

Logic and Algorithms in Computational Linguistics 2017  
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# Parsing sluicing

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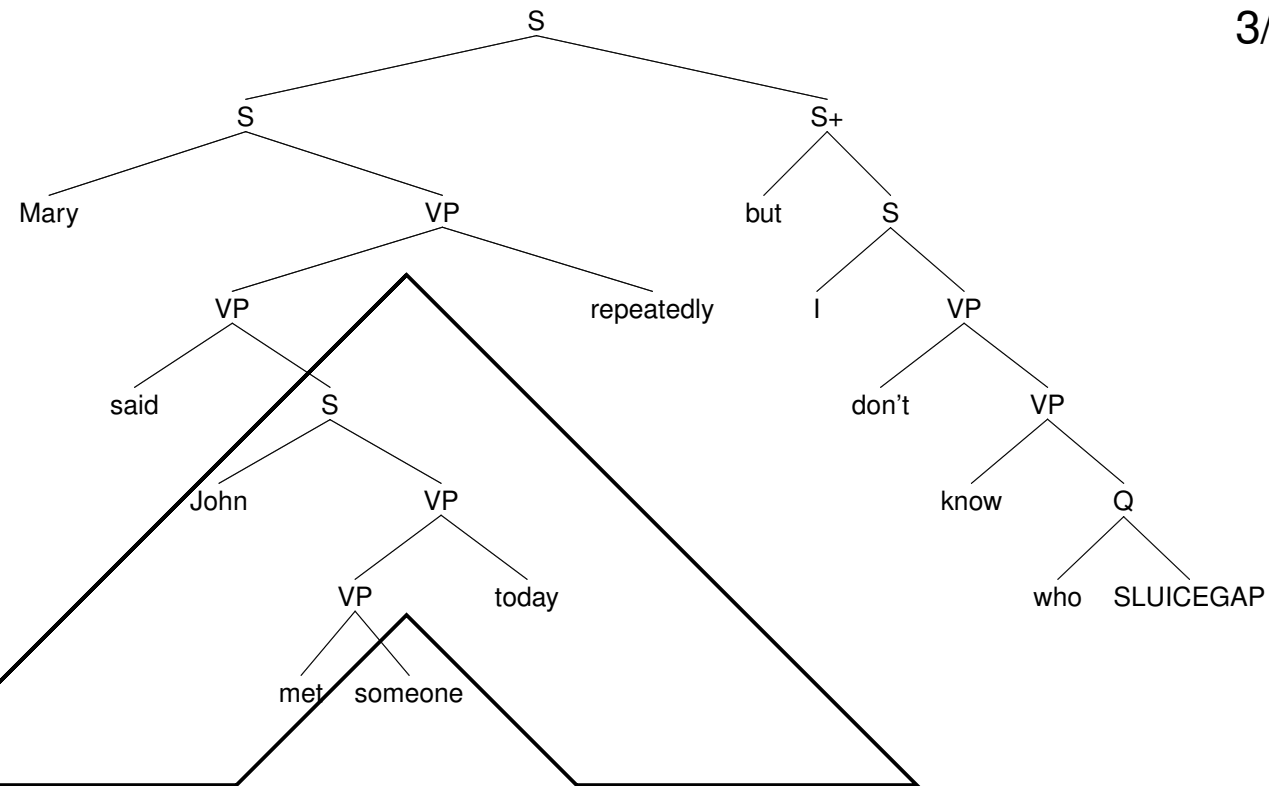
## Plan

- Problem: **Parsing**: finding structure
- Algorithm: **Logic**: proof search as parsing
- Application: **Sluicing**: a challenge for parsing
  - (1) Ann spoke to someone,  
but I don't know [who ...]
- The logical approach naturally gives rise to levels of parsing difficulty that cleanly puts sluicing on a higher level

## Preview

- Unlike some ellipsis, sluicing arguably must be syntactic
- Why it's extra difficult to parse: antecedent is not just a constituent, but a constituent *with a piece removed*
- But the piece isn't missing in the antecedent
- Sluicing antecedent in (1): *Ann spoke to someone*

Dialog between description, logic, and parsing difficulty



(2) Mary said [John met (someone) today] repeatedly,  
but I don't know who John met — today.

## Levels of parsing difficulty imposed by the grammar

Each level requires adding new schemas to the search

- Function/argument combination: *Ann (saw Bill)*
  - Covert movement (Q scope): *Someone saw everyone*
  - Overt movement: *Who did Ann see \_\_?*
  - Parasitic scope: *Ann and Bill read the same books*
- 
- Sluicing

# Lambek's substructural logic NL: the logic of external merge

Without Exchange, ' $\supset$ ' splits into ' $\backslash$ ' and ' $/$ '

- **Formulas:**  $\mathcal{F} = \text{DP} \mid \mathcal{S} \mid \mathcal{F} \backslash \mathcal{F} \mid \mathcal{F} / \mathcal{F}$
- **Structures:**  $\mathcal{S} = \mathcal{F} \mid \mathcal{S} \bullet \mathcal{S}$
- **Sequents:**  $\mathcal{S} \vdash \mathcal{F}$
- **Logical rules:**

$$\frac{\Gamma \vdash A \quad \Sigma[B] \vdash C}{\Sigma[\Gamma \bullet A \backslash B] \vdash C} \backslash L$$

$$\frac{A \bullet \Gamma \vdash B}{\Gamma \vdash A \backslash B} \backslash R$$

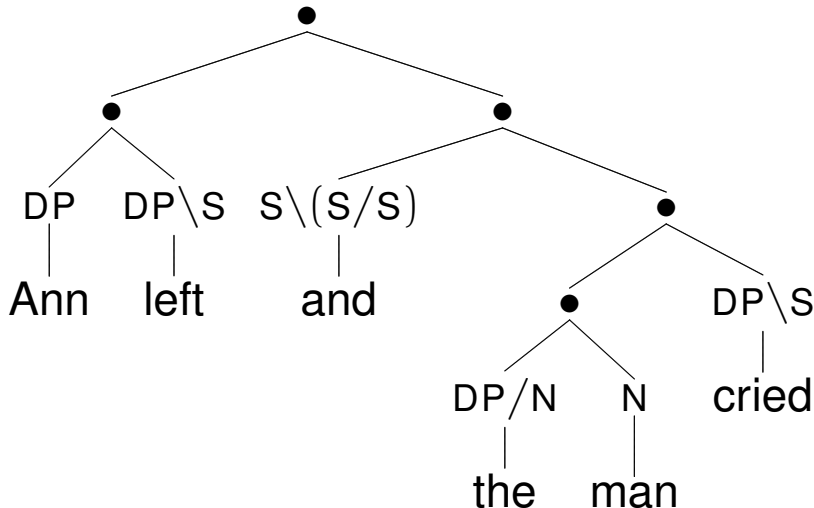
$$\frac{\Gamma \vdash A \quad \Sigma[B] \vdash C}{\Sigma[B / A \bullet \Gamma] \vdash C} / L$$

$$\frac{\Gamma \bullet A \vdash B}{\Gamma \vdash B / A} / R$$

Intuitionistic (single formula in the consequent); implicative fragment; Structural rules: none! (Cut baked in)

