

Frame-Semantic Composition at the Syntax-Semantics Interface

Rainer Osswald

Heinrich-Heine-Universität Düsseldorf

(joint work with Laura Kallmeyer)

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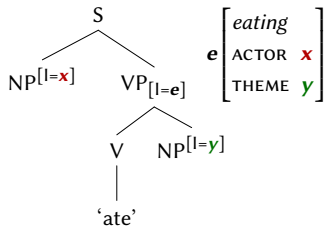
Stockholm, August 16–19, 2017

Department of Mathematics, Stockholm University

Introduction

A simple example

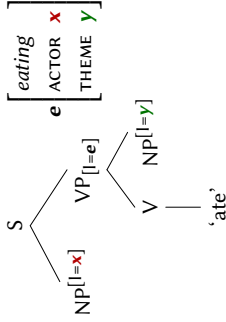
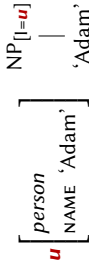
(1) Adam ate an apple.



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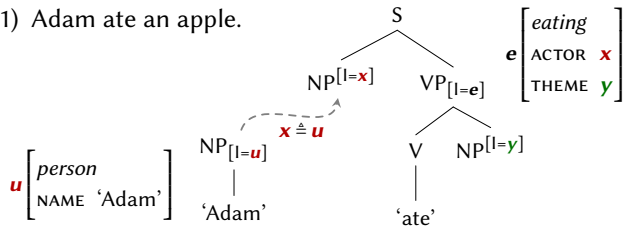
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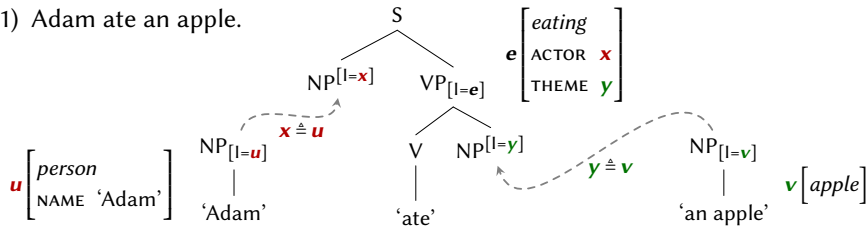
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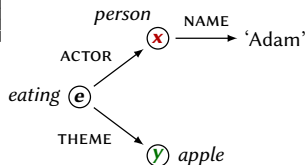
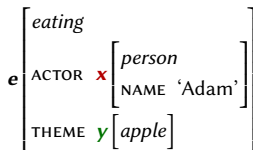
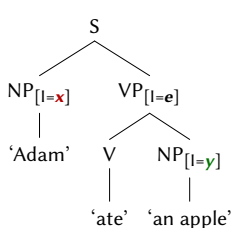
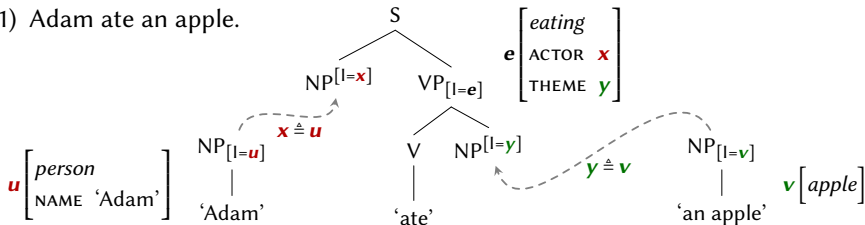
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Introduction

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Introduction

A model of the syntax-semantics interface

- Semantic composition (\approx unification) is triggered by syntactic composition (\approx substitution and adjunction).
- Semantic representations are linked to entire elementary trees.
(A further decomposition is possible in the “metagrammar”.)
- Interface features relate nodes in the syntactic tree to components in the semantic representation.

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- Interface features relate nodes in the syntactic tree to components in the semantic representation.

Main components of the framework

[Kallmeyer/Osswald 2013]

1 Lexicalized Tree Adjoining Grammars (LTAG)

[Joshi/Schabes 1997; Abeille/Rambow 2000]

2 Decompositional Frame Semantics

[Kallmeyer/Osswald 2013; Osswald/Van Valin 2014]

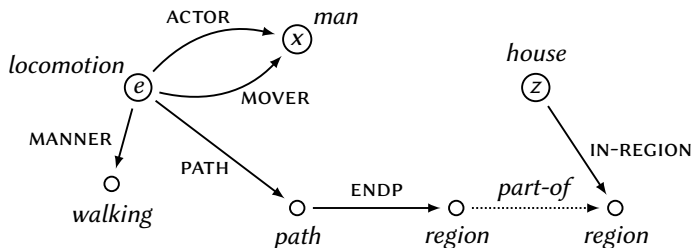
3 Metagrammatical specification and decomposition

[Crabbé/Duchier 2005; Crabbé et al. 2013, Lichte/Petitjean 2015]

Decompositional Frame Semantics

Frames as a way to represent rich lexical and constructional content.

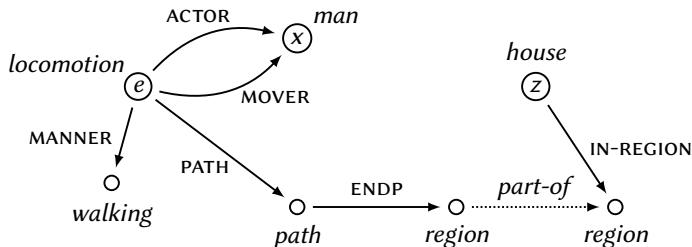
- Semantic frames are commonly depicted as **graphs** with labeled nodes and edges, where **nodes** correspond to entities (individuals, events, ...) and **edges** to functional (or non-functional) relations between these entities.



