



# Operadic calculus and formality criteria

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# The notion of formality



# Formal topological spaces

$R$  : commutative ground ring

## Definition

A topological space  $X$  is **formal** if there exists a zig-zag of quasi-isomorphisms of dga algebras,

$$C_{\text{sing}}^{\bullet}(X; R) \xleftarrow{\sim} \cdot \xrightarrow{\sim} \cdots \xleftarrow{\sim} \cdot \xrightarrow{\sim} H^{\bullet}(X; R) .$$

→ Origins in rational homotopy theory (for  $R \subset \mathbb{Q}$ )

$X$  formal  $\implies H^{\bullet}(X, \mathbb{Q})$  completely determines the rational homotopy type of the space.

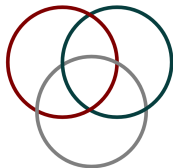
## Examples

→ Formal spaces

- Spheres, complex projective spaces, Lie groups
- Compact Kähler manifolds [DGMS, 1975]

→ Nonformal spaces

- The complement of the Borromean rings



## Formality of an algebraic structure

$A$  : cochain complex over  $R$

$(A, \nu)$  : differential graded algebraic structure over  $A$ , e.g.

- a dg associative algebra,
- a dg Lie algebra,
- a dg operad,
- ...

### Definition

The dg algebra  $(A, \nu)$  is **formal** if

$$\exists (A, \nu) \xleftarrow{\sim} \cdot \xrightarrow{\sim} \cdots \xleftarrow{\sim} \cdot \xrightarrow{\sim} (H(A), \bar{\nu}) .$$

### Examples

- $X$  is formal  $\iff (C_{\text{sing}}^{\bullet}(X; R), \cup)$  is formal as dga algebra
- $C_{\text{sing}}^{\bullet}(\mathcal{D}_k; \mathbb{R})$  is formal as an operad, where  $\mathcal{D}_k$  is the little  $k$ -discs operad [Kontsevich, 1999]



# Higher structures & operadic calculus



## Homotopy retracts

### Definition

$(W, d_W)$  is a **homotopy retract** of  $(V, d_V)$  if there are maps of cochain complexes

$$h \circlearrowleft (V, d_V) \begin{array}{c} \xrightarrow{p} \\ \xleftarrow{i} \end{array} (W, d_W)$$

where  $\text{id}_V - ip = d_V h + h d_V$  and  $i$  is a quasi-isomorphism

### Proposition

*If  $R$  is a field, any chain complex admits its cohomology as a homotopy retract*

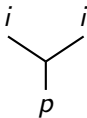
$$h \circlearrowleft (A, d_A) \begin{array}{c} \xrightarrow{p} \\ \xleftarrow{i} \end{array} (H(A), 0)$$

## Transfer of algebraic structure

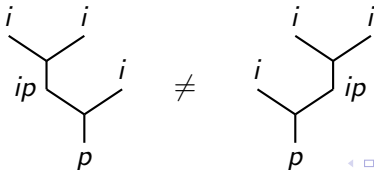
→  $(A, d, \nu)$  a dga algebra and a homotopy retract:

$$h \begin{array}{c} \curvearrowright \\ (A, d_A, \nu) \end{array} \begin{array}{c} \xrightarrow{p} \\ \xleftarrow{i} \end{array} (H, d_H)$$

→ Transferred product:  $\mu_2 := p \circ \nu \circ i^{\otimes 2} : H^{\otimes 2} \rightarrow H$



Not associative in general!



→ Consider  $\mu_3 : H^{\otimes 3} \rightarrow H$

$$\begin{array}{c} \diagup \\ | \\ \diagdown \end{array} \quad \equiv \quad \begin{array}{c} i \quad i \\ \diagdown \quad \diagup \\ h \quad \quad i \\ \quad \quad \diagdown \quad \diagup \\ \quad \quad \quad p \end{array} \quad - \quad \begin{array}{c} i \quad i \\ \diagdown \quad \diagup \\ \quad \quad i \quad h \\ \quad \quad \diagdown \quad \diagup \\ \quad \quad \quad p \end{array}$$

→ In  $\text{Hom}(H^{\otimes 3}, H)$ :

$$\partial \left( \begin{array}{c} \diagup \\ | \\ \diagdown \end{array} \right) = \begin{array}{c} i \quad i \\ \diagdown \quad \diagup \\ ip \quad \quad i \\ \quad \quad \diagdown \quad \diagup \\ \quad \quad \quad p \end{array} \quad - \quad \begin{array}{c} i \quad i \\ \diagdown \quad \diagup \\ \quad \quad i \quad ip \\ \quad \quad \diagdown \quad \diagup \\ \quad \quad \quad p \end{array}$$

→  $\mu_2$  is associative up to the homotopy  $\mu_3$ .

