

HOLMGREN'S UNIQUENESS THEOREM AND SUPPORT THEOREMS FOR REAL ANALYTIC RADON TRANSFORMS

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1. Introduction. If f is a continuous function on \mathbf{R}^n decaying at infinity faster than any negative power of $|x|$, and the integral of f is zero over all hyperplanes not intersecting a given compact convex set K , then f must vanish outside K ; this is the well-known support theorem of Helgason [He1], [He2]. Here we shall study the corresponding problem when f is only assumed to decay fast in a certain open cone Γ in \mathbf{R}^n . It turns out that f must then vanish in the set

$$(1) \quad \bigcup_{x \in K} (x + \Gamma \cup (-\Gamma));$$

see Corollary 3. Examples show that the set (1) is the largest set for which this statement is true. More generally we consider a generalized Radon transform

$$(2) \quad R_\rho f(H) = \int^H f(x) \rho(x, H) ds,$$

assuming the weight function ρ is positive and real analytic and also real analytic at infinity in a certain sense (see Corollary 2); here ds is the Euclidean surface measure on the hyperplane H , and integration is to be performed with respect to x . It will be convenient to formulate our main theorem in a projective setting; the decay condition then means that f is flat on an open subset of a hyperplane in the projective space \mathbf{P}^n .

The proof depends on microlocal regularity properties of solutions to the equation $R_\rho f = 0$ together with a recent vanishing theorem [B2] stating that if f is flat along a real analytic hypersurface S and all conormals to S are absent in the analytic wave front set $WF_A(f)$ of f , then f must vanish in some neighborhood of S . This theorem is closely related to a well-known vanishing theorem of Hörmander, which is a crucial part of the elegant proof of Holmgren's uniqueness theorem given in [Hö1] (see also [Hö2], section 8.6). As in the proof of Holmgren's theorem, in our proof we need to construct a family of "non-characteristic" surfaces, covering the claimed zero-set of f . In our problem the characteristic set consists of all conormals to planes intersecting the set K . Support theorems for generalized Radon transforms have been proved by similar methods in [BQ1], [BQ2], [B1], [GQ], [Q2], [Q3].

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Theorem. Assume that the weight function ρ is real analytic and positive on Z . Let L be a hyperplane in \mathbf{P}^n and let K be a compact convex subset of $\mathbf{P}^n \setminus L$. Let f

of the hyperplane L .
 affine bijection $\mathbf{R}^n \rightarrow \mathbf{P}^n \setminus L$. This property is obviously independent of the choice
 a convex subset of $\mathbf{P}^n \setminus L$ if K is the image of a convex subset of \mathbf{R}^n under some
 If L is a hyperplane in \mathbf{P}^n and K is a subset of $\mathbf{P}^n \setminus L$ we shall say that K is

tends to zero faster than any power of the distance to E as x tends to E .
 product space $\mathbf{P}^n \times \mathcal{G}^n$. We shall say that the function f is flat on $E \subset \mathbf{P}^n$ if $f(x)$
 where $x \in H$ and $H \in \mathcal{G}^n$. It is clear that Z is a real analytic submanifold of the
 The weight function ρ is defined on the manifold Z consisting of all pairs (x, H)

$$(3) \quad R_\rho f(H) = \int^H f(x) \rho(x, H) d\sigma, \quad H \in \mathcal{G}^n.$$

Radon transform R_ρ by
 S^{n-1} under that map. For a continuous function f on \mathbf{P}^n we can now define our
 $H \in \mathcal{G}^n$. We define $d\sigma$ to be the push-forward of the Euclidean surface measure on
 we obtain a $2 - 1$ map $S^n \rightarrow \mathbf{P}^n$ and similarly a $2 - 1$ map $S^{n-1} \rightarrow H$ for every
 a measure $d\sigma$ on H as follows. Using a choice of coordinates $(x_0; x_1; \dots; x_n)$ on \mathbf{P}^n
 Let \mathcal{G}^n denote the set of hyperplanes in \mathbf{P}^n . We now choose, for every $H \in \mathcal{G}^n$,

of course parts of the same component.
 the last two components are unbounded; if we move up to projective space they are
 and sometimes one on the opposite side of K from that of E . In the second case
 or three components, one between E and K , one "behind" E as viewed from K ,
 \mathbf{R}^n with nonempty interior, then the restriction to \mathbf{R}^n of $\text{sh}_K(E) \setminus E$ will have two
 points at infinity. Specially, if K and E are disjoint compact convex subsets of
 subset of \mathbf{P}^n we can define $\text{sh}_K(E)$ as before. In some cases $\text{sh}_K(E)$ will contain
 Let K and E be disjoint subsets of \mathbf{R}^n , K non-empty. Identifying \mathbf{R}^n with a