Decompositional Frame Semantics

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- Semantic frames are commonly depicted as **graphs** with labeled nodes and edges, where **nodes** correspond to entities (individuals, events, ...) and **edges** to functional (or non-functional) relations between these entities.



- Frames in this sense can be formalized as generalized feature structures with types, relations and node labels.

Decompositional Frame Semantics

Example Lexical decomposition templates

[Rappaport Hovav/Levin 1998]

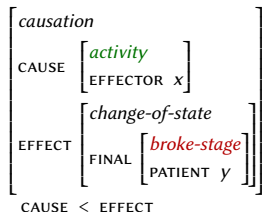
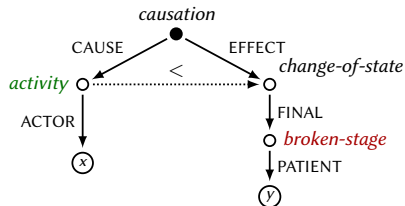
(2) $[[x \text{ ACT}] \text{ CAUSE } [\text{BECOME } [y \text{ BROKEN}]]]$

Decompositional Frame Semantics

Example Lexical decomposition templates

[Rappaport Hovav/Levin 1998]

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Description in attribute-value logic

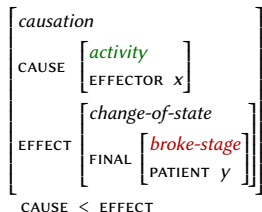
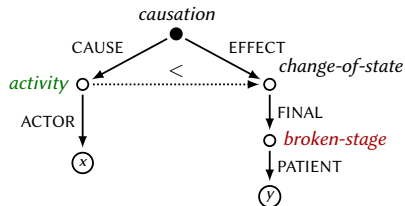
$\text{causation} \wedge \text{CAUSE} : \text{activity} \wedge \text{CAUSE ACTOR} \hat{=} x \wedge \text{CAUSE PATIENT} \hat{=} y$
 $\wedge \text{EFFECT} (\text{change-of-state} \wedge \text{FINAL} : \text{broken-stage})$
 $\wedge \text{CAUSE} < \text{EFFECT}$

Decompositional Frame Semantics

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Translation into first-order logic

$\lambda e \exists e' \exists e'' \exists s (\text{causation}(e) \wedge \text{CAUSE}(e, e') \wedge \text{EFFECT}(e, e'') \wedge e' < e'' \wedge$
 $\text{activity}(e') \wedge \text{ACTOR}(e', x) \wedge \text{change-of-state}(e'') \wedge$
 $\text{FINAL}(e'', s) \wedge \text{broken-stage}(s) \wedge \text{PATIENT}(s, y))$

Decompositional Frame Semantics

Basic assumptions

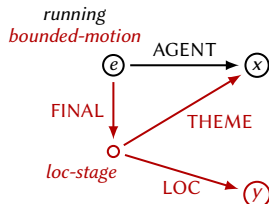
- **Attributes** (features, functional roles/relations) play a central role in the organization of semantic and conceptual knowledge and representation. [Barsalou 1992; Petersen 2007; Löbner 2014]
- Semantic components (participants, subevents) can be (recursively) addressed by **attributes**.
 - ↪ inherently **structured representations** (models);
composition by **unification** (under constraints)
- Semantic processing may be seen as the **incremental construction** of **minimal (frame) models** based on the input, the context, and background knowledge (lexicon, ...).

Decompositional Frame Semantics

Example

(3) Anna ran **to the station**.

$$e \left[\begin{array}{l} \text{running} \wedge \text{bounded-motion} \\ \text{AGENT } [1] x \\ \text{FINAL } \left[\begin{array}{l} \text{loc-stage} \\ \text{THEME } [1] \\ \text{LOC } y \end{array} \right] \end{array} \right]$$



Attribute-value logic

$$e \cdot (\text{running} \wedge \text{bounded-motion} \wedge \text{ACTOR} \triangleq x \wedge \\ \text{FINAL} : \text{loc-stage} \wedge \text{FINAL THEME} \doteq \text{ACTOR} \wedge \text{FINAL LOC} \triangleq y)$$

Translation into first-order logic

$$\text{running}(e) \wedge \text{bounded-motion}(e) \wedge \text{ACTOR}(e, x) \wedge \\ \exists s(\text{FINAL}(e, s) \wedge \text{loc-stage}(s) \wedge \text{THEME}(s, x) \wedge \text{LOC}(s, y))$$

Constraints

$$\text{running} \Rightarrow \text{activity} \quad (\text{short for } \forall e(\text{running}(e) \rightarrow \text{activity}(e))), \\ \text{loc-stage} \Rightarrow \text{THEME} : T \wedge \text{LOC} : T, \dots$$

Frame semantics: formalization

Vocabulary / Signature

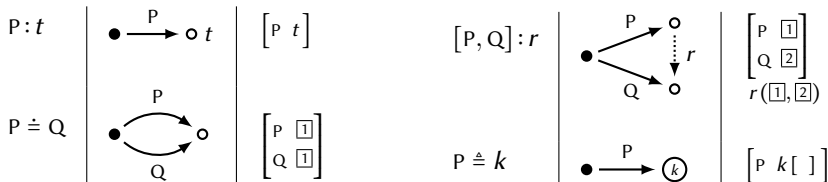
Attr	attributes (= dyadic functional relation symbols)
Rel	(proper) relation symbols
Type	type symbols (= monadic predicates)
Nname	node names ("nominals")
Nvar	node variables
} Nlabel	

Primitive attribute-value descriptions (pAVDesc)

$$t \mid p:t \mid p \doteq q \mid [p_1, \dots, p_n]:r \mid p \triangleq k$$

($t \in \text{Type}$, $r \in \text{Rel}$, $p, q, p_i \in \text{Attr}^*$, $k \in \text{Nlabel}$)