$\rightarrow \mu_n : H^{\otimes n} \rightarrow H$ , for all  $n \geq 2$

$$\begin{array}{c} 1 \quad 2 \quad \dots \quad n \\ \diagdown \quad \diagup \quad \diagdown \quad \diagup \\ | \\ \diagup \quad \diagdown \end{array} \quad := \quad \sum_{\text{PBT}_n} \pm \begin{array}{c} i \quad i \\ \diagdown \quad \diagup \\ i \quad i \quad i \quad i \\ \diagdown \quad \diagup \quad \diagdown \quad \diagup \\ h \quad h \quad h \quad h \\ | \\ p \end{array}$$

$$\partial \left( \begin{array}{c} 1 \quad 2 \quad \dots \quad n \\ \diagdown \quad \diagup \quad \diagdown \quad \diagup \\ | \\ \diagup \quad \diagdown \end{array} \right) = \sum_{\substack{k+l=n+1 \\ 1 \leq j \leq k}} \pm \begin{array}{c} 1 \quad \dots \quad l \\ \diagdown \quad \diagup \\ | \\ \diagup \quad \diagdown \quad \diagup \quad \diagdown \\ 1 \quad \dots \quad j \quad \dots \quad k \end{array}$$

# Homotopy associative algebras

## Definition

$A_\infty$ -algebra: a chain complex  $H$  with a collection of maps

$$\mu_n : H^{\otimes n} \rightarrow H$$

of degree  $n - 2$ , for all  $n \geq 2$ , which satisfy the relations

$$\partial \left( \begin{array}{c} 1 \quad 2 \quad \dots \quad n \\ \diagdown \quad \diagup \quad \diagdown \quad \diagup \\ | \\ \diagdown \quad \diagup \quad \diagdown \quad \diagup \\ | \end{array} \right) = \sum_{\substack{k+l=n+1 \\ 1 \leq j \leq k}} \pm \begin{array}{c} 1 \quad \dots \quad l \\ \diagdown \quad \diagup \\ | \\ \diagdown \quad \diagup \quad \diagdown \quad \diagup \\ | \quad \quad \quad | \quad \quad \quad | \\ 1 \quad \dots \quad j \quad \dots \quad k \end{array}$$

## Examples

- Every dga algebra  $(A, \nu)$  is an  $A_\infty$ -algebra with  $\mu_n = 0$  for all  $n \geq 3$ .
- $(H, d_H, \mu_2, \mu_3, \dots)$

# Homotopy transfer theorem

## Theorem (Kadeishvili, 1982)

Given a dga algebra  $(A, d_A, \nu)$  and a homotopy retract

$$h \begin{array}{c} \circlearrowright \\ \circlearrowleft \end{array} (A, d_A, \nu) \begin{array}{c} \xrightarrow{p} \\ \xleftarrow{i} \end{array} (H, d_H)$$

there exists an  $A_\infty$ -algebra structure on  $H$  such that  $p$  (and  $i$ ) extend to  $A_\infty$ -quasi-isomorphisms:

$$p_\infty : (A, d_A, \nu) \rightsquigarrow (H, d_H, \mu_2, \mu_3, \dots)$$

## Homotopy morphisms

$(A, d_A, \nu_2, \dots), (H, d_H, \mu_2, \dots) : A_\infty$ -algebras

### Definition

$A_\infty$ -morphism  $f : A \rightsquigarrow H$  is a collection of linear maps

$$f_n : A^{\otimes n} \longrightarrow H, \quad n \geq 1,$$

of degree  $n - 1$ , which satisfy the relations

$$\sum_{\substack{k \geq 1 \\ i_1 + \dots + i_k = n}} \pm \begin{array}{c} \vee \qquad \vee \\ f_{i_1} \dots f_{i_k} \\ \vee \qquad \vee \\ \nu_k \end{array} = \sum_{\substack{k+l=n+1 \\ 1 \leq j \leq k}} \pm \begin{array}{c} \vee \qquad \vee \\ \mu_l \\ \vee \qquad \vee \\ j \qquad \vee \\ \vee \qquad \vee \\ f_k \end{array}$$

where  $\mu_1 = d_H$  and  $\nu_1 = d_A$ .

# Homotopy quasi-isomorphisms

## Definition

$A_\infty$ -quasi-isomorphism  $f : A \xrightarrow{\sim} H$  is an  $A_\infty$ -morphism where  $f_1 : A \rightarrow H$  is a quasi-isomorphism.