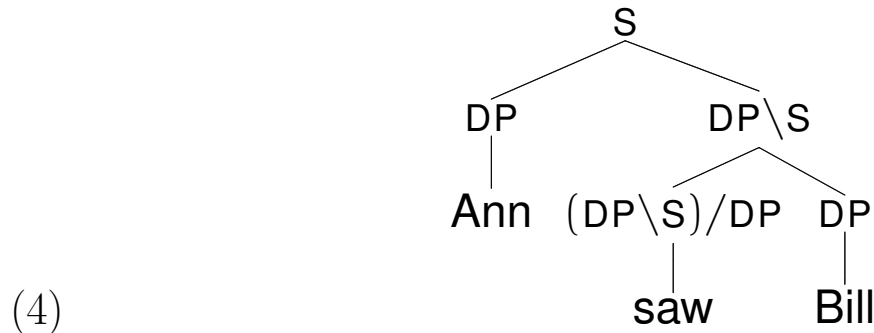
# How context notation works in inference rules

- Capital Greek letters ( $\Delta$ ,  $\Gamma$ ,  $\Sigma$ ) stand for complete structures
- ' $\Sigma[\Delta]$ '  $\equiv$   $\Sigma$  containing a distinguished instance of  $\Delta$
- ' $\Sigma[\Gamma \bullet A \backslash B]$ ' matches the structure below in two ways:
  - $[\text{Ann} \bullet \text{DP} \backslash \text{S}] \bullet (\text{and} \bullet ((\text{the} \bullet \text{man}) \bullet \text{cried}))$
  - $(\text{Ann} \bullet \text{left}) \bullet (\text{and} \bullet [(\text{the} \bullet \text{man}) \bullet \text{DP} \backslash \text{S}])$

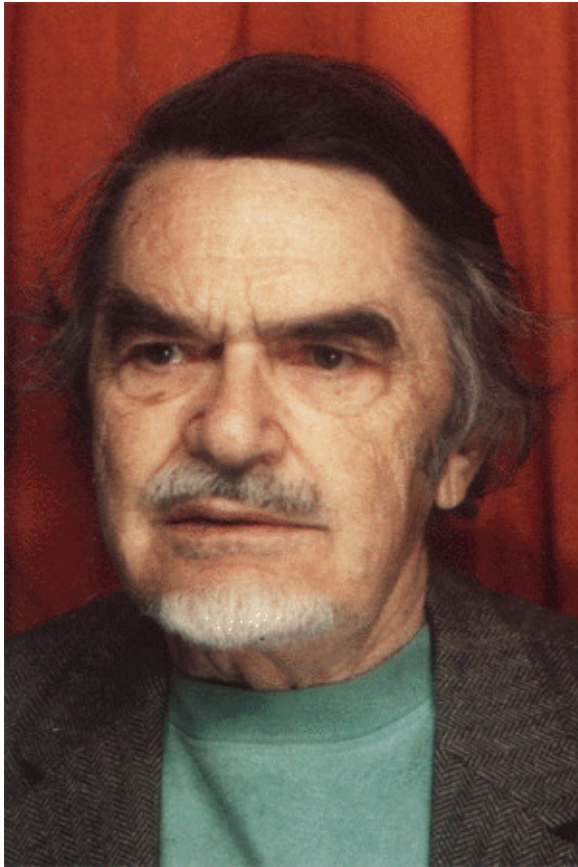


## An example derivation of *Ann saw Bill*

$$(3) \quad \frac{\frac{DP \vdash DP}{DP \bullet ((DP \backslash S)/DP \bullet DP)} \vdash S \quad \frac{DP \vdash DP \quad S \vdash S}{DP \bullet DP \backslash S \vdash S} \backslash L}{Ann \bullet (saw \bullet Bill) \vdash s} /L \text{ LEX}$$



Gentzen sequent presentation; payoff: simple decidability [=termination] argument



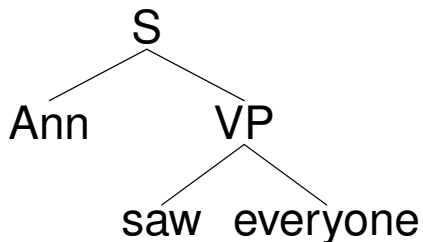
Joachim Lambek



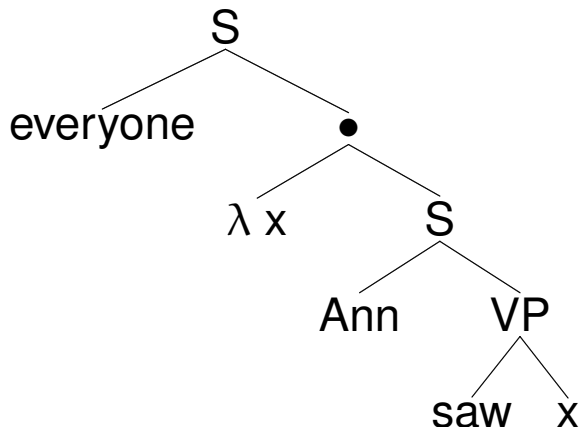
# Quantifier Raising as a logical inference

- **Montague** 1973: Quantifying In: (3065 citations)
- **May** 1978,1985: Quantifier Raising (QR): (3286 citations)

$$\text{Montague} \downarrow \quad \frac{\text{everyone}(\lambda x. \text{Ann saw } x) \vdash S}{\text{Ann saw everyone} \vdash S} \quad \uparrow \text{May}$$