Semantics



Frame semantics: formalization

Primitive attribute-value formulas (pAVForm)

$$k \cdot p : t \mid k \cdot p \triangleq l \cdot q \mid \langle k_1 \cdot p_1, \dots, k_n \cdot p_n \rangle : r$$

($t \in \text{Type}$, $r \in \text{Rel}$, $p, q, p_i \in \text{Attr}^*$, $k, l, k_i \in \text{Nlabel}$)

Semantics

$$\begin{array}{l}
 k \cdot p : t \quad \left| \begin{array}{c} \textcircled{k} \xrightarrow{P} \circ t \end{array} \right| \quad k \left[\begin{array}{c} P \\ t \end{array} \right] \\
 \\
 k \cdot p \triangleq l \cdot q \quad \left| \begin{array}{c} \textcircled{k} \xrightarrow{P} \circ \\ \textcircled{l} \xrightarrow{Q} \circ \end{array} \right| \quad \begin{array}{c} k \left[\begin{array}{c} P \\ \boxed{1} \end{array} \right] \\ l \left[\begin{array}{c} Q \\ \boxed{1} \end{array} \right] \end{array}
 \end{array}
 \quad
 \begin{array}{l}
 \langle k \cdot p, l \cdot q \rangle : r \quad \left| \begin{array}{c} \textcircled{k} \xrightarrow{P} \circ \\ \textcircled{l} \xrightarrow{Q} \circ \\ \quad \quad \quad \downarrow r \end{array} \right| \quad \begin{array}{c} k \left[\begin{array}{c} P \\ \boxed{1} \end{array} \right] \\ l \left[\begin{array}{c} Q \\ \boxed{2} \end{array} \right] \\ r(\boxed{1}, \boxed{2}) \end{array}
 \end{array}$$

Frame semantics: formalization

Primitive attribute-value formulas (pAVForm)

$$k \cdot p : t \mid k \cdot p \triangleq l \cdot q \mid \langle k_1 \cdot p_1, \dots, k_n \cdot p_n \rangle : r$$

$$(t \in \text{Type}, r \in \text{Rel}, p, q, p_i \in \text{Attr}^*, k, l, k_i \in \text{Nlabel})$$

Semantics

$$\begin{array}{c}
 k \cdot p : t \\
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 \end{array}
 \right|
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 \end{array}
 \quad
 \langle k \cdot p, l \cdot q \rangle : r
 \left| \begin{array}{c}
 \textcircled{k} \xrightarrow{P} \circ \\
 \vdots \downarrow r \\
 \textcircled{l} \xrightarrow{Q} \circ
 \end{array}
 \right|
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 k \left[\begin{smallmatrix} P \\ \boxed{1} \end{smallmatrix} \right] \\
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 r(\boxed{1}, \boxed{2})
 \end{array}$$

Formal definitions (fairly standard)

Set/universe of “nodes” V

Interpretation function $I : \text{Attr} \rightarrow [V \rightarrow V], \text{Type} \rightarrow \wp(V),$
 $\text{Rel} \rightarrow \bigcup_n \wp(V^n), \text{Nname} \rightarrow V$

(Partial) variable assignment $g : \text{Nvar} \rightarrow V$

Frame semantics: formalization

Formal definitions (cont'd)

Abbreviation: $I_g(k) = v$ for $k \in \text{Nlabel}$ iff $I(k) = v$ if $k \in \text{Nname}$ and $g(k) = v$ if $k \in \text{Nvar}$ ($g(k)$ defined)

Satisfaction of descriptions

$\langle V, I, g \rangle, v \models t$	iff $v \in I(t)$
$\langle V, I, g \rangle, v \models p : t$	iff $I(p)(v) \models t$
$\langle V, I, g \rangle, v \models p \doteq q$	iff $I(p)(v) = I(q)(v)$
$\langle V, I, g \rangle, v \models [p_1, \dots, p_n] : r$	iff $\langle I(p_1)(v), \dots, I(p_n)(v) \rangle \in I(r)$
$\langle V, I, g \rangle, v \models p \triangleq k$	iff $I(p)(v) = I_g(k)$ ($k \in \text{Nlabel}$)

Satisfaction of formulas

$\langle V, I, g \rangle \models k \cdot p : t$	iff $I(p)(I_g(k)) \in I(t)$
$\langle V, I, g \rangle \models k \cdot p \triangleq l \cdot q$	iff $I(p)(I_g(k)) = I(q)(I_g(l))$
$\langle V, I, g \rangle \models \langle k_1 \cdot p_1, \dots, k_n \cdot p_n \rangle : r$	iff $\langle I(p_1)(I_g(k_1)), \dots, I(p_n)(I_g(k_n)) \rangle \in I(r)$

Satisfaction of Boolean combinations as usual.

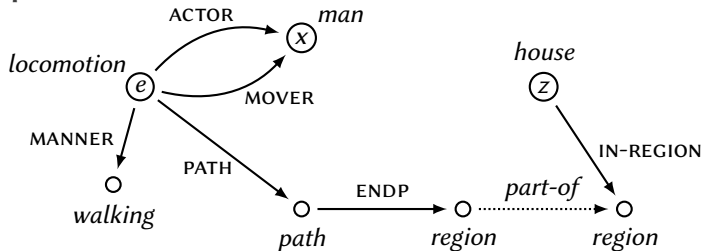
Frame semantics: formalization

Frame F over $\langle \text{Attr}, \text{Type}, \text{Rel}, \text{Nname}, \text{Nvar} \rangle$:

$F = \langle V, I, g \rangle$, with V finite, such that every node $v \in V$ is reachable from some labeled node $w \in V$ via an attribute path, i.e.,

- (i) $w = I_g(k)$ for some $k \in \text{Nlabel}$ and
- (ii) $v = I(p)(w)$ for some $p \in \text{Attr}^*$.