$\text{sh}_K(\text{sh}_K(E))$ for any $E \subset \mathbf{P}^n \setminus K$.
 open. Furthermore, it is easy to see that sh_K is a hull operation, that is, $\text{sh}_K(E) =$
 an open set or an open subset of a hyperplane disjoint from K , then $\text{sh}_K(E)$ is
 assuming light propagates along geodesics in one or the other direction. If E is
 consists of the two shadows of E obtained by letting K act as a light source and
 geodesics intersecting K but not intersecting E . At least in simple cases $\text{sh}_K(E) \setminus E$
 Equivalently, $\text{sh}_K(E)$ is equal to the complement in $\mathbf{P}^n \setminus K$ of the union of all

$$\bigcup_{x \in K} P(x, E).$$

$K \cap E = \emptyset$, we define $\text{sh}_K(E)$ as the intersection
 (considered as subsets of \mathbf{P}^n) for $y \in E$. If K is a non-empty subset of \mathbf{P}^n and
 removed. If E is a subset of $\mathbf{P}^n \setminus \{x\}$, we define $P(x, E)$ as the union of all $G(x, y)$
 distinct points of \mathbf{P}^n , let $G(x, y)$ be the geodesic through x and y with the point x
 Denote the n -dimensional real projective space by \mathbf{P}^n , and if x and y are two
 we shall need to introduce the set $\text{sh}_K(E)$, the shadow of E with respect to K .
2. The support theorem. To describe the zero set of f in the projective setting

Corollary 4. Let R_ρ and K be as in Corollary 2. Let f be continuous and decaying enough at infinity to be integrable on hyperplanes, for instance $f(x) = \mathcal{O}(|x|^{-n})$ as $|x| \rightarrow \infty$, and assume $R_\rho f(H) = 0$ for all H not intersecting K . Let L be a hyperplane in \mathbf{R}^n not intersecting K , let E be an open subset of L , and assume f is flat on E . Then $f = 0$ in $\text{sh}_K(E)$.

Proof. Imbedding \mathbf{R}^n in \mathbf{P}^n as in Corollary 2 we obtain the situation in the theorem, or in the remark after it, with $L = H_\infty$, the hyperplane at infinity, and $E = H_\infty \cap \underline{L}$, where \underline{L} is the closure of L in \mathbf{P}^n . In this case $\text{sh}_K(E)$ is the set (1).

finally $R_\rho f(H) = 0$ for all H not intersecting K . Then $f = 0$ in the set (1). Assume f decays faster than any negative power of $|x|$ as $|x|$ tends to infinity in Γ . Assume on hyperplanes, for instance $f(x) = \mathcal{O}(|x|^{-n})$ as $|x| \rightarrow \infty$. Assume moreover that \mathbf{R}^n , let f be continuous on $\mathbf{R}^n \setminus K$ and decaying enough at infinity to be integrable on hyperplanes. Let R_ρ and K be as in Corollary 2. Let Γ be an open conic subset of

Corollary 3. Let R_ρ and K be as in Corollary 2. Let Γ be an open conic subset of \mathbf{R}^n , where f is flat on an entire hyperplane in \mathbf{P}^n (for details we refer to [B1]). The conclusion now follows from Corollary 1.

Proof. The imbedding α introduced above transforms the measure ds on $H \subset \mathbf{R}^n$ into a measure $\alpha_*(ds) = b(x, H) d\sigma$ on $H \subset \mathbf{P}^n$, where the density $b(x, H)$ factors into a product of one function depending only on x and one depending only on H , $b(x, H) = b_0(x) b_1(H)$ (see Lemma 1 in [B1]). Using this fact we showed in [B1] that the assumptions in Corollary 2 allow us to transform the problem to one in \mathbf{P}^n , where f is flat on an entire hyperplane in \mathbf{P}^n (for details we refer to [B1]). The

Corollary 2. (Helgason's theorem for real analytic densities, [B1].) Let R_ρ be a real analytic Radon transform in \mathbf{R}^n , and assume $\rho(x, H)$ can be extended to a real analytic and positive function on Z . Let K be a compact convex subset of \mathbf{R}^n . Let f be continuous on $\mathbf{R}^n \setminus K$ and decaying faster than any negative power of $|x|$ as $|x|$ tends to infinity, and assume $R_\rho f(H) = 0$ for all H not intersecting K . Then $f = 0$ outside K .

In the remaining corollaries we shall assume given a real analytic Radon transform R_ρ on \mathbf{R}^n . Then $\rho(x, H)$ is defined on the manifold Z_0 consisting of all pairs (x, H) , $x \in \mathbf{R}^n$, H hyperplane in \mathbf{R}^n . An affine bijection $\mathbf{R}^n \rightarrow \mathbf{P}^n \setminus L$ for some fixed $L \in \mathcal{G}_n$, for instance the map $\alpha : (x_1, \dots, x_n) \mapsto (1; x_1; \dots; x_n)$, induces an identification of Z_0 with a dense subset of our manifold $Z \subset \mathbf{P}^n \times \mathcal{G}_n$. This identification depends of course on the choice of imbedding of \mathbf{R}^n into \mathbf{P}^n , but the assumptions in the following corollary do not depend on this choice.