≡





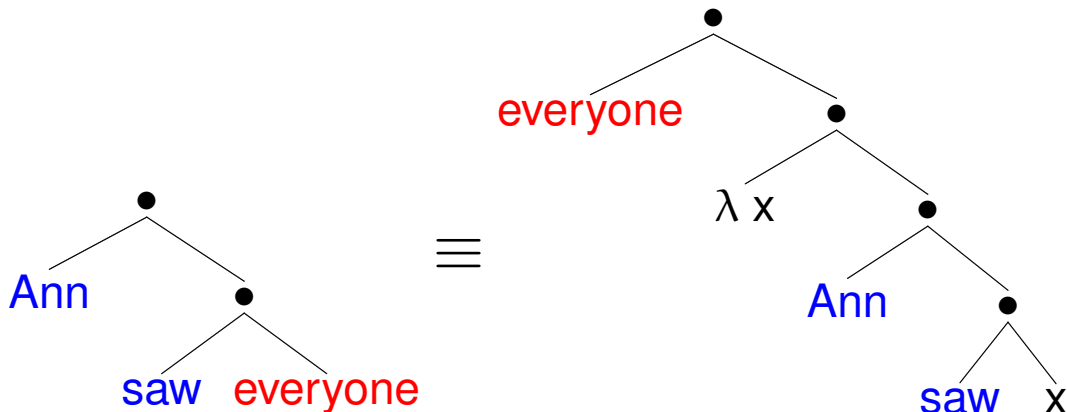
Richard Montague



Robert May

# Quantifier Raising as a structural rule

Quantifier Raising:  $\Sigma[\Delta] \equiv \Delta \bullet \lambda x \Sigma[x]$



# The $\lambda$ -calculus

- **Variables:**  $\mathcal{V} = x \mid y \mid z \mid \dots$
- **Terms:**  $\mathcal{T} = \mathcal{V} \mid \lambda \mathcal{V} \mathcal{T} \mid \mathcal{T} \mathcal{T}$

Exx:  $x, y, \lambda x x, \lambda x y, ((\lambda x y)z, ((\lambda x (xx))(\lambda x (xx))), \dots$

Reduction:

$$((\lambda \alpha M)N) \rightsquigarrow_{\beta} M\{\alpha \mapsto N\}$$

Example:

$$(((\lambda x (\lambda y (yx)))a)b) \rightsquigarrow ((\lambda y (ya))b) \rightsquigarrow (ba)$$

# NL<sub>QR</sub>: NL with Quantifier Raising

- **Variables:**  $\mathcal{V} = x \mid y \mid z \mid \dots$
- **Formulas:**  $\mathcal{F} = \text{DP} \mid \text{S} \mid \mathcal{F} \backslash \mathcal{F} \mid \mathcal{F} / \mathcal{F}$
- **Structures:**  $\mathcal{S} = \mathcal{F} \mid \mathcal{S} \bullet \mathcal{S} \mid \mathcal{V} \mid \lambda \mathcal{V} \mathcal{S}$
- **Sequents:**  $\mathcal{S} \vdash \mathcal{F}$
- **Logical rules:**

$$\frac{\Gamma \vdash A \quad \Sigma[B] \vdash C}{\Sigma[\Gamma \bullet A \backslash B] \vdash C} \backslash L$$

$$\frac{A \bullet \Gamma \vdash B}{\Gamma \vdash A \backslash B} \backslash R$$

$$\frac{\Gamma \vdash A \quad \Sigma[B] \vdash C}{\Sigma[B/A \bullet \Gamma] \vdash C} / L$$

$$\frac{\Gamma \bullet A \vdash B}{\Gamma \vdash B/A} / R$$

- **Structural rule:**  $\Sigma[\Delta] \equiv_{\text{QR}} \Delta \bullet \lambda x \Sigma[x]$

$x$  chosen fresh; rule to be adjusted slightly below

$$\begin{array}{c}
 \vdots \\
 \hline
 \text{Ann} \bullet ((\text{gave} \bullet \text{DP}) \bullet \text{cookies}) \vdash \text{S} \\
 \hline
 \text{DP} \bullet \lambda x (\text{Ann} \bullet ((\text{gave} \bullet x) \bullet \text{cookies})) \vdash \text{S} \quad \text{QR} \\
 \hline
 \lambda x (\text{Ann} \bullet ((\text{gave} \bullet x) \bullet \text{cookies})) \vdash \text{DP} \backslash \text{S} \quad \backslash \text{R} \quad \text{S} \vdash \text{S} \\
 \hline
 \text{S} / (\text{DP} \backslash \text{S}) \bullet \lambda x (\text{Ann} \bullet ((\text{gave} \bullet x) \bullet \text{cookies})) \vdash \text{S} \quad \backslash \text{L} \\
 \hline
 \text{everyone} \bullet \lambda x (\text{Ann} \bullet ((\text{gave} \bullet x) \bullet \text{cookies})) \vdash \text{S} \quad \text{LEX} \\
 \hline
 \text{Ann} \bullet (\text{gave} \bullet (\text{everyone}) \bullet \text{cookies}) \vdash \text{S} \quad \text{QR}
 \end{array}$$

- Reading bottom up (direction of proof search):
- First, QR *everyone*
- more reasoning
- Then, QR the DP trace back into the original position
- QR versus QI? Both essential!

# Meaning: Curry-Howard labeling for $NL_{QR}$

$$\frac{}{a : A \vdash a : A} \text{Axiom}$$

$$\frac{\Gamma \vdash a:A \quad \Sigma[b:B] \vdash M:C}{\Sigma[\Gamma \bullet f:(A \setminus B)] \vdash M\{b \mapsto f(a)\}:C} \setminus^L \quad \frac{a:A \bullet \Gamma \vdash N:B}{\Gamma \vdash (\lambda a N):(A \setminus B)} \setminus^R$$

‘ $M\{b \mapsto f(a)\}$ ’ means ‘the term just like  $M$ , but with each occurrence of  $b$  replaced by  $f(a)$ ’.

