

To the Birman-Krein-Visic Theory

Mark Malamud

St.-Petersburg University

Let A be a closed non-negative symmetric densely defined operator in a Hilbert space $\mathfrak{H}$ and let $\mathfrak{H}_1 := \text{ran}(I + A)$. By the Stone – Friedrichs theorem the set $\text{Ext}_A(0, \infty)$ of all nonnegative selfadjoint extensions $\tilde{A} = \tilde{A}^*$ of A is nonempty. M. Krein established that the set $\text{Ext}_A(0, \infty)$ forms an operator segment with two endpoints: the maximal (the Friedrichs) and the minimal (the Krein) extensions $\hat{A}_F$ and $\hat{A}_K$. They are uniquely characterized by means of the inequalities: $\hat{A}_K \leq \tilde{A} \leq \hat{A}_F$, $\tilde{A} \in \text{Ext}_A(0, \infty)$, which are understood in the form sense.

Krein's theory has substantially been completed by M. Vicik and M. Birman.

If A is positive definite, then $\hat{A}_K$ admits a representation $\hat{A}_K = \hat{A}'_K \oplus (\mathbb{O} \upharpoonright \mathfrak{N}_0)$ where $\mathfrak{N}_0 := \ker A^*$. The operator $\hat{A}'_K$ is called the reduced Krein extension.

M.Krein discovered the following implication

$$(I_{\mathfrak{H}} + \hat{A}_F)^{-1} \in \mathfrak{S}_{\infty} \implies (I_{\mathfrak{M}_0} + \hat{A}'_K)^{-1} \in \mathfrak{S}_{\infty}. \quad (0.1)$$

First we will discuss the following its improvement (see [1]):

$$P_1(I_{\mathfrak{H}} + A)^{-1} \in \mathfrak{S}(\mathfrak{H}_1) \iff (I_{\mathfrak{M}_0} + \hat{A}'_K)^{-1} \in \mathfrak{S}(\mathfrak{M}_0). \quad (0.2)$$

Here P_1 is the orthoprojection in $\mathfrak{H}$ onto $\mathfrak{H}_1 = \text{ran}(I + A)$, $\mathfrak{M}_0 = \mathfrak{N}_0^{\perp}$, and $\mathfrak{S}$ is any symmetrically normed ideal (including ideals $\mathfrak{S}_p$, Σ_p , Σ_p^0 , etc.).

We will discuss the following problems:

(i) Additional conditions on A that ensure the following equivalence

$$\lambda_n(\hat{A}_F) = a^{-1}n^{1/p}(1 + o(1)) \iff \lambda_n(\hat{A}'_K) = a^{-1}n^{1/p}(1 + o(1)).$$

which completes (??) in the case of $\mathfrak{S} = \Sigma_p$

(ii) The Birman problem and the validity of the inverse implication to (??).

(iii) Solution to the abstract Alonso-Simon problem.

(iv) Improvement of certain results by Birman and Grubb regarding the LSB-property of A and its application to elliptic BV problems in unbounded domains.

The talk is based on results published in [1]-[3] and their recent developments.

References

1. M. M. Malamud, To Birman–Krein–Vishik Theory, *Doklady Mathematics*, V. 107, No. 1 (2023), pp. 44–48.
2. M. M. Malamud, On the Birman problem on positive symmetric operators with compact inverse, *Func. Anal. Appl.*, V.57, No 2 (2023), p. 111–116.
3. M. Malamud, Explicit Solution to the Birman Problem for the $2D$ -Laplace operator, *Russian Jour. of Math. Phys.*, 2024, V. 31, No.3, p. 495-504.