Example



Frame semantics: formalization

Subsumption

$F_1 = \langle V_1, I_1, g_1 \rangle$ **subsumes** $F_2 = \langle V_2, I_2, g_2 \rangle$ ($F_1 \sqsubseteq F_2$) iff there is a (necessarily unique) **morphism** $h: F_1 \rightarrow F_2$, i.e., a function $h: V_1 \rightarrow V_2$ such that

- (i) $I_2(f)(h(v)) = h(I_1(f)(v))$, if $I_1(f)(v)$ is defined, $f \in \text{Attr}$, $v \in V_1$,
- (ii) $h(I_1(t)) \subseteq I_2(t)$, for $t \in \text{Type}$
- (iii) $h(I_1(r)) \subseteq I_2(r)$, for $r \in \text{Rel}$
- (iv) $h(I_1(n)) = I_2(n)$, for $n \in \text{Nname}$
- (v) $h(g_1(x)) = g_2(x)$, for $x \in \text{Nvar}$, if $g_1(x)$ is defined

Frame semantics: formalization

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Unification

Least upper bound $F_1 \sqcup F_2$ of F_1 and F_2 w.r.t. subsumption.

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Theorem (Frame unification)

[Hegner 1994]

The worst case time-complexity of frame unification is almost linear in the number of nodes.

Frame semantics: formalization

Frames as minimal models of attribute-value formulas

- (i) Every frame is the minimal model (w.r.t. subsumption) of a finite conjunction of primitive attribute-value formulas.

Frame semantics: formalization

Frames as minimal models of attribute-value formulas

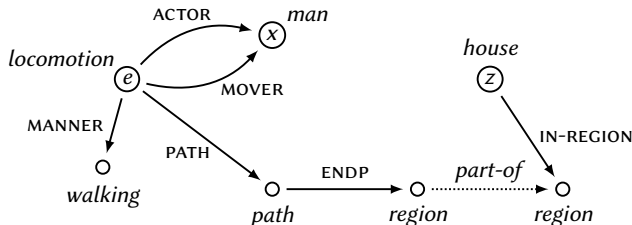
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Example


$$e \cdot (locomotion \wedge \text{MANNER:} walking \wedge \text{ACTOR} \triangleq x \wedge \\ \text{MOVER} \triangleq \text{ACTOR} \wedge \text{PATH:} (path \wedge \text{ENDP:} region)) \wedge \\ \langle e \cdot \text{PATH ENDP}, z \cdot \text{IN-REGION} \rangle : \text{part-of} \wedge x \cdot man$$

Frame semantics: formalization

Attribute-value constraints

General format: $\forall \phi, \phi \in \text{AVDesc}$

Notation: $\phi \Rightarrow \psi$ for $\forall(\phi \rightarrow \psi)$

Horn constraints: $\phi_1 \wedge \dots \wedge \phi_n \Rightarrow \psi$ ($\phi_i \in \text{pAVDesc}, \psi \in \text{pAVDesc} \cup \{\perp\}$)

Examples

activity \Rightarrow *event*

causation \wedge *activity* $\Rightarrow \perp$

AGENT : $\top \Rightarrow$ *AGENT* \doteq *ACTOR*

activity \Rightarrow *ACTOR* : \top

activity \wedge *motion* \Rightarrow *ACTOR* \doteq *MOVER*

Frame semantics: formalization

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activity \Rightarrow *ACTOR* : \top

activity \wedge *motion* \Rightarrow *ACTOR* \doteq *MOVER*

Theorem (Frame unification under Horn constraints)

[Hegner 1994]

The worst case time-complexity of frame unification under a finite set of labeled Horn constraints is almost linear in the number of nodes.

(Labeled Horn constraint: $k_1 \cdot \phi_1 \wedge \dots \wedge k_n \cdot \phi_n \rightarrow l \cdot \psi$)

Frame semantics: formalization

Further examples

[Babonnaud et al. 2016]

book \Rightarrow *info-carrier*

Frame semantics: formalization

Further examples

[Babonnaud et al. 2016]

book \Rightarrow *info-carrier*

book
● \rightsquigarrow *book, info-carrier*
●

Frame semantics: formalization

Further examples

[Babonnaud et al. 2016]

$book \Rightarrow info\text{-}carrier$

$book \bullet \rightsquigarrow book, info\text{-}carrier \bullet$

$info\text{-}carrier \Rightarrow phys\text{-}obj \wedge \text{CONTENT} : information$

Frame semantics: formalization

Further examples

[Babonnaud et al. 2016]

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$info\text{-}carrier \bullet \rightsquigarrow info\text{-}carrier, phys\text{-}obj \bullet \xrightarrow{\text{CONTENT}} information \circ$

Frame semantics: formalization

Further examples

[Babonnaud et al. 2016]

$book \Rightarrow info-carrier$

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$info-carrier \Rightarrow phys-obj \wedge CONTENT: information$

$info-carrier \bullet \rightsquigarrow info-carrier, phys-obj \bullet \xrightarrow{CONTENT} information \circ$

$reading \Rightarrow PERC-COMP: perception \wedge MENT-COMP: comprehension$
 $\wedge [PERC-COMP, MENT-COMP]: ordered-overlap$