Proof. If E is an entire hyperplane not intersecting K , then $\text{sh}_K(E)$ is equal to the complement of K .

Corollary 1. With the same hypotheses as in the theorem, assume f is flat on all of L , i.e., $E = L$. Then $f = 0$ in the complement of K .

Remark. It is sufficient to assume that f tends to zero fast as x approaches E from one side in some neighborhood of an arbitrary point of E ; see remark after Proposition 2.

$\text{sh}_K(E)$.
 K . Let E be an open subset of L and assume f is flat on E . Then $f = 0$ on the continuous on $\mathbf{P}^n \setminus K$, and assume $R_\rho f(H) = 0$ for all $H \in \mathcal{G}_n$ not intersecting

4. Vanishing theorems for microanalytic distributions. Assume for a moment that we knew about our function f that $WF^A(f) \cap N^*(S) = \emptyset$ for some closed real analytic surface S , for instance a sphere in \mathbf{R}^n with radius 1, and that f is flat along S . Then it would follow almost immediately from the definition of the analytic wave front set that the one-variable function $u(t)$ defined as the integral of f over a family of concentric spheres S_t with radius t must be real analytic and flat at $t = 1$, hence vanish identically near that point. Since the same would be true with f replaced by fh for an arbitrary real analytic function h , it would follow that $f = 0$ in a neighborhood of S . In [B1], where we assumed f tends to zero fast

$$N^*(H_0) \cap WF^A(f) = \emptyset.$$

Proposition 1. *Let f be continuous on \mathbf{P}^n , or, more generally, let $f \in \mathcal{D}'(\mathbf{P}^n)$, the space of distributions on \mathbf{P}^n . Assume $p(x, H)$ is real analytic and positive on Z and that $R_\rho f(H) = 0$ for all H in some neighborhood of $H_0 \in \mathcal{G}^n$. Then*

regularity theorem. becomes $\{(x, \lambda F'_x, H, \lambda F'_H); F(x, H) = 0, \lambda \in \mathbf{R}\}$. These facts imply the following some smooth function F with non-vanishing gradient and note that $N^*(Z)$ then certain linear relation; to see this one can locally represent Z as $F(x, H) = 0$ for at H to the hypersurface $\gamma_x = \{T; x \in T\}$ in \mathcal{G}^n , and ξ, η are coupled by a of the set of pairs $(x, \xi; H, \eta)$ such that $x \in H$, ξ is conormal to $N^*(Z)$ consists WF^A (see [B1], section 4 and references given there). The manifold $N^*(Z)$ consists Z and the function ρ are real analytic these facts also hold with WF replaced by the opposite inclusion; in other words we have equality in (4). Since the manifold is the graph of an injective map $T^*(\mathbf{P}^n) \rightarrow T^*(\mathcal{G}^n)$; see [GS]], one can also prove Using the facts that $\rho > 0$ and that Z has a certain geometric property ($N^*(Z)$

$$(4) \quad WF(R_\rho f) \subset \{(x, \xi) \in WF(f), (x, \xi; H, -\eta) \in WF(K)\}.$$

fact that (cf. [H52], ch. 8.2). the wave front set of K , $WF(K)$, as a subset of $T^*(\mathbf{P}^n) \times T^*(\mathcal{G}^n)$. It is a trivial Using the natural identification $T^*(\mathbf{P}^n \times \mathcal{G}^n) \simeq T^*(\mathbf{P}^n) \times T^*(\mathcal{G}^n)$ we can consider corresponding operator is an especially simple kind of Fourier integral operator. is therefore contained in the conormal manifold $N^*(Z) \subset T^*(\mathbf{P}^n \times \mathcal{G}^n)$ to Z . The face Z , in fact a smooth positive density on that surface. The wave front set of K where the distribution $K(x, H)$ on $\mathbf{P}^n \times \mathcal{G}^n$ is a measure supported on the hypersur-

$$R_\rho f(H) = \int K(x, H) f(x) dx,$$

3. The microlocal regularity theorem. Our Radon transform can be written

flatness condition valid for distributions (cf. Proposition 2). *Remark.* The function f in the theorem and the corollaries may be any distribution on \mathbf{P}^n , provided the flatness condition used here is replaced by an appropriate

from the theorem. *Proof.* Imbedding \mathbf{R}^n in \mathbf{P}^n as in Corollary 2 we obtain the statement immediately

$$f(x) = \int_K g(x-y) dy, \quad x \notin K.$$

symmetric cone Γ , and choose g homogeneous of degree $-n$, even, with mean zero, equal to zero in the set (1) in Corollary 3 cannot be replaced by any larger set of degree $-n$, even, and with mean zero.