## Proposition

$A, H$  dga algebras

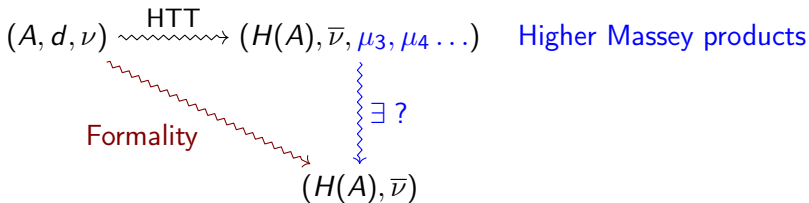
$$\exists A \xleftarrow{\sim} \cdot \xrightarrow{\sim} \dots \xleftarrow{\sim} \cdot \xrightarrow{\sim} H \iff \exists A \xrightarrow{\sim} H$$

## Corollary

A dga algebra  $(A, d, \nu)$  is formal  $\iff \exists (A, d, \nu) \xrightarrow{\sim} (H(A), 0, \bar{\nu})$ .

## An equivalent characterization of formality

$(A, d, \nu)$  a dga algebra such that  $H(A)$  is a homotopy retract



$\implies$  If the higher Massey products vanish, then  $(A, d, \nu)$  is formal.

### Definition

- $(A, d, \nu)$  is **formal** if  $\exists (H(A), \bar{\nu}, \mu_3, \mu_4 \dots) \rightsquigarrow (H(A), \bar{\nu})$ .
- $(A, d, \nu)$  is  **$n$ -formal** if  $\exists (H(A), \bar{\nu}, \mu_3, \mu_4 \dots) \rightsquigarrow (H(A), \bar{\nu}, 0, \dots, 0, \mu'_{n+1}, \dots)$ .

There is nothing special with the dga algebra structure!

## Examples

- dg commutative algebras and  $C_\infty$ -algebras
- dg Lie algebras and  $L_\infty$ -algebras
- dg Frobenius algebras and  $Frobenius_\infty$ -algebras
- dg Lie bialgebras and  $LieBi_\infty$ -algebras
- ...
- dg  $\mathcal{P}$ -algebra and  $\mathcal{P}_\infty$ -algebras, for any Koszul (pr)operad  $\mathcal{P}$ .

$\implies$  Operadic calculus provides a **unified framework** to deal with all types of algebraic structures



# Formality criteria



## The degree twisting

$(A, d, \nu)$  : a dg  $\mathcal{P}$ -algebra s.t.  $H(A)$  is a homotopy retract

$\alpha$  : a unit in  $R$ .

$\sigma_\alpha$  : the **degree twisting** by  $\alpha$

→ linear automorphism of  $H(A)$  which acts via  $\alpha^k \times$  on  $H^k(A)$ .

### Theorem (Drummond-Cole – Horel, 2021)

Suppose that  $\sigma_\alpha$  admits a lift, i.e.  $\exists f \in \text{End}(A, \nu)$  s.t.  $H(f) = \sigma_\alpha$ .

- $\forall k, \alpha^k - 1 \in R^\times \implies (A, d, \nu)$  is formal.
- $\forall k \leq n, \alpha^k - 1 \in R^\times \implies (A, d, \nu)$  is  $n$ -formal.

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### Heuristic :

- Higher Massey products have to be compatible with the lift.
- They intertwine multiplication by  $\alpha^l$  with multiplication by  $\alpha^k$  with  $l \neq k$ .
- They have to vanish

## Complement of subspace arrangements

$X$  : a **complement of a hyperplane arrangement** over  $\mathbb{C}$   
→ complement of a finite collection of affine hyperplanes in  $\mathbb{A}_{\mathbb{C}}^n$ .

$K$  : a finite extension of  $\mathbb{Q}_p$

$q$  : order of the residue field of the ring of integers of  $K$

$l$  : a prime number different from  $p$

$h$  : order of  $q$  in  $\mathbb{F}_l^\times$

### Proposition

*If  $X$  is defined over  $K$ , i.e.  $\exists K \hookrightarrow \mathbb{C}$  and  $\exists \mathcal{X}$  a complement of a hyperplane arrangement over  $K$  s.t.  $\mathcal{X} \times_K \mathbb{C} \cong X$ , then  $C^\bullet(X_{an}, \mathbb{Z}_l)$  is  $(h - 1)$ -formal.*

## Proposition

If  $X$  is defined over  $K$ , i.e.  $\exists K \hookrightarrow \mathbb{C}$  and  $\exists \mathcal{X}$  a complement of a hyperplane arrangement over  $K$  s.t.  $\mathcal{X} \times_K \mathbb{C} \cong X$ , then  $C^\bullet(X_{an}, \mathbb{Z}_l)$  is  $(h-1)$ -formal.

## Heuristic :

$$\rightarrow C^\bullet(X_{an}, \mathbb{Z}_l) \cong C_{et}^\bullet(\mathcal{X}_{\overline{K}}, \mathbb{Z}_l).$$

$\rightarrow$  The action of a Frobenius on  $H_{et}(\mathcal{X}_{\overline{K}}, \mathbb{Z}_l)$  is  $\sigma_q$ , [Kim, 1994].



Thank you for your attention!