Frame semantics: formalization

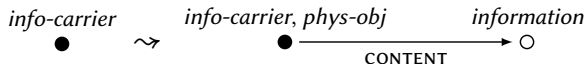
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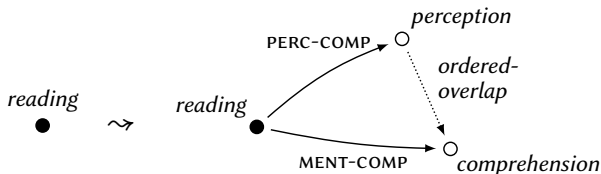
$book \Rightarrow info-carrier$



$info-carrier \Rightarrow phys-obj \wedge CONTENT: information$



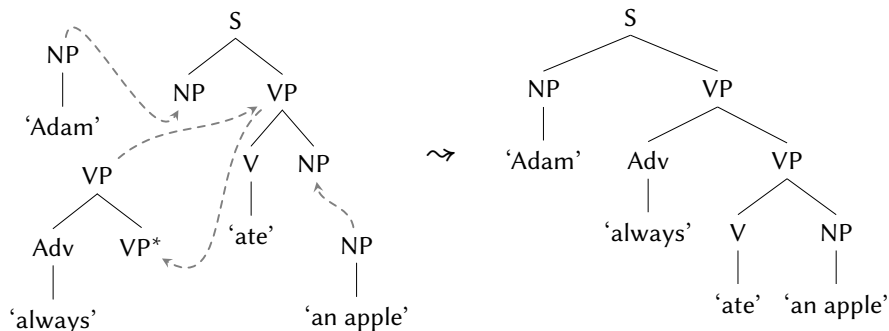
$reading \Rightarrow PERC-COMP: perception \wedge MENT-COMP: comprehension$
 $\wedge [PERC-COMP, MENT-COMP]: ordered-overlap$



Lexicalized Tree Adjoining Grammars (LTAG)

Tree-rewriting system

- Finite set of (**lexicalized**) **elementary trees**.
- Two operations: **substitution** (replacing a leaf with a new tree) and **adjunction** (replacing an internal node with a new tree).



Lexicalized Tree Adjoining Grammars (LTAG)

Two key properties of the LTAG formalism

- **Extended domain of locality**

The full argument projection of a lexical item can be represented by a single elementary tree.

Elementary trees can have a complex constituent structure.

- **Factoring recursion from the domain of dependencies**

Constructions related to iteration and recursion are modeled by adjunction.

Through adjunction, the local dependencies encoded by elementary trees can become long-distance dependencies in the derived trees.

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The full argument projection of a lexical item can be represented by a single elementary tree.

Elementary trees can have a complex constituent structure.

- **Factoring recursion from the domain of dependencies**

Constructions related to iteration and recursion are modeled by adjunction.

Through adjunction, the local dependencies encoded by elementary trees can become long-distance dependencies in the derived trees.

Slogan: “**Complicate locally, simplify globally**” [Bangalore/Joshi 2010]

Lexicalized Tree Adjoining Grammars (LTAG)

“Simplify globally”

- The composition of elementary trees can be expressed by two general operations: substitution and adjunction.

(Since basically all linguistic constraints are specified over the local domains represented by elementary trees.)

“Complicate locally”

- Elementary trees can have complex semantic representations which are not necessarily derived compositionally (in the syntax) from smaller parts of the trees.

In particular, there is no need to reproduce the internal structure of an elementary syntactic tree within its associated semantic representation.

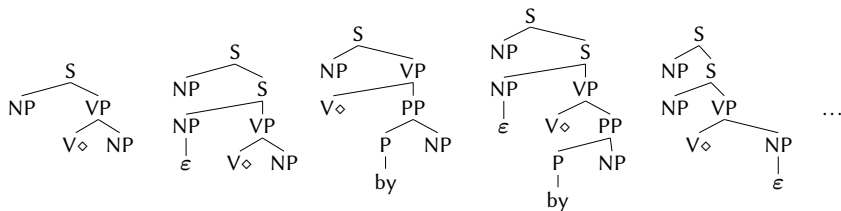
[Kallmeyer/Joshi 2003]

Lexicalized Tree Adjoining Grammars (LTAG)

Tree families

Unanchored elementary trees are organized in tree families, which capture variations in the (syntactic) subcategorization frames.

Example unanchored family for transitive verbs

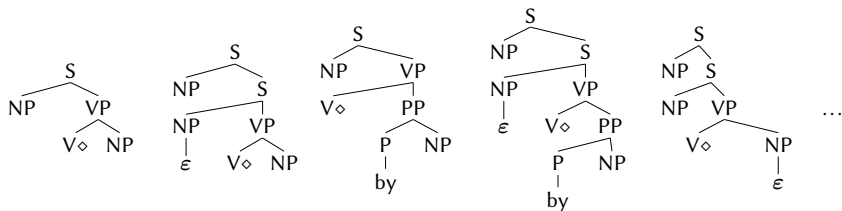


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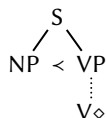
Metagrammar

Modular characterization of elementary trees by a system of tree descriptions.

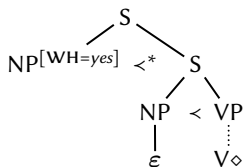
Lexicalized Tree Adjoining Grammars (LTAG)

Decomposition/factorization in the metagrammar

Class *CanSubj*



Class *ExtrSubj*



Class *Subj*

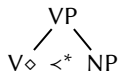
CanSubj \vee *ExtrSubj*

Class *ActV*

$VP[VOICE=active]$

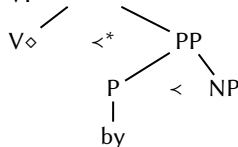


Class *DirObj*



Class *ByObj*

$VP[VOICE=passive]$



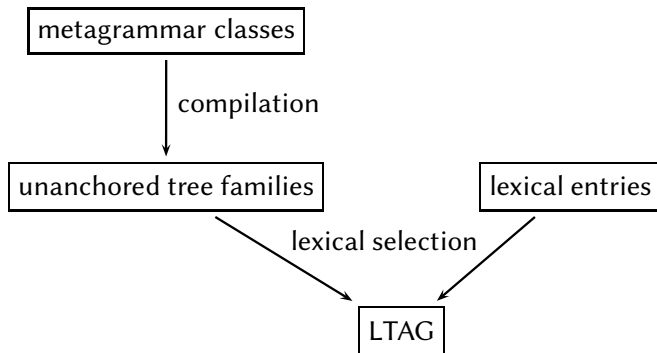
Class *PassV*

$VP[VOICE=passive]$



Lexicalized Tree Adjoining Grammars (LTAG)