# Summary of Curry Howard and example

- identity axioms are labeled with atomic symbols
- formulas are labeled with lambda terms
- the computat'l content of L inferences is function application
- the computat'l content of R inferences is lambda abstraction
- structural rules do not affect the Curry-Howard labeling

$$\begin{array}{c}
 \frac{\frac{\frac{a : DP \vdash a : DP \quad p : S \vdash p : S}{a : DP \bullet f : DP \backslash S \vdash fa : S} \backslash L}{\frac{b : DP \vdash b : DP \quad a : DP \bullet f : DP \backslash S \vdash fa : S}{a : DP \bullet (g : (DP \backslash S) / DP \bullet b : DP) \vdash gba : S} / L} \text{QR} \\
 \frac{b : DP \circ \lambda x (a : DP \bullet (g : (DP \backslash S) / DP \bullet x)) \vdash gba : S}{\lambda x (a : DP \bullet (g : (DP \backslash S) / DP \bullet x)) \vdash \lambda b. gba : DP \backslash S} \text{QR} \\
 \frac{\lambda x (a : DP \bullet (g : (DP \backslash S) / DP \bullet x)) \vdash \lambda b. gba : DP \backslash S \quad q : S \vdash q : S}{Q : S // (DP \backslash S) \circ \lambda x (a : DP \bullet (g : (DP \backslash S) / DP \bullet x)) \vdash Q(\lambda b. gba) : S} // L \\
 \frac{Q : S // (DP \backslash S) \circ \lambda x (a : DP \bullet (g : (DP \backslash S) / DP \bullet x)) \vdash Q(\lambda b. gba) : S}{a : DP \bullet (g : (DP \backslash S) / DP \bullet Q : S // (DP \backslash S)) \vdash Q(\lambda b. gba) : S} \text{QR} \\
 \hline
 a : \text{Ann} \bullet (\text{saw} : \text{saw} \bullet \text{everyone} : \text{everyone}) \vdash \text{everyone}(\lambda b. \text{saw}(b)(a)) : S \quad \text{LEX}
 \end{array}$$

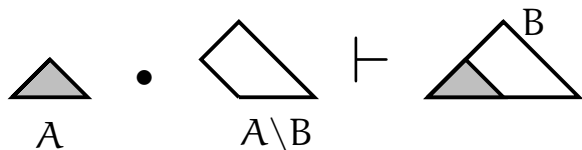


## Next level: overt movement

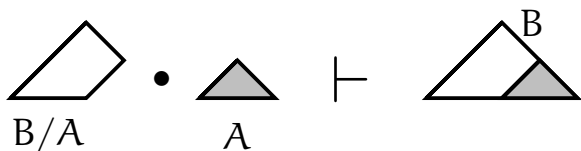
Two steps:

- First step: motivate second logical mode
  - (5) Ann saw who?      who:  $Q // (DP \backslash S)$   
     *who* **surrounded** by its argument
  - (6) Who did ann see \_\_?      who:  $Q / (DP \backslash S)$   
     *who* **followed** by its argument
- Second step: recognize the presence of gaps ('\_\_')
  - Gaps as silent pronouns (units in the logic)
  - Silent lambdas present in the final conclusion
  - Structural rule:  $\Gamma[\Delta] \Rightarrow \lambda x \Gamma[\Delta \bullet x]$
  - Here: proof search strategy that preserves decidability:  
     absorb into logical rules

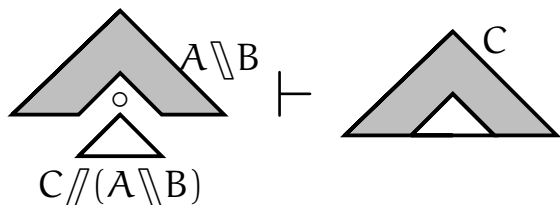
# Movement: two modes of syntactic combination



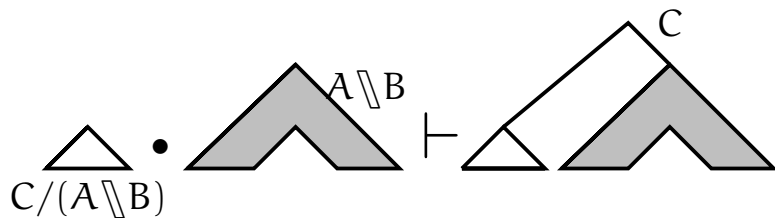
external merge,  $\backslash$ : *Ann left*



external merge,  $/$ : *saw Ann*



covert movement: *Ann saw who?*



overt movement:  
*Who did Ann see \_\_?*

# Adding a second mode to $\mathbf{NL}_{QR}$ : $\mathbf{NL}_\lambda$

- **Variables:**  $\mathcal{V} = x \mid y \mid z \mid \dots$
- **Formulas:**  $\mathcal{F} = DP \mid S \mid \mathcal{F} \backslash \mathcal{F} \mid \mathcal{F} / \mathcal{F} \mid \textcolor{red}{\mathcal{F} \backslash \mathcal{F}} \mid \textcolor{red}{\mathcal{F} // \mathcal{F}}$
- **Structures:**  $\mathcal{S} = \mathcal{F} \mid \mathcal{S} \bullet \mathcal{S} \mid \textcolor{red}{\mathcal{S} \circ \mathcal{S}} \mid \mathcal{V} \mid \lambda \mathcal{V} \mathcal{S}$
- **Sequents:**  $\mathcal{S} \vdash \mathcal{F}$
- **Logical rules:**

$$\frac{\Gamma \vdash A \quad \Sigma[B] \vdash C}{\Sigma[\Gamma \bullet A \backslash B] \vdash C} \backslash L \quad \frac{A \bullet \Gamma \vdash B}{\Gamma \vdash A \backslash B} \backslash R \quad \frac{\Gamma \vdash A \quad \Sigma[B] \vdash C}{\Sigma[\Gamma \circ A \backslash B] \vdash C} \backslash L \quad \frac{A \circ \Gamma \vdash B}{\Gamma \vdash A \backslash B} \backslash R$$

$$\frac{\Gamma \vdash A \quad \Sigma[B] \vdash C}{\Sigma[B/A \bullet \Gamma] \vdash C} /L \quad \frac{\Gamma \bullet A \vdash B}{\Gamma \vdash B/A} /R \quad \frac{\Gamma \vdash A \quad \Sigma[B] \vdash C}{\Sigma[B // A \circ \Gamma] \vdash C} //L \quad \frac{\Gamma \circ A \vdash B}{\Gamma \vdash B // A} //R$$

