{e}_k;\mathbf{m}}^*(z) + \beta_{\mathbf{n};\mathbf{m}} z \Phi_{\mathbf{n}-\mathbf{e}_k;\mathbf{m}}(z)$$

- **Theorem** [Kozhan–Vaktnäs, '24]: Type I polynomials satisfy the dual recurrences:

$$A_{\mathbf{n};\mathbf{m}}^*(z) = A_{\mathbf{n}+\mathbf{e}_k;\mathbf{m}}^*(z) - \alpha_{\mathbf{n};\mathbf{m}} z A_{\mathbf{n}+\mathbf{e}_k;\mathbf{m}}(z)$$

$$A_{\mathbf{n};\mathbf{m}}(z) = -\beta_{\mathbf{n};\mathbf{m}} A_{\mathbf{n};\mathbf{m}}^*(z) + \sum_{j=1}^r \rho_{\mathbf{n};\mathbf{m},j} z A_{\mathbf{n}+\mathbf{e}_j;\mathbf{m}}(z)$$

- **Open Problem:** On the real line, multi-index recurrences yield Jacobi operators on trees. Do these Szegő relations define a CMV/unitary operator on an r -ary tree?

Thank you for your attention

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