Decomposition/factorization in the metagrammar



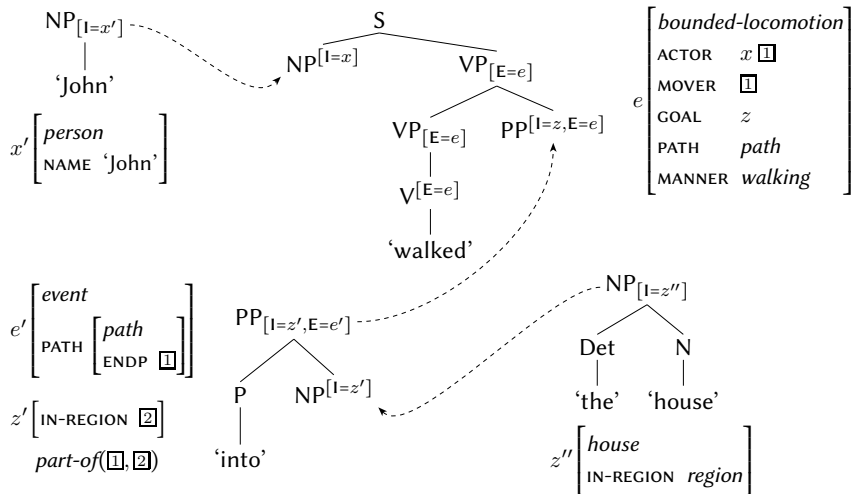
Advantage

The metagrammar allows one to express and implement lexical and constructional generalizations.

LTAG and frames

Example

(4) John walked into the house.

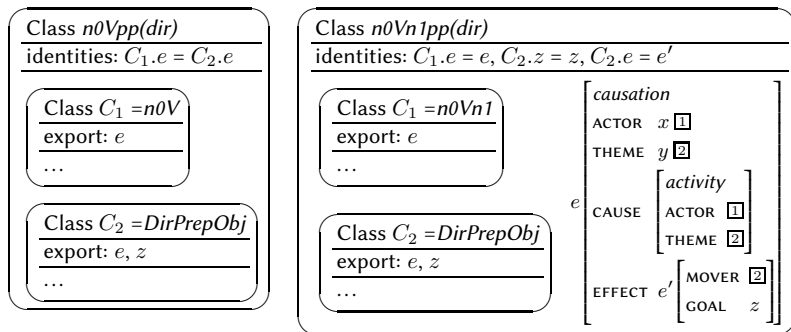


LTAG and frames

Example (cont'd)

- (5) a. John walked into the house.
b. Mary kicked the ball into the room.

Metagrammar classes (syntax and semantics)



Implementation

XMG metagrammar compiler extended with semantic frame specifications

[Lichte/Petitjean 2015]

Frame semantics: extensions

Obvious issue

What about sentence level semantics, quantification, intensionality, and all these things?

Frame semantics: extensions

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What about sentence level semantics, quantification, intensionality, and all these things?

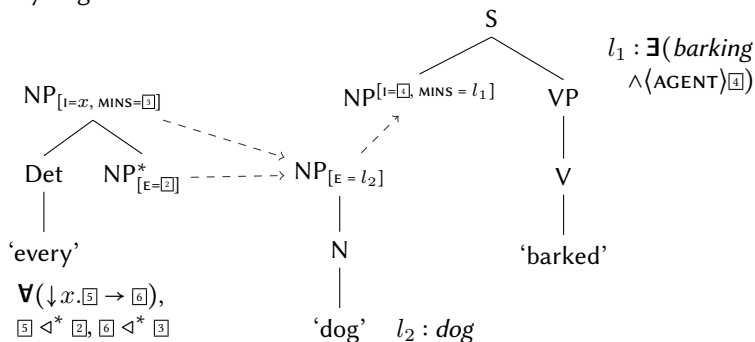
Possible approaches

- 1 Keep frames as basic semantic representations and evaluate quantification over the domain of frames. [≈ Muskens 2013]
- 2 Use an attribute-value language with quantifiers (e.g. Hybrid Logic), and build formulas instead of models.
[e.g., Kallmeyer/Osswald/Pogodalla 2016]
- 3 Try to retain the idea of minimal model building and consider **frame types** as proper entities of the model/universe.

Frame semantics: extensions

Hybrid Logic + underspecification (“hole semantics”)

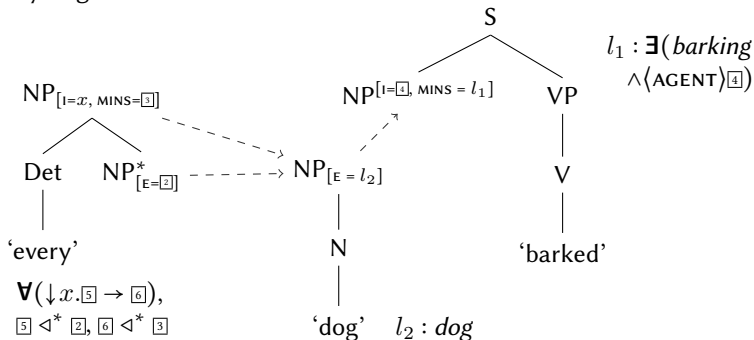
(6) Every dog barked.