- **Structural rule:**  $\Sigma[\Delta] \equiv_\lambda \Delta \circ \lambda x \Sigma[x]$

$$\frac{\frac{\lambda x \Gamma[x] \vdash A \quad \Sigma[B] \vdash C}{\Sigma[B // A \circ \lambda x \Gamma[x]] \vdash C} //L}{\Sigma[\Gamma[B // A]] \vdash C} \lambda \equiv \frac{\lambda x \Gamma[x] \vdash A \quad \Sigma[B] \vdash C}{\Sigma[\Gamma[B // A]] \vdash C} //L_\lambda$$

$$\frac{\frac{\Gamma[A] \vdash B}{A \circ \lambda x \Gamma[x] \vdash B} \lambda}{\lambda x \Gamma[x] \vdash A // B} \lambda \equiv \frac{\Gamma[A] \vdash B}{\lambda x \Gamma[x] \vdash A // B} //R_\lambda$$

Example of covert movement for *Ann saw everyone*:

$$\frac{\frac{\vdots}{DP \bullet ((DP \backslash S) / DP \bullet DP) \vdash S}{\lambda x (DP \bullet ((DP \backslash S) / DP \bullet x)) \vdash DP // S} //R_\lambda}{DP \bullet ((DP \backslash S) / DP \bullet S // (DP // S)) \vdash S} //L_\lambda \quad S \vdash S$$

# Decidability argument

$$\frac{\Gamma \vdash A \quad \Sigma[B] \vdash C}{\Sigma[\Gamma \bullet A \backslash B] \vdash C} \backslash L \quad \frac{A \bullet \Gamma \vdash B}{\Gamma \vdash A \backslash B} \backslash R \quad \frac{\Gamma \vdash A \quad \Sigma[B] \vdash C}{\Sigma[\Gamma \circ A \backslash\backslash B] \vdash C} \backslash\backslash L \quad \frac{A \circ \Gamma \vdash B}{\Gamma \vdash A \backslash\backslash B} \backslash\backslash R$$

$$\frac{\Gamma \vdash A \quad \Sigma[B] \vdash C}{\Sigma[B/A \bullet \Gamma] \vdash C} /L \quad \frac{\Gamma \bullet A \vdash B}{\Gamma \vdash B/A} /R \quad \frac{\Gamma \vdash A \quad \Sigma[B] \vdash C}{\Sigma[B//A \circ \Gamma] \vdash C} //L \quad \frac{\Gamma \circ A \vdash B}{\Gamma \vdash B//A} //R$$

Covert movement:  $\frac{\lambda x \Gamma[x] \vdash A \quad \Sigma[B] \vdash C}{\Sigma[\Gamma[B//A]] \vdash C} //L_\lambda \quad \frac{\Gamma[A] \vdash B}{\lambda x \Gamma[x] \vdash A \backslash\backslash B} \backslash\backslash R_\lambda$

- The structural rule has been absorbed into the logical rules
- Every logical rule
  - has the subformula property (lambdas are structural)
  - premises contain one fewer connective than conclusion
- Decidability follows

# Reconstruction example involving quantificational binding

(7) [I found out] which friend of hers everyone saw

(8) (**which**( $\lambda x$ (**everyone**(**he**( $\lambda y$ ( $\lambda z$ (( $x$ (**friend.of**  $y$ ))( $\lambda a$ ((**saw**  $a$ ) $z$ ))))))))))

DP  $\vdash$  DP

N  $\vdash$  N

N/DP \* DP  $\vdash$  N  $\_$ /L

DP  $\vdash$  DP

DP  $\vdash$  DP

S  $\vdash$  S

DP \* DP\S  $\vdash$  S  $\_$ /L

DP \* ((DP\S)/DP \* DP)  $\vdash$  S  $\_$ /L

DP \* (DP\S)/DP  $\vdash$  DP\S  $\_$ /R<sub>rgap</sub>

S  $\vdash$  S

S/(DP\S) \* (DP \* (DP\S)/DP)  $\vdash$  S  $\_$ /L

((S/(DP\S))/N \* (N/DP \* DP)) \* (DP \* (DP\S)/DP)  $\vdash$  S  $\_$ /L

((S/(DP\S))/N \* (N/DP \* DP)) \* ((I \* ((DP\S)/DP \* B)) \* C)  $\vdash$  DP\S  $\_$ /R<sub>1</sub>

((S/(DP\S))/N \* ((N/DP \* (I \* C)) \* C)) \* (((I \* ((DP\S)/DP \* B)) \* C) \* B)

DP\S  $\vdash$  DP\S

((S/(DP\S))/N \* (N/DP \* (DP\S)/(DP\S))) \* ((I \* ((DP\S)/DP \* B)) \* C)

S  $\vdash$  S

((S/(DP\S))/N \* (N/DP \* (DP\S)/(DP\S))) \* (S/(DP\S) \* (DP\S)/DP)  $\vdash$  S

(I \* ((N/DP \* (DP\S)/(DP\S))) \* B) \* (S/(DP\S) \* (DP\S)/DP \* B)  $\vdash$  (S

Q  $\vdash$  Q

(Q/(((S/(DP\S))/N)\S) \* (N/DP \* (DP\S)/(DP\S))) \* (S/(DP\S) \* (DP\S)/DP

## Remnant movement

Requires postulating silent functional heads like Acc or W.  
From an example worked out in detail by Stabler:

(9) believe it :  $((I(\lambda x((Ix)\mathbf{it}))) (\lambda x(\mathbf{believe}\ x)))$ : WP

(10) (Reduces to **believe(it)**)

$$\begin{array}{l}
 DP \backslash \backslash VP \mid - DP \backslash \backslash VP \\
 DP \mid - DP \\
 AccP \mid - AccP \\
 DP * DP \backslash AccP \mid - AccP \_ / L \\
 DP * ((DP \backslash AccP) / (DP \backslash \backslash VP) * DP \backslash \backslash VP) \mid - AccP \_ / L \\
 DP * (DP \backslash AccP) / (DP \backslash \backslash VP) \mid - (DP \backslash \backslash VP) \backslash \backslash AccP \_ \backslash R_{rgap} \\
 DP \mid - DP \\
 VP \mid - VP \\
 VP / DP * DP \mid - VP \_ / L \\
 VP / DP \mid - DP \backslash \backslash VP \_ \backslash R_{rgap} \\
 WP \mid - WP \\
 VP / DP * (DP \backslash \backslash VP) \backslash WP \mid - WP \_ / L \\
 VP / DP * (((DP \backslash \backslash VP) \backslash WP) / ((DP \backslash \backslash VP) \backslash \backslash AccP) * (DP * (DP \backslash AccP) / (DP \backslash \backslash VP))) \mid - WP \_ / L
 \end{array}$$