This condition is also sufficient. In other words, for $k = 0$ we need to take a homogeneous polynomial of degree k , must have integral zero over such a homogeneous polynomial is homogeneous, it follows that for $k \geq 1$ the product centered at the origin is zero. Since the product of a homogeneous distribution and if $k = 0$ the necessary and sufficient condition is that the integral of g over a sphere to note that such extension is not always possible (see [H02], ch. 3). For instance, $-n - k$ and can be extended to a homogeneous distribution in \mathbf{R}^n . It is important function g in $\mathbf{R}^n \setminus \{0\}$ which has the correct parity, is homogeneous of degree origin. Thus, to generalize Helgason's example we can take any non-trivial smooth which means in particular that $Rg(H) = 0$ for all hyperplanes H not containing the

$$Rg(\omega, p) = \int_K g(\omega) d\omega,$$

and (we denote the Dirac measure at the origin by δ)

$$\widehat{Rg}(\omega, \tau) = \widehat{g}(\tau\omega) = \tau^k \widehat{g}(\omega), \quad \tau \in \mathbf{R},$$

Since \widehat{g} is even if k is even and odd if k is odd, we then obtain denote by $Rg(\omega, \tau)$, is connected with g by the familiar formula $Rg(\omega, \tau) = \widehat{g}(\tau\omega)$. The one-dimensional Fourier transform of $Rg(\omega, p)$ with respect to p , which we outside the origin and homogeneous of degree k , hence a locally bounded function. $\{x; x \cdot \omega = p\} \in S^{n-1} \times \mathbf{R}$. The n -dimensional Fourier transform \widehat{g} of g is C^∞ and odd if k is odd. Write $Rf(\omega, p) = Rf(H^{(\omega, p)})$, where $H^{(\omega, p)}$ is the hyperplane integer ≥ 0 , and C^∞ in $\mathbf{R}^n \setminus \{0\}$. Furthermore we assume g is even if k is even \mathbf{R}^n which is positively homogeneous of degree $-n - k$ (as a distribution in \mathbf{R}^n), k gason's examples can also be generalized as follows. Let $g(x)$ be a distribution in transform on (weighted) L^2 -space on the complement of a ball in \mathbf{R}^n , [Q1]. Hel- $m = 2, 3, \dots$. More generally, Quito characterized the null space of the Radon inal theorem cannot be omitted Helgason gave the examples $\Re(x_1 + ix_2)^{-m}$, **6. Counterexamples.** To show that the decay assumption in Helgason's orig-

an arbitrary point of $\text{sh}_K(E)$ we have proved that $f = 0$ in $\text{sh}_K(E)$. we have obtained a contradiction and can conclude that $z \notin \text{supp } f$. Since z was By Proposition 1 and the remark following Proposition 2 this is impossible; hence that Σ_t is disjoint from the support of f for $t < \underline{t}$ and Σ_t meets the support of f . Assume now that $z \in \text{supp } f$. Since $\underline{V} \subset E$, there must be a \underline{t} , $0 < \underline{t} \leq 1$, such

non-characteristic in the sense that all tangent planes to Σ_t are disjoint from K . that $B_{\varepsilon t}(z_t)$ is contained in the convex hull of $B_\varepsilon(z) \cup V$ it is easy to see that Σ_t is all points on L removed. Then each Σ_t is piecewise smooth, and using the fact for $0 < t \leq 1$, and let Σ_t be the boundary of the convex hull of $B_{\varepsilon t}(z_t) \cup V$ with K , and ε is smaller than the distance from z to L . Let $z_0 \in V$, set $z_t = z_0 + t(z - z_0)$ ε so small that all common tangent planes to $\partial L V$ and $B_\varepsilon(z)$ are also disjoint from to $\partial L(V)$, must be disjoint from K . Choose a ball $B_\varepsilon(z)$ with center at z and radius must be disjoint from K , and since V is convex, any hyperplane through z , tangent

Then f vanishes in the set $\bigcup_{x \in K} (x + \Gamma)$, and in general f does not vanish in any larger set. If the set K has interior points we can of course make f continuous in \mathbf{R}^n by taking a non-trivial smooth function ψ , supported in K , and choosing $f(x) = \text{p.v.} \int_{\mathbf{R}^n} g(x - y)\psi(y)dy$, $x \in \mathbf{R}^n$; here "p.v." indicates that we must take the principal value of the divergent integral. By transferring these examples to \mathbf{P}^n we see that the set $\text{sh}_K(E)$ cannot be replaced by any larger set in the conclusion of the theorem.

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