

Introduction to Sectorial Operators

HT 2017

2. problem sheet

Problem 1

Let \mathfrak{t} be a sectorial form with vertex γ and semi-angle θ and let $\mathfrak{h} = \operatorname{Re} \mathfrak{t}$ and $\mathfrak{g} = \operatorname{Im} \mathfrak{t}$. Show the estimate

$$|\mathfrak{g}[u, v]| \leq (\tan \theta) \sqrt{(\mathfrak{h} - \gamma)[u]} \sqrt{(\mathfrak{h} - \gamma)[v]}, \quad u, v \in \operatorname{dom} \mathfrak{t}$$

(cf. Lemma 2.8 in the lecture notes).

Problem 2

Prove Proposition 2.14 from the lecture: If \mathfrak{t} is sectorial then \mathfrak{t} is closable iff

$$\left. \begin{array}{l} (u_n)_n \subset \operatorname{dom} \mathfrak{t} \\ u_n \rightarrow 0 \text{ in } \mathcal{H} \text{ and} \\ \mathfrak{t}[u_n - u_m] \rightarrow 0 \end{array} \right\} \implies \mathfrak{t}[u_n] \rightarrow 0.$$

In this case,

$$\begin{aligned} \bar{\mathfrak{t}}[u] &= \lim_{n \rightarrow \infty} \mathfrak{t}[u_n], \\ \operatorname{dom} \bar{\mathfrak{t}} &= \{u \in \mathcal{H} : \exists (u_n)_n \subset \operatorname{dom} \mathfrak{t} \text{ s.t. } u_n \rightarrow u \text{ in } \mathcal{H}, \mathfrak{t}[u_n - u_m] \rightarrow 0\}. \end{aligned}$$

Problem 3

Show that, in general, the numerical range of a closed operator T is not closed and the inclusion $\sigma_c(T) \subset \Theta(T)$ does not hold.

Problem 4

Which of the following operators in $\ell^2(\mathbb{N})$ are sectorial and how does a sector containing the numerical range look like? Which of these operators are even m -sectorial?

- (i) $R(x_n)_{n \in \mathbb{N}} := (inx_n)_{n \in \mathbb{N}}$, $\operatorname{dom} R = \{(x_n)_{n \in \mathbb{N}} \in \ell^2(\mathbb{N}) : (nx_n)_{n \in \mathbb{N}} \in \ell^2(\mathbb{N})\}$;
- (ii) $S(x_n)_{n \in \mathbb{N}} := ((n + in)x_n)_{n \in \mathbb{N}}$, $\operatorname{dom} S = \{(x_n)_{n \in \mathbb{N}} \in \ell^2(\mathbb{N}) : ((n + in)x_n)_{n \in \mathbb{N}} \in \ell^2(\mathbb{N})\}$;
- (iii) $T(x_n)_{n \in \mathbb{N}} := (a_n^\phi x_n)_{n \in \mathbb{N}}$, $\operatorname{dom} T = \{(x_n)_{n \in \mathbb{N}} \in \ell^2(\mathbb{N}) : (a_n^\phi x_n)_{n \in \mathbb{N}} \in \ell^2(\mathbb{N})\}$, where for a fixed $\phi \in (-\pi, \pi]$, $(a_n^\phi)_{n \in \mathbb{N}}$ is a sequence of complex numbers being dense in $\{re^{i\phi} : r \in \mathbb{R}\}$.

Problem 5

How do the spectra of the operators in Problem 4 look like? Check in each case whether they fill out all of the (closure of the) numerical range of the operator.