## Reasoning about time cost

- Proof depth  $n$  is equal to the number of logical connectives
- $n$  proportional to the number of words
- Simple proof search strategy:
  - choose a constituent structure (group the words)
  - try to match every rule with every connective
- Worst-case time cost is factorial:  $n * (n - 1) * (n - 2) * \dots * 1$
- Practical time cost: much better (future work)
- One reason for optimism: Lambek equivalent to CFG
- Goal for today: figure the cost imposed by each rule
- Lambek rules: given that we've already selected a connective, constructing the premise has constant cost
- $\backslash R_\lambda$ : cost of beta reduction; using cleverness, constant
- $// L_\lambda$ : need to choose  $\Gamma$ , i.e., need to choose how big the scope domain will be. Worst case: every node is a potential scope-taking position: order  $n$  possibilities to consider

Lambek rules: constant cost per connective

Covert movement: factor of  $n$



# Decidable proof search, adding overt movement

Same strategy: absorb gap postulation into the logical rules:

$$(11) \quad \frac{\Gamma[A \bullet \Delta] \vdash B}{\Gamma[\Delta] \vdash A \mathbin{\mathbb{A}} B} \mathbb{R}_{l_{gap}} \quad \frac{\Gamma[\Delta \bullet A] \vdash C}{\Gamma[\Delta] \vdash A \mathbin{\mathbb{A}} B} \mathbb{R}_{r_{gap}}$$

Derivation of *Who did Ann see ...?* (ignoring *did* for simplicity):

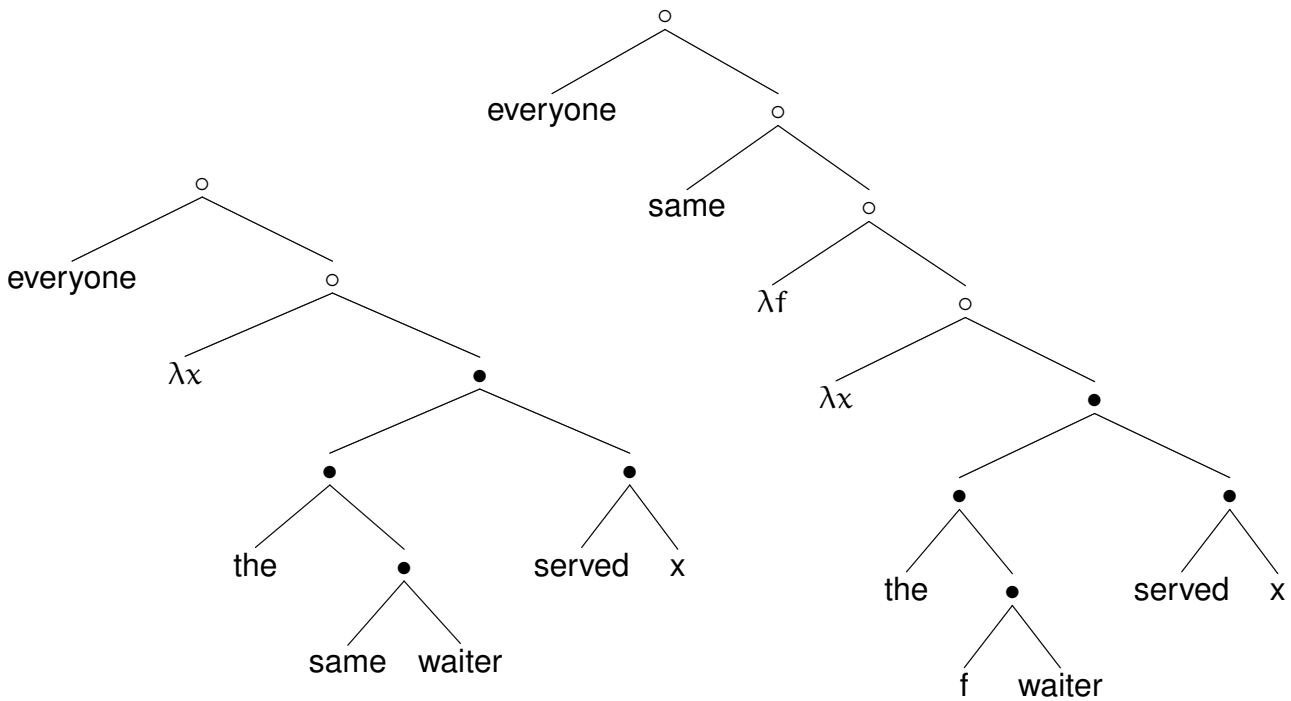
$$(12) \quad \frac{\begin{array}{c} \vdots \\ \text{Ann} \bullet (\text{see} \bullet \text{DP}) \vdash S \end{array}}{\text{Ann} \bullet \text{see} \vdash \text{DP} \mathbin{\mathbb{A}} S} \mathbb{R}_{gap} \quad \frac{Q \vdash Q}{\text{Q}/(\text{DP} \mathbin{\mathbb{A}} S) \bullet (\text{Ann} \bullet \text{see}) \vdash Q} /L$$

$$\frac{\text{Q}/(\text{DP} \mathbin{\mathbb{A}} S) \bullet (\text{Ann} \bullet \text{see}) \vdash Q}{\text{who} \bullet (\text{Ann} \bullet \text{see}) \vdash Q} \text{LEX}$$