Frame semantics: extensions

Hybrid Logic + underspecification (“hole semantics”)

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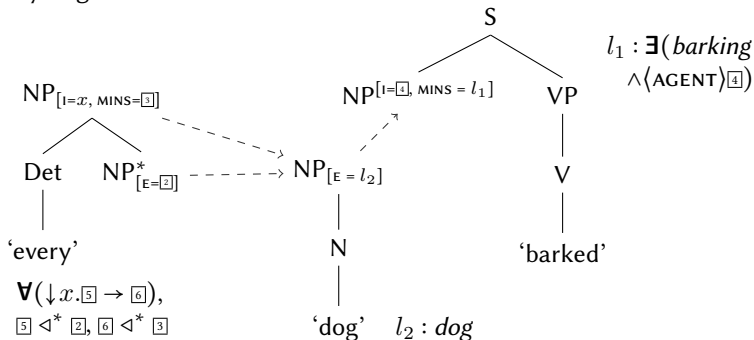


$$\leadsto \forall(\downarrow x. [5] \rightarrow [6]), l_2 : dog, l_1 : \exists(barking \wedge \langle AGENT \rangle x), [5] \triangleleft^* l_2, [6] \triangleleft^* l_1$$

Frame semantics: extensions

Hybrid Logic + underspecification (“hole semantics”)

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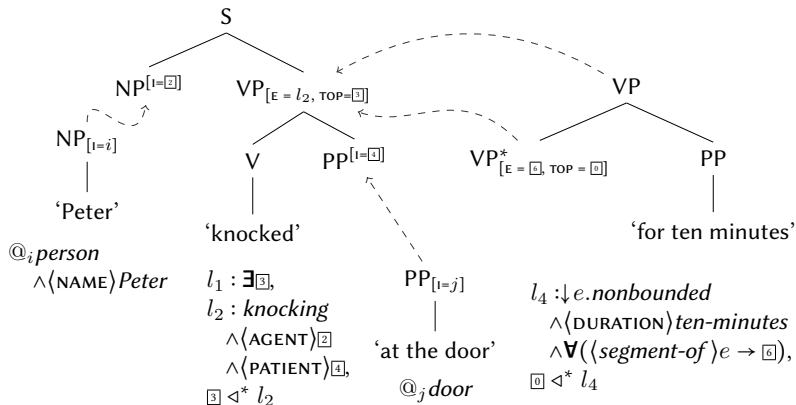
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Frame semantics: extensions

Hybrid Logic + underspecification (“hole semantics”)

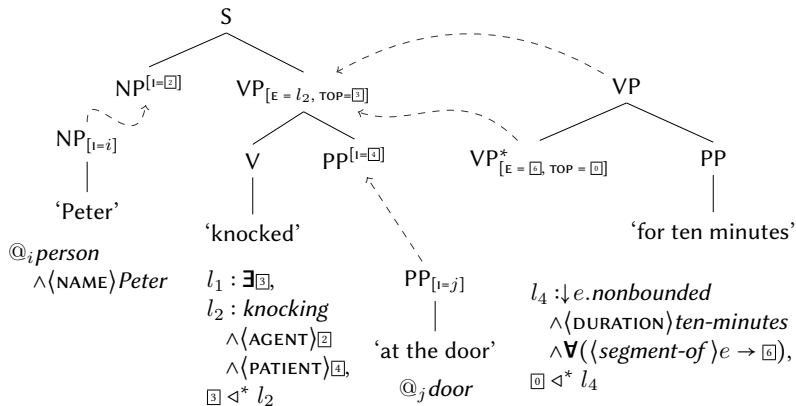
(7) Peter knocked at the door for ten minutes.



Frame semantics: extensions

Hybrid Logic + underspecification (“hole semantics”)

(7) Peter knocked at the door for ten minutes.



$\leadsto \exists (\downarrow e.nonbounded \wedge \langle DURATION \rangle ten-minutes$
 $\wedge \forall (\langle segment-of \rangle e \rightarrow knocking \wedge \langle AGENT \rangle i \wedge \langle PATIENT \rangle j))$
 $\wedge @_i (person \wedge \langle NAME \rangle Peter) \wedge @_j door$

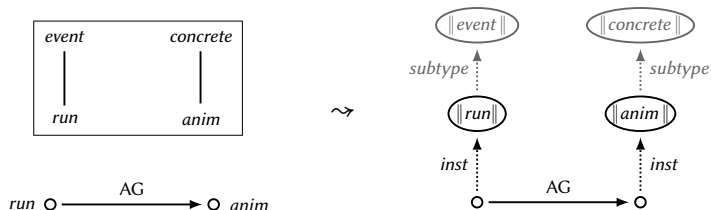
Frame semantics: extensions

Frame types (sketch/work in progress)

Frame semantics: extensions

Frame types (sketch/work in progress)

■ Types as elements of the universe/model

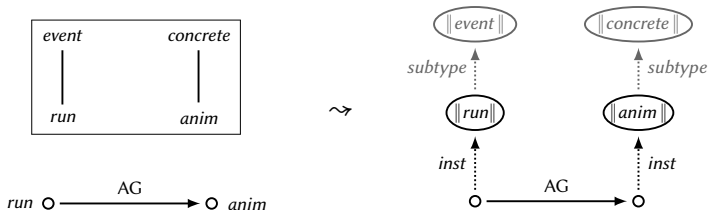


$\|event\|$, $\|run\|$, etc.: type names (nominals)

Frame semantics: extensions

Frame types (sketch/work in progress)

■ Types as elements of the universe/model

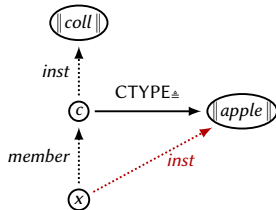


$\|event\|$, $\|run\|$, etc.: type names (nominals)

■ Types as values of attributes

Example: collections of elements of type T

$$c \cdot \text{CTYPE} \triangleq T \wedge x \text{ member } c \rightarrow x \text{ inst } T$$



Frame semantics: extensions

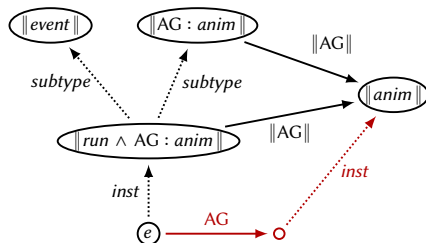
Frame types (sketch/work in progress)

■ Complex frame types

Introduce **frame types** like $\|P:t\|$

Frame types can have (canonical) attributes, e.g., $\|P:t\| \cdot \|P\| \triangleq \|t\|$

$n \text{ inst } \|P:t\| \leftrightarrow n \cdot P \text{ inst } \|t\|$



Frame semantics: extensions

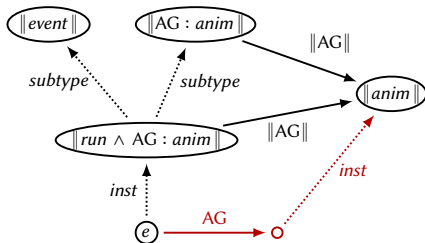
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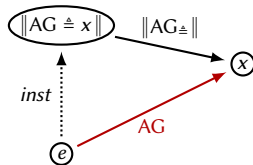
$$n \text{ inst } \|P:t\| \leftrightarrow n \cdot P \text{ inst } \|t\|$$



■ Dependent frame types

$$n \text{ inst } \|P \triangleq x\| \leftrightarrow n \cdot P \triangleq x$$

($\|P \triangleq x\|$ frame type “dependent” on x)



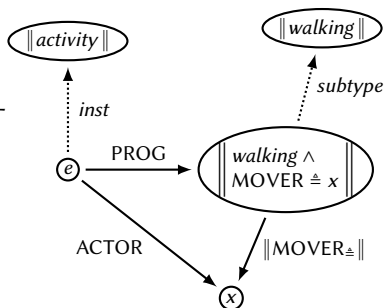
Frame semantics: extensions

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■ Example: event progression

$$e \cdot \text{PROG} \triangleq T \wedge e' \text{ segment } e \rightarrow e' \text{ inst } T$$

$$e \left[\begin{array}{l} \text{activity} \\ \text{ACTOR } x \\ \text{PROG } \left[\begin{array}{l} \text{walking} \\ \text{MOVER } x \end{array} \right] \end{array} \right]$$



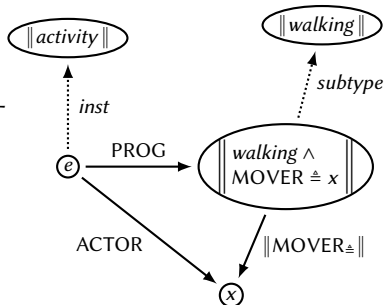
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- Example: scalar change

$$\left[\begin{array}{l} \text{progression} \\ \text{ENTITY } x \\ \text{PROG } \left[\begin{array}{l} \text{incremental-change} \\ \text{ENTITY } x \\ \text{INITIAL } \left[\begin{array}{l} \text{stage} \\ \text{ENTITY } x \\ \text{LENGTH } [0] \end{array} \right] \\ \text{FINAL } \left[\begin{array}{l} \text{stage} \\ \text{ENTITY } x \\ \text{LENGTH } [1] \end{array} \right] \end{array} \right] \\ \text{lessor}([1], [0]) \end{array} \right]$$

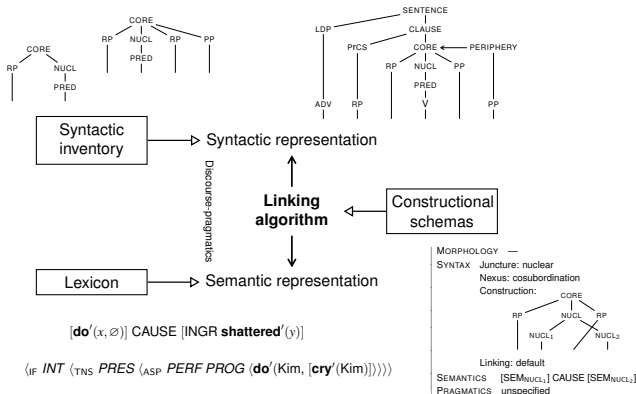
Further ongoing work

Formalization of Role and Reference Grammar

Role and Reference Grammar (RRG):

[see, e.g., Van Valin 2005]

A **non-transformational** grammatical theory, inspired by typological concerns, which makes use of **syntactic templates** and **lexical decomposition** structures, among others.



Further ongoing work

Formalization of Role and Reference Grammar

Role and Reference Grammar (RRG): [see, e.g., Van Valin 2005]

A **non-transformational** grammatical theory, inspired by typological concerns, which makes use of **syntactic templates** and **lexical decomposition** structures, among others.

Aspects of the formalization

- Modified tree operations because of flat syntactic structures:
Wrapping substitution and sister adjunction.

[Osswald/Kallmeyer, to appear]

- Decompositional semantic frames instead of semantic templates.
- Argument linking rules as constraints in the metagrammar.

[Kallmeyer/Lichte/Osswald/Petitjean 2016]

**Thank you very much
for your attention!**

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