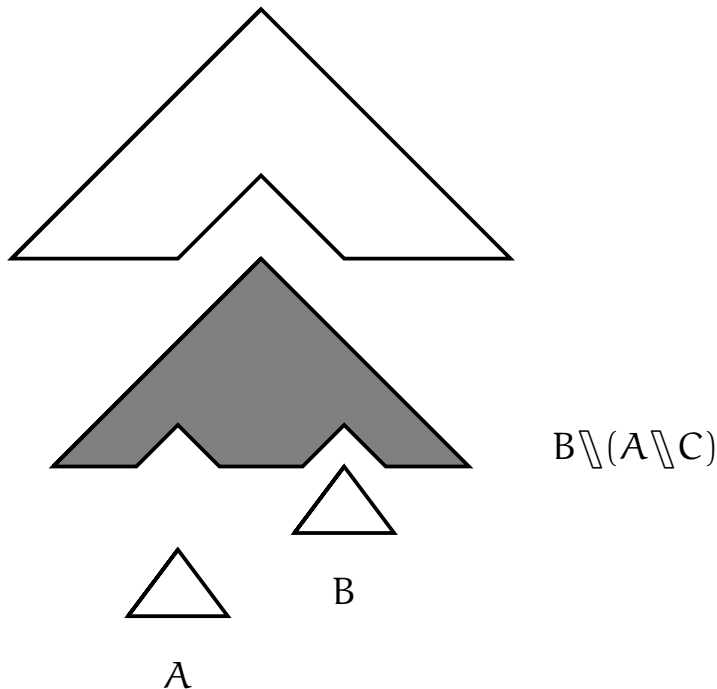
- Decidability argument continues to hold
- Cost: gap could be anywhere: possible sites  $\sim n$

# Decidable implementation not complete: parasitic scope

(13) The same waiter served everyone. [Heim, Stump]



# Parasitic scope in schematic format



$A$  = Antecedent;  $B$  = parasitic scope-taker

Ann told ( $A$  = everyone) the ( $B$  = same) story.

$\lambda y \lambda x (\text{ann} \bullet ((\text{told} \bullet y) \bullet (\text{the} \bullet (x \bullet \text{story})))) \vdash DP \backslash (DP \backslash S)$

## Other phenomena with a parasitic scope analysis

- (14) a. Anaphora: Morrill, Fadda & Valentín 2011  
 b. *he*:  $(DP \setminus S) // (DP \setminus (DPS))$   
 c. Everyone thinks he is smart.  
 d. *everyone*  $\circ$  (*he*  $\circ$   $\lambda y \lambda x (x \bullet (\text{thinks} \bullet (y \bullet (\text{is} \bullet \text{smart})))))) \vdash s$
- (15) a. *Average*: Kennedy and Stanley 2009  
 b. The average American has 2.3 kids.  
 c. *2.3*  $\circ$  (*avg*  $\circ$   $\lambda f \lambda n ((\text{the} \bullet (f \bullet \text{Am}'n)) \bullet (\text{has} \bullet (n \bullet \text{kids}))))$
- (16) a. Fancy coordination: Kubota & Levine (various papers)  
 b. I said the same thing to Terry on Mon and to Kim on Tue.  
 c.  $\neq$  I said the same thing to Terry on Monday and I said the same thing to Kim on Tuesday.
- (17) a. Remnant comparatives: Pollard and Smith 2013  
 b. Ann owes Bill more than Clara.

# Challenge: two points of discontinuity

$$\frac{\lambda y \lambda x \Gamma[x][y] \vdash A \quad \Sigma[\Pi \circ B] \vdash C}{\Sigma[\Gamma[B//A][\Pi]] \vdash C} \text{ PARA}$$

(18) They saw the same dog.

N | - N

N | - N

DP | - DP

DP | - DP

S | - S

DP \* DP\S | - S \_\L

DP \* ((DP\S)/DP \* DP) | - S \_/L

DP \* ((DP\S)/DP \* (DP/N \* N)) | - S \_/L

DP \* ((DP\S)/DP \* (DP/N \* (N/N \* N))) | - S \_/L

I \* (((DP\S)/DP \* (DP/N \* (N/N \* N))) \* B) | - DP\S \_\R\_lam

I \* (((DP\S)/DP \* ((DP/N \* ((I \* (N \* B)) \* C)) \* C)) \* (B \* B)) \* C | - (N/

DP | - DP

S | - S

DP \*\* DP\S | - S

DP \* ((DP\S)/DP \* (DP/N \* ((DP\S)/((N/N)\(DP\S)) \* N))) | - S \_//L\_para]

Conjecture: two points of discontinuity suffice for natural lg.

## Diagnosis

- In order to serve as antecedent, must take scope
- If a phrase doesn't force scope-taking, must find it anyway
- Two degrees of freedom: how big is the scope domain ( $\Gamma$ )
- and which constituent is the antecedent ( $\Pi$ ).
- Cost for each potential application of the rule:  $n^2$

Score: Lambek: constant

covert movement:  $n$

overt movement:  $n$

parasitic scope:  $n^2$

## Sluicing as parasitic scope

SLUICEGAP:  $\lambda k \lambda P. kPP : ((DP \setminus S) \setminus S) // ((DP \setminus S) \setminus ((DP \setminus S) \setminus S))$

(16) Someone left, but I don't know who SLUICEGAP.

The continuation of *someone* relative to the clause *someone left* (i.e.,  $\lambda x(x \bullet \text{left})$ ) provides the semantic value for the sluice gap:

$$\begin{array}{c}
 \frac{\text{(someone} \circ DP \setminus S) \bullet (\text{bidk} \bullet (\text{who} \bullet DP \setminus S)) \vdash S}{DP \setminus S \circ \lambda y((\text{someone} \circ y) \bullet (\text{bidk} \bullet (\text{who} \bullet DP \setminus S))) \vdash S} \lambda \\
 \frac{\lambda y((\text{someone} \circ y) \bullet (\text{bidk} \bullet (\text{who} \bullet DP \setminus S))) \vdash (DP \setminus S) \setminus S}{DP \setminus S \circ \lambda z \lambda y((\text{someone} \circ y) \bullet (\text{bidk} \bullet (\text{who} \bullet z))) \vdash (DP \setminus S) \setminus S} \setminus R \\
 \frac{DP \setminus S \circ \lambda z \lambda y((\text{someone} \circ y) \bullet (\text{bidk} \bullet (\text{who} \bullet z))) \vdash (DP \setminus S) \setminus S}{\lambda z \lambda y((\text{someone} \circ y) \bullet (\text{bidk} \bullet (\text{who} \bullet z))) \vdash (DP \setminus S) \setminus ((DP \setminus S) \setminus S)} \setminus R \\
 \frac{\lambda z \lambda y((\text{someone} \circ y) \bullet (\text{bidk} \bullet (\text{who} \bullet z))) \vdash (DP \setminus S) \setminus ((DP \setminus S) \setminus S)}{\lambda x(x \bullet \text{left}) \circ (((DP \setminus S) \setminus S) // ((DP \setminus S) \setminus ((DP \setminus S) \setminus S)) \circ \lambda z \lambda y((\text{someone} \circ y) \bullet (\text{bidk} \bullet (\text{who} \bullet z)))) \vdash S} \setminus L \\
 \frac{\lambda x(x \bullet \text{left}) \circ (((DP \setminus S) \setminus S) // ((DP \setminus S) \setminus ((DP \setminus S) \setminus S)) \circ \lambda z \lambda y((\text{someone} \circ y) \bullet (\text{bidk} \bullet (\text{who} \bullet z)))) \vdash S}{\lambda x(x \bullet \text{left}) \circ (\text{SLUICEGAP} \circ \lambda z \lambda y((\text{someone} \circ y) \bullet (\text{bidk} \bullet (\text{who} \bullet z)))) \vdash S} \text{LEX} \\
 \frac{\lambda x(x \bullet \text{left}) \circ (\text{SLUICEGAP} \circ \lambda z \lambda y((\text{someone} \circ y) \bullet (\text{bidk} \bullet (\text{who} \bullet z)))) \vdash S}{\lambda x(x \bullet \text{left}) \circ \lambda y((\text{someone} \circ y) \bullet (\text{bidk} \bullet (\text{who} \bullet \text{SLUICEGAP}))) \vdash S} \lambda \\
 \frac{\lambda x(x \bullet \text{left}) \circ \lambda y((\text{someone} \circ y) \bullet (\text{bidk} \bullet (\text{who} \bullet \text{SLUICEGAP}))) \vdash S}{(\text{someone} \circ \lambda x(x \bullet \text{left})) \bullet (\text{bidk} \bullet (\text{who} \bullet \text{SLUICEGAP})) \vdash S} \lambda \\
 \frac{(\text{someone} \circ \lambda x(x \bullet \text{left})) \bullet (\text{bidk} \bullet (\text{who} \bullet \text{SLUICEGAP})) \vdash S}{(\text{someone} \bullet \text{left}) \bullet (\text{bidk} \bullet (\text{who} \bullet \text{SLUICEGAP})) \vdash S} \lambda
 \end{array}$$

*bidk* = but-I-don't-know

# Arguments that sluicing is syntactic

## Deep versus surface anaphora

(19) [Holds up a cigarette] I don't know why not.

(20) [Holds up an earring] ??I don't know whose.

Claim: Verb phrase ellipsis doesn't require a linguistic antecedent (deep anaphora), but sluicing does (surface anaphora)

**Case matching:** the case of the WH element in the sluice must match the case of the wh correlate.

(4) Er will jemandem schmeicheln, aber sie wissen nicht, { \*wen / wem }.  
 he wants someone.DAT flatter but they know not { who.ACC / who.DAT }  
 'He wants to flatter someone, but they don't know who.'

(5) Er will jemanden loben, aber sie wissen nicht, { wen / \*wem }.  
 he wants someone.ACC praise but they know not { who.ACC / who.DAT }  
 'He wants to praise someone, but they don't know who.'



**Problem: the implementation doesn't cover sluicing**

Solution: add a special-case derived inference rule for sluicing:

$$\frac{\lambda x \lambda y \Gamma[\Delta \circ y][z] \vdash A \quad \Sigma[(\lambda x \Pi[x]) \circ B] \vdash C}{\Sigma[\Gamma[B//A][\Pi[\Delta]]] \vdash C} \text{ SLUICING}$$

(21) John left, but I don't know who (else).

```

DP\S | - DP\S :Ax
Q | - Q :Ax
Q/(DP\S) * DP\S | - Q :/L
  DP | - DP :Ax
  S | - S :Ax
DP ** DP\S | - S :\L
S | - S :Ax
(DP ** DP\S) * S\S | - S :\L
(DP ** DP\S) * ((S\S)/Q * (Q/(DP\S) * DP\S)) | - S :/L
  DP | - DP :Ax
  S | - S :Ax
DP * DP\S | - S :\L
DP ** ((C * I) * DP\S) | - S :b
(C * I) * DP\S | - DP\S :\R
S | - S :Ax
((C * I) * DP\S) ** (DP\S)\S | - S :\L
(DP * DP\S) * ((S\S)/Q * (Q/(DP\S) * ((DP\S)\S)//((DP\S)\((DP\S)\S)))) | - S :Sluicing

((sg (\x (\y ((bidk (who x)) (y John))))) (\z (left z)))

```

# Comparing cases

$$\frac{\lambda x \Gamma[x] \vdash A \quad \Sigma[B] \vdash C}{\Sigma[\Gamma[B//A]] \vdash C} //L_\lambda$$

$$\frac{\lambda y \lambda x \Gamma[x][y] \vdash A \quad \Sigma[\Pi \circ B] \vdash C}{\Sigma[\Gamma[B//A][\Pi]] \vdash C} \text{ PARA}$$

$$\frac{\lambda x \lambda y \Gamma[\Delta \circ y][z] \vdash A \quad \Sigma[(\lambda x \Pi[x]) \circ B] \vdash C}{\Sigma[\Gamma[B//A][\Pi[\Delta]]] \vdash C} \text{ SLUICING}$$

- For normal scope-taking, look for  $\Gamma$  containing  $B//A$
- For parasitic scope-taking, look also for a  $\Pi$
- For sluicing, look also for  $\Delta$  within  $\Pi$
- Select  $\Gamma$ , or select  $\Gamma$  and  $\Pi$ , or select  $\Gamma$ ,  $\Pi$ , and  $\Delta$ .
- Cost per application of rule:  $n$ ,  $n^2$ ,  $n^3$

## Conclusions

- How precisely does cost per rule application fit into overall time cost?
- What is the logic (including a sound and complete model theory) for the overt movement rules?
- Are there any natural language phenomena that require additional special case rules?
- Parasitic scope (pronominal anaphora and *same*), and sluicing are both effortless for native speakers. Is it more efficient to do a rough parse, and then do search followed by checking, rather than an exhaustive parse?

THANKS!

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